



Enhancing the bilateral S&T Partnership with Ukraine

Deliverable Title	D1.2 – Identification and analysis of “several case studies of good cooperation practice in S&T” (first version – the methodological survey approach)
Deliverable Lead:	ZSI – Centre for Social Innovation
Related Work-package:	WP1 – Information, dissemination and awareness raising
Related Task:	Task 1.1 – Information gathering on S&T and innovation activities in and with Ukraine
Author(s):	Désirée Pecarz, Katharina Handler, Kristina Pia Hofer (ZSI)
Dissemination level:	Public
Due submission date:	31/10/2009 (second version by 28/02/2011)
Actual submission:	14/12/2009
Project Number	FP7-222712
Instrument:	Support Action
Start date of Project:	01/09/2008
Duration:	36 months

Abstract	This is the first version of the deliverable 1.2 and it is aimed at clarifying the methodology followed and the means used for the identification of several particular good practices in S&T cooperation between the European Union and Ukraine.
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Project funded by the European Commission under the International Cooperation activity of the Capacities Programme of the 7th European Framework Programme for RTD (FP7).

Versioning and Contribution History

Version	Date	Modification reason	Modified by
v.01	04/12/2009	First version of document for further editing improvements	Desiree Pecarz - ZSI
v.02	12/12/2009	Improvements and checking	Katharina Handler - ZSI
v.03			

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1 SUMMARY

This document represents the first version of the BILAT-UKR Deliverable 1.2 “Identification and analysis of several case studies of good cooperation practice in S&T” and mainly focuses on the methodological approach that was followed by the project consortium in order to:

- Firstly identify as many EU- Ukraine S&T cooperation initiatives as possible, comprising also the relevant contact details of the involved parties at both levels
- Conduct a survey on mutual benefits and hindering factors in EU- Ukraine S&T cooperation among the parties above described
- Analyse the survey results in order to identify 10 cases of particular good cooperation practice in EU- Ukraine S&T cooperation
- Describe such cases by means of a user-friendly format to be further published on-line within the project portal

The present deliverable is closely linked to the other BILAT-UKR D 2.2 and partly contains also the results of this latter document. It namely includes the list of EU- Ukraine S&T cooperation initiatives with the precise contact details of the involved parties along with the questionnaire elaborated for the survey. It finally also comprises the template for describing the best cases.

A second version of this deliverable is due by February 2011 and will gather not only the list of all best cases identified but also some analytical evaluations concerning the follow up of the projects and other cooperation initiatives between project participants.

2 METHODOLOGICAL APPROACH AND RATIONALE BEHIND THIS DELIVERABLE DEVELOPMENT

Originally named “Several case studies of good cooperation practice in S&T” the present deliverable is aimed at identifying and analysing case studies regarding S&T bilateral cooperation between the European Union and Ukraine, including lessons learnt and depicting mutual benefits.

This deliverable, in its first version and according to the Description of Work (DoW), was due by August 2009. Nevertheless its delivery was postponed upon agreement of the project’s work-package leaders during a meeting of WP leaders in Bonn (11-12 March 2009).

In such an occasion it was decided to follow a new approach for this deliverable’s development given the existing strong correlation with the other project D 2.2 “Inventory of good cooperation practice”, and the significant overlapping activities to be carried out in such frameworks. The objective behind such a decision was also to allow for the description of the major barriers hindering EU-UKR S&T collaboration while depicting cases.

The original content and development scheduling of D 1.2 and 2.1 was as follows:

1. D 1.2 “Several case studies of good cooperation practice in S&T” (two versions by Month 12 and Month 30) aimed at identifying and analysing examples of particular good cooperation practice (case studies with lessons learnt) including the depiction of its mutual benefits.
2. D 2.2 “Inventory of good cooperation practice” (by Month 10) aimed at identifying and analysing examples of particular good cooperation practice (case studies with lessons learnt) including the delineation of its mutual benefits as well as the major barriers hindering collaboration.

At the meeting in Bonn the partners elaborated a **new strategy and introduced a new methodological approach for both the D 1.2 and 2.2 development**, as follows:

1. New title for the **D 2.2: “Inventory of Good Cooperation Practice: list of relevant projects with contact details of the main European and Ukrainian involved partners”** (due by 31/08/2009)
 - being a comprehensive list of EU-UKR bilateral projects / activities including precise contact details of both project coordinators and partners
 - but with only some introductory information on such initiatives and no additional analysis of on benefits, barriers, etc. as instead originally foreseen by the DoW
 - the final result is a comprehensive repository of contacts to be exploited for conducting an on-line survey on mutual benefits and barriers in EU-UKR S&T cooperation
2. Development of a questionnaire aimed at identifying mutual benefits and hindering factors in EU-Ukraine S&T cooperation and creation of an on-line survey platform for this purpose (due by 31/08/2009)
3. Launch of the on-line survey on 15th September 2009 and analysis of results aimed at identifying 10 cases of particular good cooperation practice by February 2010.
4. New title for the first version of **D 1.2 “Identification and analysis of several case studies of good cooperation practice in S&T – the methodological survey approach”** (due by 31/10/2009)

- containing the list of projects with involved actors to be further contacted, the questionnaire elaborated for the on-line survey and a template for describing each of the 10 case studies that will be identified during the analysis of the survey results.
5. New title for the second version of **D 1.2: “Follow up and updating of the several case studies of good cooperation practice in S&T”** (by 28/02/2011)
- containing the description of all identified case studies (Milestone 10 by February 2010) and some analytical evaluations concerning the follow up of the projects and other cooperation initiatives between project participants.

The following chapters respectively briefly illustrate the content of the D 2.2: “Inventory of Good Cooperation Practice: list of relevant projects with contact details of the main European and Ukrainian involved partners“; provide the content and structure of the on-line survey and in the end the template that will be used for describing the 10 best practices identified by the analysis of the survey results.

3 RELEVANT INITIATIVES IN EU – UKRAINIAN S&T COOPERATION (D 2.2: “INVENTORY OF GOOD COOPERATION PRACTICE)

According to the strategy just described, the project consortium has first of all developed an accurate inventory of the relevant current or recent projects and activities involving both European and Ukrainian parties.

A total of 426 initiatives were identified within the following funding programs: FP6, FP7, INTAS, NATO, STCU, COST.

The inventory was turned into the Deliverable 2.2 containing some introductory information on each listed project/action to facilitate the analysis of the nature of S&T R&D cooperation between the European Union and Ukraine.

Yet the most important aspect of such an inventory is the provision of the contact details of the main involved parties on both sides, which were accurately collected and verified.

These contact details represent indeed the respondents` base for the survey on the common benefits and encountered barriers in mutual cooperation to be carried out in autumn 2009.

All the parties listed in the present document were invited to answer and their responses will be analyzed in order to identify the 10 best cases in EU-UKR S&T cooperation.

3.1 List of identified initiatives and relevant contact details¹

FP6 PROJECTS

Project acronym	Contact details of Coordinator	Contact details of the Ukrainian partner
PENETRATING PEPTIDES	INSTITUTE OF PHYSICS OF THE NATIONAL ACADEMY OF SCIENCE OF UKRAINE DEPARTMENT OF PHYSICS OF BIOLOGICAL SYSTEMS Prosp. Nauky 46 UKRAINE Contact Person: Name: KHARKYANEN, Valery M. (Dr) Tel: +380-445259851	INSTITUTE OF PHYSICS OF THE NATIONAL ACADEMY OF SCIENCE OF UKRAINE Contact Person: Name: Dr. Valery M. Kharkyanen Tel: 380445259851 Email: kharkyan@iop.kiev.ua, vakhar@mail.kar.net
CLEAN BLACK SEA	BULGARIAN ACADEMY OF SCIENCES - INSTITUTE OF GENERAL AND INORGANIC CHEMISTRY INSTITUTE OF GENERAL AND INORGANIC CHEMISTRY, LABORATORY OF INORGANIC SALTS WITH PILOT PLANT UNIT Acad. Georgy Bonchev Str., Bl. 11 - SOFIA BULGARIA Name: BALAREW, Christo (Professor) Tel: +359-2-9793925 Fax: +359-2-8705024 balarew@svr.igic.bas.bg	International Center on Water Research in the BSEC Region (ICWR), Kiev, Ukraine 42 Vernadsky Ave, 03142 Kiev, Ukraine Tel: +38 (044) 4210196, Fax: +38 (044) 4238224 Acad. DSc. Vladislav Goncharuk honch@icwc.kiev.ua

¹ More detailed information is provided in the Deliverable 2.2 “Inventory of Good Cooperation Practice: list of relevant projects with contact details of the main European and Ukrainian involved partners“

ENCOURAGED	<p>ENERGIEONDERZOEK CENTRUM NEDERLAND ECN POLICY STUDIES Westerduinweg 3 PO Box 1 NETHERLANDS Contact Person: Name: OOSTVOORN VAN, Frits (Drs.) Tel: +31-224564438 Fax: +31-224568338</p>	<p>ENERGY CONSULTING GROUP Contact Person: Name: Olga Gruzhinskaya, Head of research Tel: +380 44 227 85 77 Email: olga@imepower.com</p>
GMS APPLICATION	<p>NANSEN INTERNATIONAL ENVIRONMENTAL AND REMOTE SENSING CENTRE CLIMATE GROUPE Bolshaya Monetnaya Str., 26-28 RUSSIAN FEDERATION Contact Person: Name: BOBYLEV, Leonid (Dr) Tel: +7-812-2343865 Fax: +7-812-2343865 Email:</p>	<p>UKRAINIAN CENTER OF ENVIRONMENTAL AND WATER PROJECTS Contact Person: Name: Dr. Vladimir Maderich, General Director Tel: + 380 44 2666187</p>
RIMAWA	<p>CIVIPOL CONSEIL OBSERVATOIRE EUROMEDITERRANEEN SUR LA GESTION DES RISQUES 9, rue Notre-Dame des Victoires France Contact Person: Name: PAVIA, André (Professor) Tel: +33-467047540 Fax: +33-467047599</p>	<p>EUROPEAN CENTRE OF TECHNOLOGICAL SAFETY www.tesec-int.org Contact Person: Name: Dr. Victor Poyarkov, Director Tel: 380503124024 Email: poyarkov@i.kiev.ua</p>
UFAST	<p>INSTYTUT MASZYN PRZEPLYWOWYCH IM. ROBERTA SZEWALSKIEGO POLSKIEJ AKADEMII NAUK DEPT. OF TRANSONIC FLOWS AND NUMERICAL METHODS Fiszera 14 POLAND Contact Person: Name: DOERFFER, Piotr (Professor) Tel: +48-603220563 Fax: +48-583416144</p>	<p>PODGORNY INSTITUTE OF MECHANICAL ENGINEERING PROBLEMS NATIONAL ACADEMY OF SCIENCES OF UKRAINE www.ipmach.kharkov.ua Contact Person: Dr. Sc. Sergey Vladimirovich Yershov, Leading Research Associate Tel: +380 577 192 334 Email: yershov@ipmach.kharkov.ua</p>

	doerffer@imp.gda.pl	
COVERS	<p>USTAV JADERNEHO VYZKUMU REZ A.S. NUCLEAR POWER AND SAFETY DIVISION Husinec - Rez 130 CZECH REPUBLIC Contact Person: Name: VASA, Ivo (Dr) Tel: +420-2-66173558 Fax: +420-2-20941029</p>	<p>NPP OPERATION SUPPORT INSTITUTE http://www.npp-osi.kiev.ua Contact Person: Name: Hordynsky Dmytro, Laboratory Head Tel: +380-44-4518734 Email: dmytro_h@npp-osi.kiev.ua</p>
COVERS	<p>USTAV JADERNEHO VYZKUMU REZ A.S. NUCLEAR POWER AND SAFETY DIVISION Husinec - Rez 130 CZECH REPUBLIC Contact Person: Name: VASA, Ivo (Dr) Tel: +420-2-66173558 Fax: +420-2-20941030</p>	<p>STATE SCIENTIFIC AND TECHNICAL CENTER ON NUCLEAR AND RADIATION SAFETY http://www.sstc.kiev.ua Contact Person: Oksana Yatsenko, Head of Laboratory Tel: +380-44-4500372 Email: on_yatsenko@sstc.kiev.ua</p>
ECOPHOS	<p>CENTRE FOR RESEARCH AND TECHNOLOGY - HELLAS / CHEMICAL PROCESS ENGINEERING RESEARCH INSTITUTE CHEMICAL PROCESS ENGINEERING RESEARCH INSTITUTE 6th km Charilaou-Thermi Road PO Box 361 GREECE Contact Person: Name: SEFERLIS, Panagiotis (Dr) Tel: +30-2310-498169 Fax: +30-2310-498160</p>	<p>AKTSIONERNOE OBSHESTVO "SODRUGESTVO - T" Contact Person: Oleksandr Perevertaylenko, Vice-President, R&D Tel: +38-057-7072278 Email: pau@kpi.kharkov.ua</p>

CLEANSOIL	<p>VEREIN ZUR FÖRDERUNG DES TECHNOLOGIETRANSFERS AN DER HOCHSCHULE BREMERHAVEN E.V. BIONORD An der Karlstadt 10 GERMANY Contact Person: Name: HÄNEL, Mirko (MSc) Tel: +49-4714832180 Fax: +49-4714832129</p>	<p>INSTITUTE FOR NATURE MANAGEMENT PROBLEMS AND ECOLOGY, NATIONAL ACADEMY OF SCIENCES OF UKRAINE Contact Person: Mykola Yemets, Head of Department Tel: 00380567780483 Email: ippe_nrm@narayan.dp.ua</p>
ESCIRRU	<p>GERMAN INSTITUTE FOR ECONOMIC RESEARCH DEPARTMENT OF INTERNATIONAL ECONOMICS Mohrenstr. 58 GERMANY Contact Person: Name: BRÜCK, Tilman (Dr) Tel: +49-3089789-591 Fax: +49-3089789-108</p>	<p>ECONOMICS EDUCATION AND RESEARCH CONSORTIUM www.eerc.kiev.ua/eroc Contact Person: Name: Prof Tom Coupé, Director Tel: +38 044 239 2494 Email: tcoupe@eerc.kiev.ua</p>
NANOSPIN	<p>UNIVERSITY OF LEICESTER PHYSICS AND ASTRONOMY University Road UNITED KINGDOM Contact Person: Name: BINNS, Chris (Professor) Tel: +44-1162523585 Fax: +44-1162522770 cb12@le.ac.uk</p>	<p>SUMY STATE UNIVERSITY www.sumdu.edu.ua Contact Person: Name: Stanislav Denisov, Head of chair Tel: +380 542 331081 Email: denisov@sumdu.edu.ua</p>
IDEALIST-EXTEND	<p>DEUTSCHES ZENTRUM FUER LUFT UND RAUMFAHRT E.V. Projektträger im DLR Linder Höhe 1 Postfach 51147 51147 KOELN GERMANY Contact Person: Name: GRIES, Jasmin Tel: +49-220-36013274 Fax: +49-220-36013055</p>	<p>LVIV POLYTECHNIC NATIONAL UNIVERSITY Contact Person: name: Yuriy Rashkevych Tel: 38 0322 744143 Email: rashkev@polynet.lviv.ua</p>

TOSSAD	<p>TURKIYE BILIMSEL VE TEKNOLOJIK ARASTIRMA KURUMU UEKAE ATATURK BULVARI NO. 221 KAVAKLIDERE 06100 ANKARA TURKEY Contact Person: Name: ERKAN, KAAN Tel: +90-262-6481402 Fax: +90-262-6481100 Email: kaan.erkana@uekae.tubitak.gov.tr</p>	<p>UKRAINIAN LVIV INSTITUTE FOR BUSINESS INFORMATICS LTD. Contact Person: Name: Oleksandr Ulybin Tel: +380-32-2970089 Email: o.ulybin@ulybin.com</p>
PRO_NMS	<p>INSTYTUT PODSTAWOWYCH PROBLEMOW TECHNIKI POLSKIEJ AKADEMII NAUK NATIONAL CONTACT POINT FOR RESEARCH PROGRAMMES OF THE EUROPE UL. SWIETOKRZYSKA 21 00-049 WARSZAWA POLAND Contact Person: Name: GLINIECKA, MALGORZATA Tel: +48-22-8287483 Fax: +48-22-8285370 malgorzata.gliniecka@kpk.gov.pl</p>	<p>LVIV STATE CENTER FOR SCIENTIFIC, TECHNICAL AND ECONOMIC INFORMATION contact person name: Ivan Kulchytskyy Tel: +380 322 523200 Email: ivanppp@cstei.lviv.ua</p>
ATVN - EU - GP	<p>NATIONAL INSTITUTE OF TELECOMMUNICATIONS Division of Advanced Information Technology Szachowa 1 PO Box 04-894 04-894 WARSAW POLAND Contact Person: Name: GRANAT, Janusz Tel: +48-22-5128303 Fax: +48-22-5128625 support@atvn-eu-gp.pl</p>	<p>LVIV STATE CENTER FOR SCIENTIFIC, TECHNICAL AND ECONOMIC INFORMATION contact person name: Ivan Kulchytskyy Tel: +380 322 523200 Email: ivanppp@cstei.lviv.ua</p>

NITROEUROPE IP	<p>NATURAL ENVIRONMENT RESEARCH COUNCIL. BRITISH ATMOSPHERIC DATA CENTRE (BADC) CEH EDINBURGH Polaris House, North Star Avenue UNITED KINGDOM Contact Person: Name: SUTTON, Mark Alexander (Dr) Tel: +44-131-4454343 Fax: +44-131-4453943 http://www.nitroeuropa.eu/contact</p>	<p>ODESSA NATIONAL MECHNIKOV UNIVERSITY www.onu.edu.ua Contact Person: Name: Dr. Volodymyr Ivanovich Medinets, Head of Centre Tel: +38-048-7317383 Email: medinets@te.net.ua</p>
ANTIMAL	<p>LIVERPOOL SCHOOL OF TROPICAL MEDICINE MOLECULAR AND BIOCHEMICAL PARASITOLOGY, LSTM Pembroke Place UNITED KINGDOM Contact Person: Name: WARD, Stephen (Professor) Tel: +44-1517053286 Fax: +44-1517053371 saward@liverpool.ac.uk</p>	<p>NATIONAL TECHNICAL UNIVERSITY OF UKRAINE, KPI www.ntu-kpi.kiev.ua Contact Person: Name: Prof. Andrey Fokin Tel: +380 44 236 86 51 Email: aaf@xtf.ntu-kpi.kiev.ua</p>
PENTA/ECS	<p>FONDAZIONE PENTA - FOR THE TREATMENT AND CARE OF CHILDREN WITH HIV (AND RELATED DISEASES) - ONLUS PENTA FOUNDATION via Giustiniani 3 ITALY Contact Person: Name: GIAQUINTO, Carlo (dr) Tel: +39-049-8213585 Fax: +39-049-8753865</p>	<p>"PERINATAL PREVENTION OF AIDS INITIATIVE" VOLUNTARY SOCIETY Contact person: Name: Dr. Igor Semenenko, Project manager Tel: 380 487312502 Email:</p>

CASCADE	<p>MEDICAL RESEARCH COUNCIL MRC CTU 20 Park Crescent UNITED KINGDOM Contact Person: Name: PORTER, Kholoud (Dr) Tel: +20-76704715 Fax: +20-76704815</p>	<p>"PERINATAL PREVENTION OF AIDS INITIATIVE" VOLUNTARY SOCIETY Contact person: Name: Dr. Rusland Malyuta, Deputy Medical Director Tel: +380482227053 Email: ecoinvest@paco.net</p>
FOXY	<p>SINTEF - STIFTELSEN FOR INDUSTRIELL OG TEKNISK FORSKNING VED NORGES TEKNISKE HOEGSKOLE AS SINTEF MATERIALS AND CHEMISTRY Strindveien 4 NORWAY Contact Person: Name: WAERNES, Aud Nina (Ms) Tel: +47-93059428 Fax: +47-73592786</p>	<p>JOINT STOCK COMPANY PILLAR Contact person: Name: Iryna Bidena, Head of Purchase Department Tel: +38-044-4909983 Email: bidena@pillar.kiev.ua</p>
UPWIND	<p>DANMARKS TEKNISKE UNIVERSITET (TECHNICAL UNIVERSITY OF DENMARK) RISØ NATIONAL LABORATORY, WIND ENERGY DEPARTMENT Anker Engelundsvej 1 DENMARK Contact Person: Name: JENSEN, Peter Hjuler (Mr) Tel: +45-46775037 Fax: +45-46775960 peter.hjuler@risoe.dk</p>	<p>V.N. BAKUL INSTITUTE FOR SUPERHARD MATERIALS OF THE NATIONAL ACADEMY OF SCIENCES Contact person: Name: Dr. Volodymyr Kushch, Leading Research Assistant Email: vkushch@bigmir.net</p>
NETBIOCOF	<p>VEREIN ZUR FOERDERUNG DES TECHNOLOGIETRANSFERS AN DER HOCHSCHULE BREMERHAVEN E.V. BIONORD - ZT An der Karlstadt 10 GERMANY Contact Person: Name: SCHORIES, Gerhard (Dr) Tel: +49-4719448702 Fax: +49-4719448722 gschories@ttz-bremerhaven.de</p>	<p>SCIENTIFIC ENGINEERING CENTRE "BIOMASS" LTD http://www.biomass.kiev.ua Contact person: Name: Dr. Georgiy Geletukha Tel: +380-44-4566365 Email: geletukha@biomass.kiev.ua</p>

SOFC600	<p>ENERGIEONDERZOEK CENTRUM NEDERLAND UNIT FUEL CELL TECHNOLOGY Westerduinweg 3 PO Box 1 NETHERLANDS Contact Person: Name: RIETVELD, Bert (Mr) Tel: +31-224564452 Fax: +31-224564965</p>	<p>INSTITUTE FOR PROBLEMS OF MATERIALS SCIENCE - UKRANIAN ACADEMY OF SCIENCES www.ipms.kiev.ua Contact person: Name: Prof. Oleksandr Vasylyev, Leading Research Email: vasilev@ipms.kiev.ua</p>
BLACK SEA SCENE	<p>MARIENE INFORMATIE SERVICE "MARIS" B.V. MARIS Dillenburgsingel 69 NETHERLANDS Contact Person: Name: DAVIS, Peter (Mr) Tel: +31-703170961 Fax: +31-703903546 maris@xs4all.nl</p>	<p>A.O. KOVALEVSKY INSTITUTE OF BIOLOGY OF THE SOUTHERN SEAS www.ibss.iuf.net Contact person: Name: Dr. Yuriy Tokarev Tel: +38-0692-545919 Email: o.sergeyeva@gsva.net, biophys@ibss.iuf.net</p>
BLACK SEA SCENE	<p>MARIENE INFORMATIE SERVICE "MARIS" B.V. MARIS Dillenburgsingel 69 NETHERLANDS Contact Person: Name: DAVIS, Peter (Mr) Tel: +31-703170961 Fax: +31-703903546 maris@xs4all.nl</p>	<p>MARINE HYDROPHYSICAL INSTITUTE OF UKRAINIAN NATIONAL ACADEMY OF SCIENCES Contact Person: Name: Dr. Alexey Khaliulin, Senior Scientist Tel: +380 692 545276 Email: MIST <mist@alpha.mhi.iuf.net>, khaliulin@mhi-mist.sevsky.net</p>
BLACK SEA SCENE	<p>MARIENE INFORMATIE SERVICE "MARIS" B.V. MARIS Dillenburgsingel 69 NETHERLANDS Contact Person: Name: DAVIS, Peter (Mr) Tel: +31-703170961 Fax: +31-703903546 maris@xs4all.nl</p>	<p>ODESSA NATIONAL MECHNIKOV UNIVERSITY www.onu.edu.ua Contact Person: Name: Dr. Volodymyr Ivanovich Medinets, Head of Centre Tel: +38-048-7317383 Email: medinets@te.net.ua</p>

BLACK SEA SCENE	MARIENE INFORMATIE SERVICE "MARIS" B.V. MARIS Dillenburgsingel 69 NETHERLANDS Contact Person: Name: DAVIS, Peter (Mr) Tel: +31-703170961 Fax: +31-703903546 maris@xs4all.nl	UKRAINIAN SCIENTIFIC AND RESEARCH INSTITUTE OF ECOLOGICAL PROBLEMS Contact person: Name: Prof Alexander Kuzin Tel: +38 057 702 15 78 Email: akousine@mail.ru
BLACK SEA SCENE	MARIENE INFORMATIE SERVICE "MARIS" B.V. MARIS Dillenburgsingel 69 NETHERLANDS Contact Person: Name: DAVIS, Peter (Mr) Tel: +31-703170961 Fax: +31-703903546 maris@xs4all.nl	UKRAINIAN SCIENTIFIC CENTRE OF ECOLOGY OF THE SEA Contact person: Name: Prof Inessa Loyeva, Director Tel: +38 0482 636622 Email: accem@te.net.ua
INJOY AND TRAIN	Agenzia per la Promozione della Ricerca Europea Piazza Guglielmo Marconi, 25 Casella Postale 0014 ITALY Contact Person: Name: DIASSINA, Di Maggio (Dr) Tel: +39-065911817 Fax: +39-065911908 pocaterra@apre.it	NATIONAL AGRICULTURAL UNIVERSITY OF UKRAINE contact person: Name: Prof. Sergey Melnychuk, Vice Rector Tel: +38 044 258 4259 Email: smelnich@nauu.kiev.ua
MAPO	L'ASSOCIATION DU TECHNOPOLE BREST IROISE 40 rue Jim Sevellec CS83809 France Contact Person: Name: DUPRAT, Françoise (Mrs) Tel: +33-298056311 Fax: +33-298054767 francoise.duprat@tech-brest-iroise.fr	ECOTECHNIKA contact person: Name: Dr. Yuriy Ozersky, Director Tel: +380623356828 Email: ecotech@skif.net

BOOST-IT	INOVAMAI - SERVICOS DE CONSULTADORIA EM INOVACAO TECNOLOGICA Rua Afonso Cordeiro, 877 Sala 201 Portugal Contact Person: Name: NEVES, Eurico (Mr) Tel: +351-22-9396350 Fax: +351-22-9396351 nuno.soares@inovamais.pt	CENTER OF SMALL BUSINESS DEVELOPMENT KHARKOV TECHNOLOGIES contact person: Name: Inna Gagauz, Director Tel: +380572585323 Email: kt@kt.kharkov.ua
SEADATANET	INSTITUT FRANCAIS DE RECHERCHE POUR L'EXPLOITATION DE LA MER DÉPARTEMENT "OCÉANOGRAPHIE PHYSIQUE ET SPATIALE" - IFREMER CENTRE DE BREST DÉPARTEMENT "INFORMATIQUES ET DONNÉES MARINES" - IFREMER CENTRE DE BREST 155 rue Jean Jacques Rousseau France Contact Person: Name: MAUDIRE, Gilbert (Dr) Tel: +33-0298224216 Fax: +33-0298224644 sdn-userdesk@seadatanet.org	MARINE HYDROPHYSICAL INSTITUTE OF UKRAINIAN NATIONAL ACADEMY OF SCIENCES/DEPARTMENT OF MARINE INFORMATION SYSTEMS & TECHNOLOGIES Contact person: Name: Dr. Alexey Khaliulin06.10.200 Tel: 380(692) 545276 Email: mist@alpha.mhi.iuf.netkhaliulin@mhi-mist.sevsky.net
PORTA OPTICA STUDY	INSTYTUT CHEMII BIOORGANICZNEJ PAN W POZNANIU NOSKOWSKIEGO 12/14 61-704 POZNAN POLAND Contact Person: Name: BINCZEWSKI, ARTUR Tel: +61-85-582010 Fax: +61-85-525954 artur@man.poznan.pl	CENTRE FOR EUROPEAN INTEGRATION LTD Contact person Name: Volodymyr Galagan Tel: +380-44-2419523 Email: gal@uran.net.ua

E4	CENTRO RICERCHE FIAT - SOCIETA CONSORTILE PER AZIONI Strada Torino 50 10043 ORBASSANO (TO) ITALY Contact Person: Name: SANSEVERINO, MARIALUISA Tel: +39-011-9083953 Fax: +39-011-9083083	LVIV CHAMBER OF COMMERCE AND INDUSTRY Contact person: Name: Ihor Hurnyak Tel: +38 032 2980505
ENBR	CENTRE FOR EUROPEAN POLICY STUDIES Place du Congres 1 BELGIUM Contact Person: Name: RENDA, Andrea (Mr) Tel: +39-3397642622 Fax: +32-22194151 arend@luiss.it	ASSOCIATION "REGULATOR REFORMS SUPPORT CENTRE" Contact person: Name: Nataliia Lisun, Senior Specialist Tel: +380444250559 Email: acsrr@ukr.net
ENEPO	CENTER FOR SOCIAL AND ECONOMIC RESEARCH FOUNDATION Sienkiewicza 12 POLAND Contact Person: Name: DABROWSKI, Marek (Professor) Tel: +48-22/6226627 Fax: +48-22/8286069 marek@case.com.pl	CENTER FOR SOCIAL AND ECONOMIC RESEARCH - CASE UKRAINE Contact person: Name: Mr. Oleg Ustenko Tel: +380 (44) 483-2614 Email: oustenko@case-ukraine.kiev.ua
REVACERN	SZEGEDI TUDOMANYEGYETEM DEPARTMENT FOR THE STUDY OF RELIGION Dugonics ter 13 HUNGARY Contact Person: Name: MÁTÉ-TÓTH, András (Dr) Tel: +36-62544418 Fax: +36-62544418	THE SKOVORODA INSTITUTE OF PHILOSOPHY - UKRAINIAN NATIONAL ACADEMY OF SCIENCE contact person: Prof. Victor Yelensky, Leading researcher Tel: +38-044-490-3077 Email: yelenskyv@rferl.org
EUREQUAL	THE CHANCELLOR, MASTERS AND SCHOLARS OF THE UNIVERSITY OF OXFORD	CHARITABLE FOUNDATION "INTELLECTUAL PERSPECTIVE" Contact person:

	<p>NOT APPLICABLE DEPARTMENT OF POLITICS AND INTERNATIONAL RELATIONS University Offices, Wellington Square UNITED KINGDOM Contact Person: Name: WHITEFIELD, Stephen (Dr) Tel: +44-1865288569 Fax: +44-1865278725 http://eurequal.politics.ox.ac.uk/contact/</p>	<p>Name: Dr.Yuri Privalov, Head Tel: +380 44 255 74 83 Email: y_privalov@ukr.net</p>
NIS-NEST	<p>NATIONAL DOCUMENTATION CENTRE/NATIONAL HELLENIC RESEARCH FOUNDATION DEVELOPMENT DEPARTMENT VAS. CONSTANTINOOU Avenue , 48 GREECE Contact Person: Name: SACHINI, Paraskevi (Dr) Tel: +30-210-7273922 Fax: +30-210-7246824 mkoutr@ekt.gr</p>	<p>INSTITUTE OF SEMICONDUCTOR PHYSICS, NATIONAL ACADEMY OF SCIENCE OF UKRAINE Contact person: Name: Prof. Alexander Belyaev Tel: 0038-044-5252 447 Email: belyaev@isp.kiev.ua</p>
GLOBAL SSH	<p>SWEDISH COLLEGIUM FOR ADVANCED STUDY SCAS Linneanum, Thunbergsvägen 2 SWEDEN Contact Person: Name: GRUT, Sara (Dr) Tel: +46-18557085 Fax: +46-18521109</p>	<p>CENTRE FOR SCIENTIFIC AND TECHNOLOGICAL POTENTIAL AND SCIENCE HISTORY STUDIES, NATIONAL ACADEMY OF SCIENCES OF UKRAINE Contact person: Name: Dr. Igor Yegorov Tel: +380 44 413-1500 Email: egorov@egorov.kiev.ua</p>
X3-NOISE	<p>SNECMA RESEARCH AND TECHNOLOGY DIRECTORATE 2, boulevard du Général Martial Valin France Contact Person: Name: COLLIN, Dominique (Mr) Tel: +33-160597396 Fax: +33-160597853 http://www.xnoise.eu/index.php?id=2</p>	<p>NATIONAL AVIATION UNIVERSITY contact person: Name: Prof. Oleksandr Zaporozhets Tel: +380 - 444067686 Email: zap@nau.edu.ua</p>

CESAR	VYZKUMNY A ZKUSEBNI LETECKY USTAV, A.S. INTERNATIONAL COOPERATION / VZLU Beranovych 130 CZECH REPUBLIC Contact Person: Name: PAIGER, Karel (Engineer) Tel: +420-225115332 Fax: +420-286920930	SE "IVCHENKO PROGRESS" Contact person: Name: Olexandr Gavryshenko, General Manager Marketing Tel: +380 612 656105 Email: a.gavrishenko@ivchenko-progress.com
SENARIO	INTEGRATED AEROSPACE SCIENCES CORPORATION (INASCO) RTD Miaouli 22 GREECE Contact Person: Name: MAISTROS, George (Dr) Tel: +30-2109943427 Fax: +30-2109961019	NATIONAL AEROSPACE UNIVERSITY "KHARKIV AVIATION INSTITUTE" Contact person: Name: Prof, Marina Shevtsova, Assistant Professor Tel: +380577074225 Email: shevmar@d4.khai.edu
MONRUK	NANSEN ENVIRONMENTAL AND REMOTE SENSING CENTER POLAR AND ENVIRONMENTAL REMOTE SENSING DEPARTMENT Thormøhlensgate 47 NORWAY Contact Person: Name: SANDVEN, Stein (Mr) Tel: +47-55205800 Fax: +47-55205801 Stein.Sandven@nersc.no	MARINE HYDROPHYSICAL INSTITUTE OF THE UKRAINIAN NATIONAL ACADEMY OF SCIENCES Contact person: Name: Dr. Vladimir Malinovsky, Senior Scientist Tel: 38-0692-54-50-65 Email: dvs-ltd@mail.ru, vladimir.malinovsky@gmail.com
PROMITHEAS-2	NATIONAL AND KAPODISTRIAN UNIVERSITY OF ATHENS ENERGY POLICY AND DEVELOPMENT CENTRE 6 Christou Lada Str GREECE Contact Person: Name: MAVRAKIS, Dimitrios (Professor) Tel: +30-2107275732 Fax: +30-2107275828	ENERGY SAVING AND ENERGY MANAGEMENT INSTITUTE Contact person: Name: Dr. Evgenij Inshekov, Director TCEM Tel: +38 044 2417714 Email: eni@tcem.ntu-kpi.kiev.ua

BRUIT	INBIS Ltd INBIS Ltd Club Street, Bamber Bridge PR5 6FN UNITED KINGDOM Contact Person: Name: SMID, Siemon (Dr) Tel: +352-433944 Fax: +352-433890	G.M. DOBROV CENTRE FOR SCIENTIFIC AND TECHNOLOGICAL POTENTIAL AND SCIENCE HISTORY STUDIES OF THE NATIONAL ACADEMY OF SCIENCES OF UKRAINE Contact person: Name: Dr. Igor Yegorov, Department Head Tel: +(380-44)482-1486 Email: egorov@egorov.kiev.ua
BRUIT	ASSYSTEM UK LTD ASSYSTEM UK LTD ASSYSTEM UK LTD Club Street, Bamber Bridge UNITED KINGDOM Contact Person: Name: SMID, Siemon (Dr) Tel: +352-433944 Fax: +352-433890 Email: ssmid@assystemuk.com	UKRAINIAN FEDERATION OF INFORMATICS AND SYSTEM ANALYSIS Contact person: Name: dr. Artem Voytovich, Head of Department Tel: (380-44)-234-44-60 Email: ufisa@yandex.ru
CONNECT	PROCESS SYSTEMS ENTERPRISE LIMITED Bridge Studios, 107a Hammesmith Bridge Road UNITED KINGDOM Contact Person: Name: MICHAEL, Georgiadis (Dr) Tel: +30-23111999930 Fax: +30-23111999932	AKTSIONERNOE OBSHESTVO SODRUGESTVO-T Contact person: Name: Prof. Petro Kapustenko, President Tel: +380 57 720 22 78 Email: kap@kpi.kharkov.ua
PROMHEAL	RUSSIAN RESEARCH 34 Bedford Row UNITED KINGDOM Contact Person: Name: IGOR, Galin (Mr) Tel: +44-2072423223 Fax: +44-2074200658 rrl@r-research.net	CENTRE "SOCIAL ACTION" Contact person: Name: Tatyana Salyuk, Deputy Head Tel: +380 50 384 85 67 Email: ts@inet.ua

PROMHEAL	RUSSIAN RESEARCH 34 Bedford Row UNITED KINGDOM Contact Person: Name: IGOR, Galin (Mr) Tel: +44-2072423223 Fax: +44-2074200658 rrl@r-research.net	KYIV HEALTH CENTRE, DEPARTMENT OF HEALTH AND MEDICAL SERVICES Contact person: Name: Dr. Otto Stoyka Tel: +380 44 279 42 73 Email: otto@nbi.com.ua
INCOMAT	THUERINGEN INNOVATIV GMBH SERVICE DEPARTMENT "INTERNATIONAL PROJECTS" Mainzerhofstrasse 10 GERMANY Contact Person: Name: RÖMHILD, Elke (Ms.) Tel: +49-361-7892376 Fax: +49-361-7892344 roemhild@stift-thueringen.de	FIZIKO-TEHNICHESKIY INSTITUT NYZKYH TEMPERATUR-FINT (INSTITUTE FOR LOW TEMPERATURE PHYSICS AND ENGINEERING OF THE NATIONAL ACADEMY OF SCIENCES OF UKRAINE) Contact person: Name: Dr. Vladyslav Moskalenko, senior research scientist Tel:+380 57 3300331 Email: moskalenko@ilt.kharkov.ua
INCOMAT	THUERINGEN INNOVATIV GMBH SERVICE DEPARTMENT "INTERNATIONAL PROJECTS" Mainzerhofstrasse 10 GERMANY Contact Person: Name: RÖMHILD, Elke (Ms.) Tel: +49-361-7892376 Fax: +49-361-7892344 roemhild@stift-thueringen.de	INSTITUTE FOR PROBLEMS OF MATERIALS SCIENCE OF NATIONAL ACADEMY OF SCIENCES OF UKRAINE Contact person: Name: Prof. Vitaliy Dubok, Head of department Tel: +380-44-4247256 Email: dubok@ipms.kiev.ua
INCOMAT	THUERINGEN INNOVATIV GMBH SERVICE DEPARTMENT "INTERNATIONAL PROJECTS" Mainzerhofstrasse 10 GERMANY Contact Person: Name: RÖMHILD, Elke (Ms.) Tel: +49-361-7892376 Fax: +49-361-7892344 roemhild@stift-thueringen.de	E.O. PATON ELECTRIC WELDING INSTITUTE OF THE NATIONAL ACADEMY OF SCIENCE OF UKRAINE Contact person: Name: Prof. Yuriy Borysov, Head of Department Tel: borisov@pwi.ru.kiev.ua Email: +380-44-2892171

<p>ILLIBATT</p>	<p>TECHNISCHE UNIVERSITAET GRAZ INSTITUTE FOR CHEMISTRY AND TECHNOLOGY OF ORGANIC MATERIALS INSTITUTE FOR CHEMISTRY AND TECHNOLOGY OF INORGANIC MATERIALS (ICTAS) Rechbauerstrasse 12 AUSTRIA Contact Person: Name: WINTER, Martin (Professor) Tel: +43-3168738268 Fax: +43-3168738272</p>	<p>KIEV NATIONAL UNIVERSITY OF TECHNOLOGIES AND DESIGN contact person: Name: Prof. Viacheslav Barsukov, Head of Department Tel: +380 44 2562102 Email: chemi@mail.vtv.kiev.ua</p>
<p>ECOOP</p>	<p>DANMARKS METEOROLOGISKE INSTITUT. CENTRE FOR OCEAN AND ICE Lyngbyvej 100 DENMARK Contact Person: Name: LETH, Ole Krarup (Dr) Tel: +45-39157223 Fax: +45-39157300 okl@dmi.dk</p>	<p>MARINE HYDROPHYSICAL INSTITUTE - UKRAINIAN NATIONAL ACADEMY OF SCIENCE www.mhi.iuf.net</p>
<p>SCENES</p>	<p>SUOMEN YMPARISTOKESKUS RESEARCH DEPARTMENT Mechelininkatu 34a FINLAND Contact Person: Name: KÄMÄRI, Juha (Professor) Tel: +358-20-4902474 Fax: +358-20-4902490</p>	<p>INSTITUTE FOR HYDRAULIC ENGINEERING AND LAND RECLAMATION OF UKRAINIAN ACADEMY OF AGRARIAN SCIENCE Contact person: Name: Dr. Olga Zhovtonog, Head of Department Tel: +38-044-2578973 Email: olga_zhovtonog@reclamation.org.ua</p>

BIOSTRAT	<p>MTA OKOLOGIAI ES BOTANIKAI KUTATOINTEZETE DEPARTMENT OF ADMINISTRATION DEPARTMENT OF PLANT ECOLOGY Alkotmany 2-4 HUNGARY Contact Person: Name: TÖRÖK, Katalin (Dr) Tel: +36-28-360122 Fax: +36-28-360110 kati@botanika.hu</p>	<p>DNIPROPETROVSK NATIONAL UNIVERSITY Contact person: Name: Dr. Gasso Viktor, Head of Department of Zoology and Ecology Tel: +380 562 469282 Email: vgasso@ua.fm</p>
SESAME	<p>HELLENIC CENTRE FOR MARINE RESEARCH INSTITUTE OF OCEANOGRAPHY 47th km Athens - Sounio Av., Mavro Lithari GREECE Contact Person: Name: PAPATHANASSIOU, EVANGELOS (Dr) Tel: +30-2291076452 Fax: +30-2291076347</p>	<p>A.O. KOVALEVSKIY INSTITUTE OF BIOLOGY OF SOUTHERN SEAS - UKRAINIAN NATIONAL ACADEMY OF SCIENCES Contact person: Name: Dr. Yuriy Tokarev, Deputy Director Tel: +38-0692-545919 Email: tokarev@ibss.iuf.net</p>
SESAME	<p>HELLENIC CENTRE FOR MARINE RESEARCH INSTITUTE OF OCEANOGRAPHY 47th km Athens - Sounio Av., Mavro Lithari GREECE Contact Person: Name: PAPATHANASSIOU, EVANGELOS (Dr) Tel: +30-2291076452 Fax: +30-2291076348</p>	<p>INSTITUTE OF INDUSTRIAL ECONOMICS OF NATIONAL ACADEMY OF SCIENCES OF UKRAINE Contact person: Name: Dr. Liliya Salomatina Tel: +380623114407 Email: l_salomatina@mail.ru</p>
SESAME	<p>HELLENIC CENTRE FOR MARINE RESEARCH INSTITUTE OF OCEANOGRAPHY 47th km Athens - Sounio Av., Mavro Lithari GREECE Contact Person: Name: PAPATHANASSIOU, EVANGELOS (Dr) Tel: +30-2291076452 Fax: +30-2291076349</p>	<p>MARINE HYDROPHYSICAL INSTITUTE - UKRAINIAN NATIONAL ACADEMY OF SCIENCE Contact person: Ph.D. Evgeny Lemeshko, Senior Scientist Tel: +380 50 398 1502 Email: emlem@optima.com.ua, elemeshko@yahoo.com</p>

SPICOSA	INSTITUT FRANCAIS DE RECHERCHE POUR L'EXPLOITATION DE LA MER DIRECTION DES PROGRAMMES ET DE LA STRATÉGIE 155 rue Jean Jacques Rousseau France Contact Person: Name: HERAL, Maurice (Dr) Tel: +33-146482181 Fax: +33-146482248 www.mhi.iuf.net	MARINE HYDROPHYSICAL INSTITUTE - UKRAINIAN NATIONAL ACADEMY OF SCIENCE Contact person: Ph.D. Evgeny Lemeshko, Senior Scientist Tel: +380 50 398 1502 Email: emlem@optima.com.ua
INT-ER-LINK	AGENCE DE L'ENVIRONNEMENT ET DE LA MAITRISE DE L'ENERGIE DÉPARTEMENT PROGRAMMES ET PROJETS INTERNATIONAUX (DPPI) 2, square Lafayette France Contact Person: Name: DE BOHAN, Armelle (Dr.) Tel: +33-1-47652176	KYIV STATE CENTER FOR SCIENTIFIC TECHNICAL AND ECONOMIC INFORMATION Contact person: Name: Olena Gilchenko, chief expert Tel: + 38044 529 03 32 Email: ic@fp6-nip.kiev.ua
SCIENCE 4 BIOREG	DELFT UNIVERSITY OF TECHNOLOGY DEPARTMENT OF BIOTECHNOLOGY, SECTIE ENVIRONMENTAL BIO TECHNOLOGY (EBT) Julianalaan 134 PO Box 5 NETHERLANDS Contact Person: Name: MEULENBROEKS, Kim (Ms) Tel: +31-15-2786654 Fax: +31-15-2782355	INSTITUTE OF CELL BIOLOGY AND GENETIC ENGINEERING Contact person: Name: Prof. Yaroslav Blume Tel: +380 (44) 526 7104 Email: yablume@univ.kiev.ua
SCOPE-EAST	DEUTSCHES ZENTRUM FUER LUFT- UND RAUMFAHRT E. V. INTERNATIONALES BUERO DES BMBF (EUROPA UND LAENDER DER GUS) Heinrich-Konen-Str.1 GERMANY Contact Person: Name: SONNENBURG, Joern (Dr) Tel: +49-2283821450 Fax: +49-2283821444 Email: Joern.Sonnenburg@dlr.de	THE NATIONAL INFORMATION CENTRE FOR UKRAINE-EU SCIENTIFIC AND TECHNICAL COOPERATION AT KYIV STATE CENTRE FOR SCIENTIFIC, TECHNICAL AND ECONOMIC INFORMATION Contact person: Name: Olena Koval, Acting Director of the NIP Ukraine Tel: +380-44-5290332 Email: post@fp6-nip.kiev.ua

ERANIS	INSTYTUT PODSTAWOWYCH PROBLEMOW TECHNIKI POLSKIEJ AKADEMII NAUK NATIONAL CONTACT POINT FOR RESEARCH PROGRAMMES OF THE EUROPEAN UNION Swietokrzyska 21 POLAND Contact Person: Name: STALEWSKA, Joanna (M.Sc.) Tel: +48-228287483/241 Fax: +48-228285370 joanna.stalewska@kpk.gov.pl	KYIV STATE CENTER FOR SCIENTIFIC TECHNICAL AND ECONOMIC INFORMATION Contact person: Name: Olena Koval, Acting Director Tel: +380-44-5290332 Email: post@fp6-nip.kiev.ua
RASP	FRAUNHOFER GESELLSCHAFT ZUR FÖRDERUNG DER ANGEWANDTEN FORSCHUNG E.V. FRAUNHOFER- INSTITUT FÜR BIOMEDIZINISCHE TECHNIK (IBMT) Hansastrasse 27 C GERMANY Contact Person: Name: VELTEN, Thomas (Dr) Tel: +49-6894980301 Fax: +49-6894980400 thomas.velten@ibmt.fraunhofer.de	V.LASHKARYOV INSTITUT OF SEMICONDUCTOR PHYSICS, NATIONAL ACADEMY OF SCIENCE OF UKRAINE Contact person: Name: Dr. Anton Samoylov Tel: +380(44)525-57-43 Email: samoylov@isp.kiev.ua
IDEALIST7FP	DEUTSCHES ZENTRUM FUER LUFT UND RAUMFAHRT E.V. LINDER HOHE 51147 KOELN GERMANY Contact Person: Name: CHEFKI, MOHSINE Tel: +49-220-36013432 Fax: +49-220-36012842	KYIV STATE CENTER FOR SCIENTIFIC TECHNICAL AND ECONOMIC INFORMATION Contact person: Name: Ludmila Aydeeva Tel: +380 44 5294373 Email: imex@cnte.kiev.ua
SHERHPA	ASSOCIATION GRETH 17 Rue des Martyrs France Contact Person: Name: THONON, Bernard (Dr.) Tel: +33-438783079 Fax: +33-438785162 bernard.thonon@greth.fr	NATIONAL TECHNICAL UNIVERSITY "KHARKIV POLYTECHNIC INSTITUTE" Contact person: Name: Dr. Oleksandr Perevertaylenko Tel: +380572400893 Email: pau@kpi.kharkov.ua

SHERHPA	ASSOCIATION GRETH 17 Rue des Martyrs France Contact Person: Name: THONON, Bernard (Dr.) Tel: +33-438783079 Fax: +33-438785162 bernard.thonon@greth.fr	AKTSIONERNOE OBSHESTVO SODRUGESTVO - T Contact: Tel: +380 (57) 720 22 78, +380 (57) 720 22 23 Email: kap@kpi.kharkov.ua.
KMM-NOE	INSTYTUT PODSTAWOWYCH PROBLEMOW TECHNIKI POLSKIEJ AKADEMII NAUK INSTITUTE OF FUNDAMENTAL TECHNOLOGICAL RESEARCH POLISH ACADEMY OF SCIENCES Swietokrzyska 21 POLAND Contact Person: Name: NOWACKI, Wojciech K. (Professor) Tel: +48-228268911 Fax: +48-228267380 kmm-noe@ippt.gov.pl	INSTITUTE FOR PROBLEMS OF STRENGTH, NATIONAL ACADEMY OF SCIENCES Contact person: Name: Prof. Gennadiy Stepanov, Head of Department Tel: 380442966357 Email: stepanov@ipp.adam.kiev.ua
NAVOBS	LOGISTIQUE SPATIALE WALLONE SA WALLONIA SPACE LOGISTICS BELGIUM Contact Person: Name: GHIRON-PUDEL, Florence Tel: +32-43-673063 Fax: +32-43-652346	YUZHNOYE STATE DESIGN OFFICE Contact person: Name: Dr. Oleg Ventskovsky Tel: 3226449064 Email: oventskovsky@brutele.be, oventskovsky@hotmail.com
GEHA	ALMA MATER STUDIORUM - UNIVERSITY OF BOLOGNA - C.I.G. CENTRO INTERDIPARTIMENTALE "L. GALVANI" CIG - CENTRO INTERDIPARTIMENTALE "LUIGI GALVANI" VIA ZAMBONI 33 ITALY Contact Person: Name: FRANCHESCHI, Claudio (Professor) Tel: +39-0512094743 Fax: +39-0512094747	INSTITUTE OF GERONTOLOGY Contact person: Name: Prof. Vadyslav Bezrukov Tel: +38(044) 430-40-68 Email: admin@geront.kiev.ua

PERCERAMICS	RIGAS TEHNISKA UNIVERSITATE SILICA MATERIALS INSTITUTE BIOMEDICAL ENGINEERING AND NANOTECHNOLOGY INSTITUTE Kalku Iela 1 LATVIA Contact Person: Name: DEHTJARS, Jurijs (Professor) Tel: +371-7089422 Fax: +371-7089383	ZHITOMIR STATE TECHNOLOGICAL UNIVERSITY Contact person: Name: Prof. Ivan Grabar Tel: +38 0412241420 Email: grabar@ziet.zhitomir.ua
KASSA	CENTRE DE COOPERATION INTERNATIONALE EN RECHERCHE AGRONOMIQUE POUR LE DEVELOPPEMENT DEPARTMENT CULTURES ANNUELLES - PROGRAMME GESTION DES ECOSYSTEMES CULTIVÉS 42, rue Scheffer France Contact Person: Name: FOREST, Francis (Mr) Tel: +33-467615641 Fax: +33-467617160	NATIONAL SCIENTIFIC CENTRE "INSTITUTE FOR SOIL SCIENCE AND AGROCHEMISTRY RESEARCH" Contact person: Name: Prof. Vitalij Medvedev Tel: +380572431935 Email: medvedev@online.kharkiv.com
EUROSOI	UNIVERSIDAD DE GRANADA FACULTAD DE CIENCIAS CUESTA DEL HOSPICIO, S/N 18071 GRANADA SPAIN Contact Person: Name: GAMIZ, FRANCISCO Tel: +34-95-8246145 Fax: +34-95-8243230 fgamiz@ugr.es	INSTITUT FIZIKI NAPIVPROVIDNIKIV NAUK UKRAINI Contact person: Name: Lysenko Volodymyr Tel: 380-44-265-6177 Email: lysenko@lab15.kiev.ua

ALARM	HELMHOLTZ-ZENTRUM FUER UMWELTFORSCHUNG GMBH - UFZ DEPARTMENT OF CONSERVATION BIOLOGY AND NATURAL RESOURCES DEPARTMENT OF COMMUNITY ECOLOGY Permoser Strasse 15 GERMANY Contact Person: Name: SETTELE, Josef (Dr) Tel: +49-345-5585320 Fax: +49-345-5585329	ODESSA BRANCH OF O.O. KOVALEVSKY INSTITUTE OF BIOLOGY OF SOUTHERN SEAS - NATIONAL ACADEMY OF SCIENCES OF UKRAINE Contact person: Name: Prof. Boris Aleksandrov, Director Tel: +38 0487 250918 Email: alexandrov@paco.net
SINANO	FIST SA - FRANCE INNOVATION SCIENTIFIQUE ET TRANSFERT - 83 BD EXELMANS 75016 PARIS France Contact Person: Name: DE MACEDO, JOSEPH Tel: +33-1-40510090 Fax: +33-1-40517858 sinano@minatec.grenoble-inp.fr	INSTITUTE OF SEMICONDUCTOR PHYSICS V. LASHKARYOV, NATIONAL ACADEMY OF SCIENCE, UKRAINE Contact person: Name: Alexei Nazarov Tel: 380 44 265 7022 Email: nazarov@lab15.kiev.ua
CALIMERA	CAMARA MUNICIPAL DE LISBOA DEPARTAMENTO DE BIBLIOTECAS E ARQUIVOS DA CÂMARA MUNICIPAL D PACOS DO CONCELHO - PRACA DO MUNICIPIO 1100-365 LISBOA Portugal Contact Person: Name: RUNKEL, ANA Tel: +351-21-7952874 Fax: +351-21-7937158 ana.runkel@cm-lisboa.pt	REGIONAL UNIVERSAL RESEARCH LIBRARY NAMED AFTER D.I.CHIZHEVSKY Contact person: Name: Olena Harashchenko Tel: +380-522-226579 Email: Omh@library.kr.ua, olvo@library.kr.ua

EURANOS	FORSCHUNGSZENTRUM KARLSRUHE GMBH INSTITUTE FOR NUCLEAR AND ENERGY TECHNOLOGIES (FZK-IKET Weberstraße 5 GERMANY Contact Person: Name: WOLFGANG, Raskob (Dr) Tel: +49-7247822480 olfgang.raskob@iket.fzk.de	INSTITUTE OF MATHEMATICAL MACHINE AND SYSTEM PROBLEMS Contact person: Name: Dr. Mark Zheleznyak Tel: 380442666148 Email: mark@immsp.kiev.ua
EURANOS	FORSCHUNGSZENTRUM KARLSRUHE GMBH INSTITUTE FOR NUCLEAR AND ENERGY TECHNOLOGIES (FZK-IKET Weberstraße 5 GERMANY Contact Person: Name: WOLFGANG, Raskob (Dr) Tel: +49-7247822480 olfgang.raskob@iket.fzk.de	UKRAINIAN CENTER OF ENVIRONMENTAL AND WATER PROJECTS Contact Person: Name: Dr. Vladimir Maderich, General Director Tel: + 380 44 2666187
BS-RESPOT	INTERNATIONAL CENTER FOR BLACK SEA STUDIES INTERNATIONAL CENTER FOR BLACK SEA STUDIES 4 Xenophontos Str., Syntagma GREECE Contact Person: Name: BONAS, George (Dr) Tel: +30-2103242321 Fax: +30-2103242244 Email: gbonas@iei.gr	DOBROV CENTER FOR SCIENTIFIC AND TECHNOLOGICAL POTENTIAL AND SCIENCE HISTORY STUDIES AT THE NATIONAL ACADEMY OF UKRAINE Contact person: Dr. Lidiya Kavunenko, Deouty Director Tel: +380442169591 Email: steps@carrier.kiev.ua
EUR-OCEANS	FRANCE INNOVATION SCIENTIFIQUE ET TRANSFERT 83 bvd Exelmans France Contact Person: Name: DE MACEDO, Joseph (Mr) Tel: +33-140510090 Fax: +33-140517858 http://www.eur-oceans.info/EN/contact/public.php	A.O. KOVALEVSKY INSTITUTE OF BIOLOGY OF SOUTHERN SEAS - UKRANIAN NATIONAL ACADEMY OF SCIENCES Contact person: Name: Dr. Alexandra Gubanova Tel: 380692542484

NEWATER	UNIVERSITY OF OSNABRUECK INSTITUTE OF ENVIRONMENTAL SYSTEMS RESEARCH (USF) Neuer Graben / Schloss GERMANY Contact Person: Name: PAHL-WOSTL, Claudia (Professor) Tel: +49-5419692536 Fax: +49-5419692770 contact[AT]newater.info	NATIONAL SCIENTIFIC CENTRE FOR MEDICAL AND BIOTECHNICAL RESEARCH/NATIONAL ACADEMY OF SCIENCES OF UKRAINE Contact person: Name: Prof Petro Smalko, General Manager Tel: 380442396623 Email: smalko@naverex.kiev.ua
HERMES	NATURAL ENVIRONMENT RESEARCH COUNCIL SOUTHAMPTON OCEANOGRAPHY CENTRE Polaris House, North Star Avenue UNITED KINGDOM Contact Person: Name: WEAVER, Philip (Professor) Tel: +44-2380596020 Fax: +44-2380596554	A.O. KOVALEVSKY INSTITUTE OF BIOLOGY OF SOUTHERN SEAS - UKRANIAN NATIONAL ACADEMY OF SCIENCES Contact person: Name: Prof Nelli Sergeeva, Scientist Tel: +38 0692 544785 Email: sergeeva@ibss.iuf.net
HERMES	NATURAL ENVIRONMENT RESEARCH COUNCIL SOUTHAMPTON OCEANOGRAPHY CENTRE Polaris House, North Star Avenue UNITED KINGDOM Contact Person: Name: WEAVER, Philip (Professor) Tel: +44-2380596020 Fax: +44-2380596554	ODESSA NATIONAL I.I. MECHNIKOV UNIVERSITY Contact person: Name: Prof. Valentina Yanko, Scientist Tel: +380 482 633317 Email: valyan@avalon-institute.org

PHD	CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE LABORATOIRE D'ANALYSE ET DE MATHÉMATIQUES APPLIQUÉES LAMA UMR 8050 3, RUE MICHEL-ANGE France Contact Person: Name: PAJOR, Alain (PROF) Tel: +33-1-60957533 Fax: +33-1-60957545 Email: alain.pajor@univ-mlv.fr	INSTITUTE FOR LOW TEMPERATURE PHYSICS Contact person: Name: Prof. Leonid Pastur Tel: 38-057-232-1142 Email: lpastur@ilt.kharkov.ua
IMPRESMAN-COEUR4LIFE	EUROPEAN RESEARCH AND PROJECT OFFICE EUROPEAN RESEARCH AND PROJECT OFFICE Stuhlsatzenhausweg 69 GERMANY Contact Person: Name: GIRENKO, Andrey (Dr) Tel: +49-68195923364 Fax: +49-68195923370	UKRAINIAN ASSOCIATION OF INTERNAL MEDICINE Contact person: Name: Prof. Vasyl Netiyayhenko, President Tel: 380442111072 Email: vnetiazhenko@yahoo.com
IASON	HELLENIC CENTRE FOR MARINE RESEARCH Institute of Oceanography Athens-Sounion Rd, 46.7 km 712 ANAVYSSOS-ATTIKI GREECE Contact Person: Name: PAPATHANASSIOU, Evangelos Tel: +30-22-2091076402 Fax: +30-22-91076347	MARINE HYDROPHYSICAL INSTITUTE Contact Person: Name: Dr. Evgeny Lemeshko, Senior Scientist Tel: 380692418426 Email: emlem@optima.com.ua
I-STONE	PEDRINI S.P.A via FUSINE 1 ITALY Contact Person: Name: GANDOLFI, Giuseppe (ING.) Tel: +39-0354259222 Fax: +39-035953280 istone@metal.ntua.gr	INSTITUTE OF SURFACE CHEMISTRY OF THE NATIONAL ACADEMY OF SCIENCES OF UKRAINE Contact Person: Name: dr. Yuri Plyuto Tel: +380444249027 Email: plyuto@mail.vtv.kiev.ua

SHYCA	<p>WIP - KG WIP RENEWABLE ENERGIES Sylvensteinstrasse 2 GERMANY Contact Person: Name: EPP, Christian (Dr) Tel: +49-72012712 Fax: +49-72012791 christian.epp@wip-munich.de</p>	<p>SOLAR ENERGY CENTRE Contact Person: Name: Dr. Sergiy Artemenko, Director Tel: +38 0482 373734 Email: artemenko@solar-odessa.com</p>
MULFUN	<p>FUNDACION INASMET MATERIALS AND PROCESSES DEPARTMENT Mikeletegi pasealekua, 2 Parque Tecnologico SPAIN Contact Person: Name: MARCOS OLAYA, Jesus (Mr) Tel: +34-943003700 Fax: +34-943003800</p>	<p>Contact details of the Ukrainian partner Contact person: Name: Victor Tykhyy, Head of Department Tel: (38)0562925027 Email: space@yuzhnoye.com</p>
ALCAS	<p>AIRBUS UK LIMITED New Filton House, PO Box 77, Filton UNITED KINGDOM Contact Person: Name: PHIPPS, David (Mr) Tel: +44-1179365982 Fax: +44-1179365480</p>	<p>NATIONAL AEROSPACE UNIVERSITY 'KHARKIV AVIATION INSTITUTE' Contact person: Name: Prof. Yakov Karpov, Head of Department Tel: +380577074010 Email: karpov@khai.edu</p>
INTERGAUGE	<p>POLITECHNIKA WARSZAWSKA FACULTY OF TRANSPORT PLAC POLITECHNIKI 1 POLAND Contact Person: Name: CHUDZIKIEWICZ, Andrzej (Professor) Tel: +48-226215687 Fax: +48-226215687</p>	<p>OPEN JOINT STOCK COMPANY "AZOVMASH" Contact person: Name: Mr. V'Yacheslav Mariniuk, Vice-General Constructor Tel: +380 629 39 81 85</p>

INTERGAUGE	POLITECHNIKA WARSZAWSKA FACULTY OF TRANSPORT PLAC POLITECHNIKI 1 POLAND Contact Person: Name: CHUDZIKIEWICZ, Andrzej (Professor) Tel: +48-226215687 Fax: +48-226215688	KIEV UNIVERSITY OF TRANSPORT ECONOMY AND TECHNOLOGIES Contact person: Name: Prof. Yuri Diomin, Scientific chief of lab Tel: +38 044 465 42 19 Email: diomin@gu.kiev.ua
INTERGAUGE	POLITECHNIKA WARSZAWSKA FACULTY OF TRANSPORT PLAC POLITECHNIKI 1 POLAND Contact Person: Name: CHUDZIKIEWICZ, Andrzej (Professor) Tel: +48-226215687 Fax: +48-226215689	STATE SCIENTIFIC RESEARCH CENTRE OF RAILWAY TRANSPORT Contact person: Name: Prof. Victor Myronenko, Dept. Head Tel: +38 044 465 39 93
LRUCM	TWI LIMITED Granta Park, Great Abington UNITED KINGDOM Contact Person: Name: MUDGE, Peter (Mr) Tel: +44-1223891162 Fax: +44-1223890952 peter.mudge@twi.co.uk	UKRAINIAN SOCIETY FOR NON DESTRUCTIVE TESTING AND TECHNICAL DIAGNOSTICS Contact person: Name: Vladimir Troitskiy Tel: +38 0442 272 666 Email: ndt@paton.kiev.ua / usndt@ukr.net
ENCOMAR - TRANSPORT	CENTER OF MARITIME TECHNOLOGIES E.V. Bramfelder Strasse 164 GERMANY Contact Person: Name: ULLMER, Sylvia (Dr.-I) Tel: +49-40-6919947 Fax: +49-40-6919973	MARINE ENGINEERING BUREAU Contact person: Name: Igor Ilnytsky, Technical director Tel: 380482347928 Email: ilnitskiy@meb.com.ua

WATER REUSE	ALTERRA B.V. ALTERRA-SOIL SCIENCE CENTER Droevendaalsesteeg 3 NETHERLANDS Contact Person: Name: RITSEMA, Coen (Professor) Tel: +31-317474266 Fax: +31-317419000 Coen.ritsema@wur.nl	NATIONAL SCIENTIFIC CENTRE "INSTITUTE FOR SOIL SCIENCE AND AGROCHEMISTRY RESEARCH" Contact person: Name: Prof. Vitalij Medvedev Tel: +38 0577041665 Email: medvedev@online.kharkiv.com
INDEUNIS	THE VIENNA INSTITUTE FOR INTERNATIONAL ECONOMIC STUDIES NOT APPLICABLE THE VIENNA INSTITUTE FOR INTERNATIONAL ECONOMIC STUDIES Rahlgasse 3 AUSTRIA Contact Person: Name: HAVLIK, Peter (Engineer) Tel: +43-1533661015 Fax: +43-1533661050	INTERNATIONAL PUBLIC ORGANISATION "INTERNATIONAL CENTRE FOR POLICY STUDIES" Contact person: Name: Ildar Gazizullin, Economist Tel: +380444844400 Email: igazizullin@icps.kiev.ua
INDEUNIS	THE VIENNA INSTITUTE FOR INTERNATIONAL ECONOMIC STUDIES NOT APPLICABLE THE VIENNA INSTITUTE FOR INTERNATIONAL ECONOMIC STUDIES Rahlgasse 3 AUSTRIA Contact Person: Name: HAVLIK, Peter (Engineer) Tel: +43-1533661015 Fax: +43-1533661051	OLHA PINDYUK Contact Person: Name: Olha Pindyuk Tel: +380445137806 Email: helga@eerc.kiev.ua

FLARETPOL	UNIVERSITA DEGLI STUDI DI MILANO INTERDISCIPLINAR CENTRE FOR NANOSTRUCTURED MATERIALS AND INTERFACES (CIMAINA) Via Festa del Perdono 7 ITALY Contact Person: Name: RANUCCI, Elisabetta (Dr) Tel: +39-025-0314132 Fax: +39-025-0314129	LVIV POLYTECHNIC NATIONAL UNIVERSITY Contact Person: Dr. Viktor Tokarev, Ass. Prof Tel: +38 032 258 2550 Email: vtokarev@polynet.lviv.ua
COMPARE	ASTRIUM GMBH ORBITAL & REUSABLE SYSTEMS - QUALITY ASSURANCE OPERATIONS & MISSIONS - Ludwig-Boelkow-Allee 1 GERMANY Contact Person: Name: GERICKE, Wolfgang (Mr) Tel: +49-4215394816 Fax: +49-4215394624 Email: +49 421 539 4624	YUZHNOYE STATE DESIGN OFFICE Contact Person: Alexander Degtyarev, Deputy General Designer - General Director Tel: +380567701565 Email: space@yuzhnoye.com
ASCABOS	INSTITUTE OF OCEANOLOGY - BULGARIAN ACADEMY OF SCIENCES Parvi Maj Street, 40 BULGARIA Contact Person: Name: SLABAKOV, Hristo Dimitrov (Dr) Tel: +359-52370484 Fax: +359-52370483 ascabos@io-bas.bg	MARINE BRANCH OF UKRAINIAN HYDROMETEOROLOGICAL INSTITUTE Contact Person: Name: Dr. Yuriy Pavlovich Ilyin, Director Tel: 380692543150 Email: mb_uhmi@stel.sebastopol.ua
ASCABOS	INSTITUTE OF OCEANOLOGY - BULGARIAN ACADEMY OF SCIENCES Parvi Maj Street, 40 BULGARIA Contact Person: Name: SLABAKOV, Hristo Dimitrov (Dr) Tel: +359-52370484 Fax: +359-52370483 ascabos@io-bas.bg	MARINE HYDROPHYSICAL INSTITUTE OF NATIONAL ACADEMY OF SCIENCES OF UKRAINE Contact person: Name: Dr. Gennady Korotaev, Deputy Director Tel: 380692547279 Email: ipdop@fossil.ukrcom.sebastopol.ua

ENCORA	RIJKSINSTITUUT VOOR KUST EN ZEE Rijkswaterstaat, Rijksinstituut voor Kust en Zee/RIKZ Kortenaerkade 1 20907 DEN HAAG NETHERLANDS Contact Person: Name: DRONKERS, Job Tel: +32-70-3114311 Fax: +31-70-3114200	MARINE HYDROPHYSICAL INSTITUTE - UKRAINIAN NATIONAL ACADEMY OF SCIENCE Contact person: Name: Prof. Valery Eremeev Tel: + 380 692 544110
EUROPEHIVRESISTANCE	UNIVERSITY MEDICAL CENTER UTRECHT DEPARTMENT OF VIROLOGY Heidelberglaan 100 NETHERLANDS Contact Person: Name: BOUCHER, Charles A.B. (MD) Tel: +31-302506526 Fax: +31-302505426 info@EuropeHIVResistance.com or c.boucher@umcutrecht.nl	INSTITUTE OF EPIDEMIOLOGY AND INFECTIOUS DISEASES Contact Person: Name: Coord. Tetyana Loginova, Med. Doc. Tel: +38 044 253 5309 Email: T_Logynova@ukrpost.net
EUROPEHIVRESISTANCE	UNIVERSITY MEDICAL CENTER UTRECHT DEPARTMENT OF VIROLOGY Heidelberglaan 100 NETHERLANDS Contact Person: Name: BOUCHER, Charles A.B. (MD) Tel: +31-302506526 Fax: +31-302505426 info@EuropeHIVResistance.com or c.boucher@umcutrecht.nl	UKRAINIAN AIDS CENTER Contact person: Olga Kravchenko, Scientific Researcher Tel: +380 442 70 4814 Email: olgainfo@ukr.net
ENPRODUS	NATIONAL TECHNICAL UNIVERSITY OF ATHENS SCHOOL OF MINING AND METALLURGICAL ENGINEERING / LABORATORY OF METALLURGY HEROON ROLYTECHNIOY 9 GREECE Contact Person: Name: PANIAS, DIMITRIOS (Professor) Tel: +30-2107722276 Fax: +30-2107722168	THE UKRAINIAN RESEARCH AND DEVELOPMENT INSTITUTE OF INDUSTRIAL AND SANITARY PURIFICATION OF GASES Contact person: Der. Vladislav Sokolov Tel: +380444246057 Email: vlads@visti.com

FP7 PROJECTS

Project acronym	Contact details of Coordinator	Contact details of the Ukrainian partner
4SEAS	Official Coordinator is ISTITUZIONE MUSEI DEL MARE E DELLA NAVIGAZIONE Galata Museo del Mare, Calata de Mari ITALY Ms Franca Acerenza Tel: +39-0102514760 Fax: +39-0102543908 Email: Contact But actual coordinators are: Antonio Sarà DIP.TE.RIS. Università di Genova - Corso Europa 26 - 16132 GENOVA antonio.sara@dipteris.unige.it a.sara@studioassociatogaia.com	SEVASTOPOL MARINE AQUARIUM A.O. KOVALEVSKY INSTITUTE OF BIOLOGY OF SOUTHERN SEAS - UKRANIAN NATIONAL ACADEMY OF SCIENCES 2, Nakhimov av., Sevastopol, 99011, Crimea UKRAINE Alla Korotkova Tel: +380 692 543892 Email: alla_korotkova63@mail.ru
APOLLON	CESI RICERCA SPA Via Rubattino Raffaele 54 ITALY Gianluca Timò gianluca.timo@cesiricerca.it Tel: +39 0523 434369 Lauro Pollachini CESI RICERCA lauro.pollachini@cesiricerca.it tel +39 02 3992 5493	STATE ENTERPRISE SCIENTIFIC RESEARCH TECHNOLOGICAL INSTITUTE OF INSTRUMENT ENGINEERING

APPLICMA	ARC Austrian Research Centers GmbH Dr. Merstallinger Andreas andreas.merstallinger@arcs.ac.at	INSTITUT ELEKTROZVARYUVANNYA IM.E.O. PATONA NACIONALNOI AKADEMII NAUK UKRAINY 11, Bozhenko str., Kyiv, 03680 UKRAINE Tel: (+380 44) 2876779 Fax.: (+380 44) 5280486.
AQUATERRE	Mr. Steinar Rafn Beck – project coordinator sbeck@unak.is Tel +354-4608900 Mr. Halldorsson Olafur – project manager Organisation: HASKOLINN A AKUREYRI SOLBORG V NORDURSLOD ICELAND	The Ukrainian Scientific Research Institute of Ecological Problems www.niiep.kharkov.ua Bakulina 6, Kharkiv 61166 UKRAINE Ms. Kateryna Utrina Tel: +38-0577021578 Fax: +38-0577021578 Email: kate_utrina@mail.ru
ASSPRO CEE 2007	Coordinator: Universiteit Maastricht, The Netherlands Department of Health Organization, Policy and Economics (BEOZ) Faculty of Health, Medicine and Life Sciences; Maastricht University Universiteitssingel 40; 6229 ER Maastricht; The Netherlands Project coordinator: Dr. Milena Pavlova (assistant professor) Tel: +31-43-3881705; E-mail: M.Pavlova@BEOZ.unimaas.nl Scientific coordinator: Prof.Dr. Wim Groot (professor of health economics) Tel: +31-43-3881705; E-mail: W.Groot@BEOZ.unimaas.nl Scientific coordinator: Prof.Dr. Frits van Merode (vice-dean; professor of operations management) Tel: +31-43-3881705; E-mail: F.vanMerode@BEOZ.unimaas.nl	Shkola Ohorony Zdorovia School of Public Health 2 Skovoroda Str.; Kyiv 04070; Ukraine Contact person: Dr. Irena Griga (director) Tel: +38-44-4256580; E-mail: griga@ukma.kiev.ua

BEE	<p>University of Freiburg (ALU) Matthias Dees Abteilung FELIS Tennenbacherstr. 4 79085 Freiburg Germany Tel. +49761203 3697 Fax +49761203 3701 E-mail Matthias.Dees(at)felis.uni-freiburg.de http://www.felis.uni-freiburg.de/</p>	<p>Scientific Engineering Centre "Biomass" (SEC Biomass) Georgiy Geletukha Dubinina str. 8 03127 Kiev Ukraine Tel. +380 44332 9140 Fax +380 44456 9462 E-mail geletukha(at)biomass.kiev.ua http://www.biomass.kiev.ua/</p> <p>National Agricultural University of Ukraine (NAUU) Petro Lakyda 15 Heroiv Oborony str. Kyiv, 03041 Ukraine Tel. +38044527 8528 Fax +38044527 8528 E-mail lakyda(at)nauu.kiev.ua http://www.nauu.kiev.ua/</p>
BILAT-UKR	<p>National Centre for Scientific Research (CNRS) * Dr. Jean-Luc Teffo * 3 Rue Michel-Ange * 75794 Paris-Cedex 16 * Telephone: +33 1 44965153 * Fax: +33 1 44964910 * e-mail:Jean-Luc.TEFFO@cnrs-dir.fr</p>	<p>Center of Practical informatics OF THE NATIONAL ACADEMY OF SCIENCES OF UKRAINE (NASU) naumovets@nas.gov.ua platonov@nas.gov.ua mgor@nas.gov.ua</p> <p>KYIV STATE CENTER FOR SCIENTIFIC TECHNICAL AND ECONOMIC INFORMATION (NIP-UA) Ms Olena Koval Tel : +380 44 5290332 Fax : +380 44 529 0332 post@fp6-nip.kiev.ua fp@fp6-nip.kiev.ua</p>
BIO CIRCLE	<p>APRE - Agenzia per la Promozione della Ricerca Europea pocaterra@apre.it, kubbinga-@apre.it</p>	<p>NATIONAL AGRICULTURAL UNIVERSITY OF UKRAINE NationalAgricultural University of Ukraine Contact: Alla.Kravchenko@nauu.kiev.ua</p>

BS-ERA.NET	<p>CENTRUL NATIONAL DE MANAGEMENT PROGRAME Mendeleev ROMANIA Dr. Serban PANAITESCU Co-ordinator panaitescu@cnmp.ro Speranta RASAN Project Manager speranta_rasan@cnmp.ro Lucia POPESCU Scientific Officer lucia_popescu@cnmp.ro</p>	<p>The National Information Centre for Ukraine – EU U&T Cooperation at Kyiv State Centre for Scientific, Technical and Economic Information http://www.fp6-nip.kiev.ua/ Ms Olena Koval Tel : +380 44 5290332 Fax : +380 44 529 0332 post@fp6-nip.kiev.ua</p>
CEUBIOM	<p>GEONARDO ENVIRONMENTAL TECHNOLOGIES LTD Zahony utca 7 1031 Budapest HUNGARY coordinator Mr Balázs Bodó Phone number: (+361)2506703 Fax number: (+361)4369038 (Geonardo Ltd, Hungary) EU Scientific officer Ms Silvia Ferratini (DG RTD-ENERGY)</p>	<p>Ukrainian Land and Resource Management Center Dr. Oleksandr Kolodyazhnyy Address: 13 Chokolivsky Boulevard, Kyiv, 03186, UKRAINE Phone number: (+38)0442302266 Fax number: (+38)0442302267</p>
CHERISH	<p>ALMA MATER STUDIORUM-UNIVERSITA DI BOLOGNA Via Zamboni ITALY Prof. Giovanni Romeo, Dip. di di Scienze Ginecologiche, Ostetriche e Pediatriche struttura Dipartimento di Scienze Ginecologiche, Ostetriche e Pediatriche indirizzo Via Massarenti, 11 Bologna Vai alla mappa telefono +39 051 306474 fax +39 051 636 4 004 email giovanni.romeo@unibo.it</p>	<p>INSTYTUT MOLEKULARNOI BIOLOGII I GENETYKY NAN UKRAINY</p>

COCAE	TECHNOLOGICAL EDUCATIONAL INSTITUTE OF CHALKIDA LAMBROPOULOS, Charalambos (Dr) Tel: +30-6972136830 Fax: +30-2228023766	Chernivtsi Yuriy Fedkovych National University V.E. LASHKARYOV INSTITUTE OF SEMICONDUCTOR PHYSICS OF THE NATIONAL ACADEMY OF SCIENCE OF UKRAINE 41, pr Nauki, Kyiv UKRAINE Tel: 38 (044) 525 - 40 - 20 Fax: 38 (044) 525 - 83 - 42
COMON	UNIVERSITAT ROVIRA I VIRGILI CARRER DE L'ESCORXADOR SPAIN IÑIGUEZ, Benjamin (Professor) Tel: +34-977558521 Fax: +34-977559605	Melexis Ukraine 4 Kotelnikova street 03115 Kiev UKRAINE Email: ekh@melexis.com
COMPOSITUM	MARIA CURIE-SKLODOWSKA UNIVERSITY LUBLIN PL. MARII CURIE-SKLODOWSKIEJ POLAND Name: SKUBISZEWSKA-ZIEBA, Jadwiga (Dr) Tel: +48-81-5375678 Fax: +48-81-5333348	INSTITUTE OF SURFACE CHEMISTRY OF NATIONAL ACADEMY OF SCIENCES OF UKRAINE
CTB	IMPERIAL COLLEGE OF SCIENCE, TECHNOLOGY AND MEDICINE Exhibition Road, South Kensington Campus UNITED KINGDOM Geraldine A. Thomas Tel.: +44-1792-285407; fax: +44-1792-285201. g.a.Thomas@swansea.ac.uk	V P KOMISARENKO INSTITUTE OF ENDOCRINOLOGY AND METABOLISM - ACADEMY OF MEDICAL SCIENCES OF THE UKRAINE Vyshgorodskaja, 69 Kiev UKRAINE Tel.: 38044 432-86-22 Fax: 38044 432-54-57 E-mail: admin@dccie.kiev.ua

ENRI-EAST	<p>INSTITUT FUER HOEHERE STUDIEN UND WISSENSCHAFTLICHE FORSCHUNG Stumpergasse AUSTRIA Principal Investigator Prof. Hans-Georg Heinrich (hans-georg.heinrich@univie.ac.at) Project Coordinator Dr. Alexander Chvorostov (alex.chv@ihs.ac.at) Tel: +43/1/59991-304 Fax: +43/1/522 43 46 PR & Communications Assistant Lev Ratner (ratner@ihs.ac.at) Tel: +43/1/59991-314 Fax: +43/1/522 43 46</p>	<p>East-Ukrainian Foundation for Social Research Prof. Valeriy N. Nikolayevskiy V_Nikolayevskiy@sociology.kharkov.ua Oleksandr I. Kizilov, PhD A.Kizilov@gmail.com</p>
EU4SEAS	<p>CENTRE FOR INTERNATIONAL INFORMATION AND DOCUMENTATION IN BARCELONA c/ Elisabets SPAIN VAQUER I FANÉS, Jordi (Dr) Tel: +34-933026495 Fax: +34-933022118 Email: Director@cidob.org</p>	<p>International Centre for Policy Studies ICPS, Kyiv, Ukraine www.icps.kiev.ua</p> <p>Olga Shumylo Director Email: olga.shumylo@icps.kiev.ua</p>
EXTEND	<p>PLANET S.A LOUISE RIENCOURT 64, APOLLON TOWER na 11523 ATHENS GREECE Name: KOUMERI, RAPHAEL Tel: +32-47-5279737 Fax: +32-2-2304908 Email: rcoum@planet.gr</p>	<p>KYIV STATE CENTER FOR SCIENTIFIC TECHNICAL AND ECONOMIC INFORMATION Office 801, 180 Gorky St., Kyiv 03680 UKRAINE</p> <p>Contact person: Vadym Yashenkov Email: fp@fp6-nip.kiev.ua</p>

<p>FRIDA</p>	<p>Università degli Studi di Bologna Dipartimento di Scienze Aziendali Via Capo di Lucca, 34 40126 Bologna ITALIA</p>	<p>NACIONALNIY TEHNICHNIY UNIVERSITET UKRAINI KIIVSKIY POLITEHNICHNIY INSTITUT 37 Prospect Peremogy, Kiev 03056 UKRAINE Sergiy Solntsev Tel: +380(44)236-96-73 Email: fmm@ntu-kpi.kiev.ua</p>
<p>HPH.COM</p>	<p>UNIVERSITA DEGLI STUDI DI PADOVA Via VIII Febbraio ITALY Dr. Daniele Pavarin University of Padova CISAS "G. Colombo" - Centro Interdipartimentale Studi e Attività Spaziali via Venezia, 15 35131 Padova Italy</p> <p>Tel: +39 049 8276854 Fax: +39 049 8276855 e-mail: daniele.pavarin@unipd.it Project Manager</p> <p>Dr. Francesca Ferri University of Padova CISAS "G. Colombo" - Centro Interdipartimentale Studi e Attività Spaziali via Venezia, 15 35131 Padova Italy</p> <p>Tel: +39 049 8276858 Fax: +39 049 8276855 e-mail: francesca.ferri@unipd.it</p>	<p>NATIONAL AEROSPACE UNIVERSITY KHARKIV AVIATION INSTITUTE NAMED BY N ZUKOVSKIY</p>

NANOBIOSENS	MIDDLE EAST TECHNICAL UNIVERSITY, CAMPUS TURKEY, Ankara. Nanotechnology & Nanobiotechnology Research Center, Central Laboratory AKATA KURC, Burcu (Dr) Tel: +90-312-2106459 Fax: +90-312-2106425 Email: akata@metu.edu.tr	INSTYTUT MOLEKULARNOI BIOLOGII I GENETYKY NAN UKRAINY Kiev UKRAINE Sergei DZYADEVYCH Email: dzyad@yahoo.com Alexei SOLDATKIN
NANOINDENT	KEMIAI KUTATOKOZPONT - MAGYAR TUDOMANYOS AKADEMIA Pusztaszeri ut 59-67 PO Box 17 HUNGARY Dr. Péter NAGY (nagyp@chemres.hu)	V.N. BAKUL INSTITUTE FOR SUPERHARD MATERIALS OF THE NATIONAL ACADEMY OF SCIENCES 2, Avtozavodskaya Str. Kiev, 04074 UKRAINE Tel/fax (+38 044) 468-86-32; 468-86-25 www.ism.kiev.ua Email:alcon@ism.kiev.ua Dr. Sergey Dub
NANOSMARTS	MAX PLANCK GESELLSCHAFT ZUR FOERDERUNG DER WISSENSCHAFTEN E.V. Hofgartenstrasse 8 GERMANY MESSERSCHMIDT, Manfred (Mr) Tel: +49-551-2011221 Fax: +49-551-2011331 Email: messerschmidt@vw.mpibpc.mpg.de	Ukraine National Pirogov Memorial Medical University
PAGALINNET	Center of Excellence on High Performance Computing of University of Calabria GRANDINETTI, Lucio (Professor) Tel. 0984/494741 Tel. 0984/493899 Tel. 0984/494885 Fax: +39-0984-494847 Email: lugran@unical.it	Ternopil National Economic University Address: 11 Lvivska st., 46020 Ternopil UKRAINE Phone: +38 (0352) 475051 Email: academ@tane.edu.ua

<p>PESI</p>	<p>UNIVERSITEIT VAN AMSTERDAM SPUI 21 Postbus 19268 1012WX AMSTERDAM NETHERLANDS Direct contact at institute: Dr de Jong, Yde Phone: +31-(0)20-525 71 91 Fax: +31-(0)20-525 77 80 Email: Y.S.D.M.deJong@uva.nl http://www.yjong.net/ dr. Kouwenberg, Juliana Direct contact at institute: Phone: +31-(0)20-525 77 82 Email: kouwenberg@uva.nl</p>	<p>A.O. KOVALEVSKIY INSTITUTE OF BIOLOGY OF SOUTHERN SEAS Headquarters 2 Nakhimov av. 99011 Sevastopol Crimea 99011 Ukraina Direct contact at institute: Dr Vladymyrov, Vladimir Phone: +38-(0)503-25 10 35 Fax: +38-(0)692-55 78 13 Email: v.vladymyrov@ibss.org.ua ; v.vladymyrov@gmail.com</p> <p>STATE MUSEUM OF NATURAL HISTORY, NATIONAL ACADEMY OF SCIENCES OF UKRAINE Teatralna Str., 18 79008, L'viv Ukraina Direct contact at institute: Rizun, Volodymyr Phone: +380-(0)322-72 89 17 Fax: +380-(0)322-72 89 17 Email: rizun@museum.lviv.net</p>
<p>PSYCHCNVS</p>	<p>deCODE genetics (coordinator) Sturlugata 8 IS-101 Reykjavik Iceland Tel: +354 570 1900 Fax: +354 570 1903 e-mail: info@decode.is Team leader: Dr Hreinn Stefansson</p>	<p>Ukrainian Research Institute of Social, Forensic Psychiatry and Drug Abuse Frunze Str 103 04080 Kyiv Ukraine Tel: +380 44 468 32 15; +380 44 460 41 04 Fax: +380 44 468 32 15 e-mail: undisspn@ukr.net; i.martsenkovsky@ukrnet.net Team leader: Igor Martsenkovsky</p>

ROPACS	THE UNIVERSITY OF HERTFORDSHIRE HIGHER EDUCATION CORPORATION COLLEGE LANE UNITED KINGDOM David J. Pinfield RCUK Academic Fellow Centre for Astrophysics Research Science & Technology Research Institute University of Hertfordshire(campus map) College Lane Hatfield, AL10 9AB Tel: +44 1707 284171 Fax: +44 1707 284185 UH email: D.J.Pinfield AT herts.ac.uk	MAIN ASTRONOMICAL OBSERVATORY OF THE NATIONAL ACADEMY OF SCIENCES OF UKRAINE Zabolotnoho 27, Kyiv-127, 03680 Ukraine Dr.Sci. Yakiv V. Pavlenko, fax:.....380 44 2662147 phone:.....380 44 2664771 E-mail to: yp[at]mao.kiev.ua
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<p>SCUBE-ICT</p>	<p>International Environment and Quality Services North Greece Ltd (Qplan N.G) NESTOROS TYPA ST. 7 54646 THESSALONIKI GREECE Contact Person: Mr. Iakovos Delioglanis Email: delioglanis [at] qplan.gr</p>	<p>Partner: LvCSTEI Organization Full Name: Lviv Centre for Scientific Technical and Economic Information Location: 79058, Ukraine, Lviv, 57 Tchernovil Av Website: www.cstei.lviv.ua Main responsibilities: Overall responsible for Ukraine. Mapping of the Belarusian and Ukrainian ICT related research and industrial actors Type of Organization: Public body Contact Person: Ivan Kulchytskyy Email: ivanppp [at] cstei.lviv.ua</p> <p>Partner: IAIP Organization Full Name: Institute of Artificial Intelligence Problems Location: Artyoma street 118b, Donetsk city, Ukraine Website: www.iai.gov.ua/ Main responsibilities: National partner. General support for all project activities, especially for Ukraine involvement in R&D projects Type of Organization: State Organization (Establishment, Institution) Contact Person: Evgeniy Malaschuk Email: evm-it [at] yandex.ua</p> <p>Partner: GIC Organization Full Name: V.M. Glushkov Institute of Cybernetics of National Academy of Sciences of Ukraine Location: Acad. Glushkov avenue 40, 03187, Kiev, Ukraine Website: www.icyb.kiev.ua Main responsibilities: National partner. General support for all project activities, especially for Ukraine involvement in R&D projects Type of Organization: Research institute team/unit Contact Person: Olga Perevozchikova Email: dep145 [at] gmail.com</p>
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SHRINK SMART	HELMHOLTZ-ZENTRUM FUER UMWELTFORSCHUNG GMBH - UFZ Permoser Strasse GERMANY SCHMIDT, Annette (Ms.) Tel: +49-341-2351663 Fax: +49-341-2351386 Email: Contact	INDUSTRIAL ECONOMICS INSTITUTE, NATIONAL ACADEMY OF SCIENCES OF UKRAINE 77 Universitetska St., 83048, Donetsk UKRAINE Phone: (062): 311 5782, 311 4400; f ax 345 0671 email:admin@iep.donetsk.ua http://www.iep.donetsk.ua
STELE	UNGLIGA TEKNISKA HOEGSKOLAN VALHALLAVAEGEN 79 10044 STOCKHOLM SWEDEN Professor Vladislav Korenivski Nanostructure Physics Applied Physics School of Engineering Sciences KTH - Royal Institute of Technology Phone: +46 8 553 78 138 Email: vkkth.se	INSTITUTE FOR LOW TEMPERATURE PHYSICS AND ENGINEERING of NASU LENIN AVENUE 47 61103 KHARKIV UKRAINE Tel: +(380)-57-340-22-23 Fax: +(380)-57-340-33-70 E-mail: ilt@ilt.kharkov. Ua

INTAS PROJECTS

Project acronym	Contact details of Coordinator	Contact details of the Ukrainian partner
50-4529	University of Manchester Institute of Science and Technology Sackville Street M60 1QD Manchester United Kingdom Anthony Peaker Tel: 44 161 200 4752 Fax: 44 161 200 4770 Email: peaker@umist.ac.uk	Institute of Physics of NASU Department of Physics of Radiation Processes Prospekt Nauki, 46 03028 Kyiv Ukraine Ludmila Khirunenko Tel: 380 44 265 98 21 Fax: 380 44 265 55 88 Email: lukh@iop.kiev.ua

51-3036	Institute of Experimental Physics, Slovak Academy of Sciences Low Temperature Physics Watsonova 47 SK-04353 Kosice Slovakia Karol Flachbart Tel: 421 55 6228158 Fax: 421 55 6336292 Email: flachb@kosice.upjs.sk	B. Verkin Institute for Low Temperature Physics and Engineering Magnetic properties and spectroscopy Lenin prospekt, 47 61103 Kharkiv Ukraine Igor Svechkarev Tel: 380 572 336400 Fax: 380 572 322370 Email: svechkarev@ilt.kharkov.ua
51-3123	University of Surrey Department of Psychology Guildford GU2 7XH Surrey United Kingdom Evanthia Lyons Tel: 44 1483 686902 Fax: 44 1483 689512 Email: e.lyons@surrey.ac.uk	Karkhov Hospital University Department of Applied Psychology Svoboda sq., 4 61077 Kharkiv Ukraine Valentyna Pavlenko Tel: 380 572 436954 Fax: 380 1483 689512 Email: pav@iatp.org.ua
51-3350	Rheinische Friedrich-Wilhelms Universität Bonn Physikalisches Institut Nussallee, 12 D-53115 Bonn Germany Guenter von Gehlen Tel: 49 228 733244 Fax: 49 228 733223 Email: gehlen@th.physik.uni-bonn.de	Bogolyubov Institute for Theoretical Physics of NASU Department of Nuclear and Quantum Field Theory Metrologicheskaya, 14b 03143 Kyiv Ukraine Vitaly Shadura Tel: 380 44 2669145 Fax: 380 44 2665998 Email: shadura@bitp.kiev.ua

51-3714	<p>University of Leicester Department of Economics University Road LE1 7RH Leicester United Kingdom Wojciech Charemza Tel: 44 116 2522899 Fax: 44 116 2522908 Email: wch@le.ac.uk</p>	<p>Taras Shevchenko National University of Kiev Probability and Statistics department Vladimirskaya Str., 64 01033 Kyiv Ukraine Victoria Majkowska Tel: 380 44 2501196 Fax: 380 44 2501196 Email: vnpc@hotmai.com</p>
51-3728	<p>Technische Universität Darmstadt Institute of Mechanics Hochschulstrasse, 1 D-64289 Darmstadt Germany Kolumban Hutter Tel: 49 6151 162991 Fax: 49 6151 164120 Email: hutter@mechanik.tu-darmstadt.de</p>	<p>Institute of Mathematical Machine and System Problems of NASU Department of Marine and River System Modelling Prospekt Akad. Glushkova, 42 03187 Kyiv Ukraine Vladimir Maderich Tel: 380 042 2666187 Fax: 380 042 2663615 Email: vlad@immsp.kiev.ua</p> <p>Institute</p>
51-3747	<p>Universität Paderborn Physics Warburger Strasse D-33098 Paderborn Germany Siegmund Greulich-Weber Tel: 49 5251 602740 Fax: 49 5251 602740 Email: greulich-weber@physik.upb.de</p>	<p>Institute of Semiconductor Physics of NASU Department of semiconductor heterostructure Prospekt Nauki, 45 03028 Kyiv Ukraine Ekaterina Kalabukhova Tel: 380 44 2360971 Fax: 380 44 2434893 Email: katia@i.kiev.ua</p> <p>University of Dnepropetrovsk Radiophysical N</p>

51-3784	<p>Radboud University of Nijmegen Experimental Solid State Physics Toernooiveld, 1 6525 ED Nijmegen The Netherlands Theo Rasing Tel: 31 024 3653102 Fax: 31 024 3652190 Email: theoras@sci.kun.nl</p>	<p>Donetsk Physical & Technical Institute of National Academy of Sciences of Ukraine Theory of Electronic and Kinetic Properties of Nonlinear Systems Rosa Luxemburg, 72 83114 Donetsk Ukraine Igor Lyubchanskii Tel: 380 062 3377763 Fax: 380 062 3379018 Email:</p>
51-3945	<p>University of Oslo Department of Molecular Bioscience Blindern, 1 N-0316 Oslo Norway K. Kristoffer Andersson Tel: 47 22 856625 Fax: 47 22 85443 Email: k.k.andersson@biokjemi.uio.no</p>	<p>Institute of Microbiology and Virology of NASU Department of Gasoxidating Micro-organisms Zabolony, 154 03143 Kyiv Ukraine Yury Tumenyuk-Malashenko Tel: 380 044 2663297 Fax: 380 044 2662379 Email: malashenko@serv.imv.kiev.ua</p>
51-4145	<p>Space Research Organization of the Netherlands (SRON) Sensor Research and Technology Division Sorbonnelaan, 2 3584 CA Utrecht The Netherlands Piet de Korte Tel: 31 30 2535710 Fax: 31 30 2540860 Email: P.A.J.de.Korte@sron.nl</p>	<p>Institute of Low Temperature Physics and Engineering of NASU Department of Superconducting and Mesoscopic Structures Lenin prospekt, 47 61103 Kharkiv Ukraine Yevgen Bezugliy Tel: 380 572 300390 Fax: 380 572 322370 Email: Bezugliy@ilt.kharkov.ua</p>

51-4532	<p>The Victoria University of Manchester Department of Chemistry Oxford Road M13 9PL Manchester United Kingdom Richard Winpenny Tel: 44 161 2754653 Fax: 44 161 2754616 Email: richard.winpenny@man.ac.uk</p>	<p>A.V. Bogatsky Physical Chemistry Institute Department of Catalysis Lustdorfskaya Doroga, 86 65080 Odessa Ukraine Gerbert Kamalov Tel: 380 482 653046 Fax: 380 482 653046 Email: kml@paco.net.ua</p> <p>Taras Shevchenko National University of Kiev Department of Che</p>
51-4561	<p>Tallinn Technical University Material Science Ehitajate tee, 5 19086 Tallinn Estonia Enn Mellikov Tel: 372 6202 798 Fax: 372 6202 798 Email: enn@edu.ttu.ee</p>	<p>Institute of Physics of NASU Molecular Photoelectronics Prospekt Nauki, 46 03650 Kyiv Ukraine Yaroslav Vertsimakha Tel: 380 44 2650912 Fax: 380 44 2651589 Email: yavertsi@iop.kiev.ua</p>
51-4620	<p>Nansen Environmental and Remote Sensing Center (NERSC) Edvard Griegsvei, 3a N-5059 Bergen Norway Ola Johannessen Tel: 47 55 297288 Fax: 47 55 200050 Email: ola.johannessen@nrsc.no</p>	<p>Institute of Mathematical Machine and System Problems of NASU Department of Marine and River Systems Prospekt Akad. Glushkova, 42 03187 Kyiv Ukraine Vladimir Maderich Tel: 380 44 266 61 87 Fax: 380 44 266 36 15 Email: vlad@immisp.kiev.ua</p>

51-4696	<p>Università di Bologna Department of Chemistry "G. Ciamician" Via Zamboni, 33 I-40126 Bologna Italy Margherita Venturi Tel: 39 051 2099543 Fax: 39 051 2099456 Email: mventuri@ciam.unibo.it</p>	<p>A.V. Bogatsky Physical Chemistry Institute Department of fine organic synthesis Lustdorfskaya Doroga, 86 65080 Odessa Ukraine Stepan Basok Tel: 380 482 653054 Fax: 380 482 649778 Email: yura_romanov@torba.com</p>
51-4706	<p>University of Manchester Physics and Astronomy Department Brunswick, 10 M13 9PL Manchester United Kingdom George King Tel: 44 161 2754134 Fax: 44 161 2754259 Email: george.king@man.ac.uk</p>	<p>Institute of Electron Physics Electron Processes Department Universitetska, 21 88016 Uzhgorod Ukraine Oleksandr Borovyk Tel: 380 31 2243620 Fax: 380 31 2243650 Email: sasha@borovik.uzhgorod.ua</p>
51-4736	<p>Centre National de la Recherche Scientifique (CNRS) Département des Sciences pour l'Ingénieur Avenue de la Recherche Scientifique F-45071 Orléans France Jean-Pierre Martin Tel: 33 (0)2 38 25 77 19 Fax: 33 (0)2 38 25 77 77 Email: jean-pierre.martin@cnrs-or</p>	<p>Institute of Fundamental Problems for High Technology of NASU Department of Chemical Physics Prospect Nauki, 45 03028 Kyiv Ukraine Vadym Naumov Tel: 380 44 2657778 Fax: 380 44 2656033 Email: naumov@ifpht.kiev.ua</p>

51-4789	<p>Consiglio Nazionale delle Ricerche (CNR) Institute of Applied Physics - CNR via Panciatichi, 64 I-50127 Firenze Italy Paolo Pampaloni Tel: 39 055 4235205 Fax: 39 055 4235290 Email: P.Pampaloni@ifac.cnr.it</p>	<p>Marine Hydrophysical Institute Department of Hydrophysics of Shelf Kapitanskaya, 2 99011 Sevastopol Ukraine Yuri Goryachkin Tel: 380 692 540290 Fax: 380 692 554253 Email: shelf@alpha.mhi.iuf.net</p>
51-4915	<p>Université de Provence Aix-Marseille I & CNRS Centre St Jérôme UMR 6517 Avenue escadrille Normandie-Niemen F-13397 Marseille France Jean-Pierre Finet Tel: 33 491 288927 Fax: 33 491 288758 Email: finet@srepir1.univ-mrs.fr</p>	<p>Ukrainian National University of Pharmacy Pharmacy Pushkinskaya, 53 61002 Kharkiv Ukraine Valentin CHERNYKH Tel: 380 572 470164 Fax: 380 572 142540 Email: interdep@ukrfa.kharkov.ua</p>
51-4924	<p>Diospek GbR R&D Department Honnefer Str., 14 D-53179 Bonn Germany Peter Kohns Tel: 49 2642 932268 Fax: 49 2642 932396 Email: kohns@diospek.de</p>	<p>Karazin Kharkiv State University Radio-physical Department Swobody Square, 4 61077 Kharkiv Ukraine Vladimir Kuzmichev Tel: 380 572 45 71 57 Fax: 380 572 45 71 57 Email: vmkuzmichov@newmail.ru</p> <p>National University of Pharmacy Physics Department Pushkinskay</p>

51-4983	<p>Karolinska Institute Microbiology and Tumor Biology Center and Center for Genomics Research Nobels väg, 16 S-17177 Stockholm Sweden George Klein Tel: 46 8 7286731 Fax: 46 8 330498 Email: georg.klein@mtc.ki.se</p>	<p>Institute of Molecular Biology and Genetics of NASU Department of Molecular Oncogenetic Zabolony, 150 03143 Kyiv Ukraine Alla Rynditch Tel: 380 44 2661169 Fax: 380 44 2660759 Email: rynditch@yahoo.com</p>
51-4997	<p>University of Utrecht Social Sciences Department Heidelberglaan, 2 3508 TC Utrecht The Netherlands Louk Hagendoorn Tel: 31 30 2534166 Fax: 31 30 2534733 Email: L.Hagendoorn@fss.uu.nl</p>	<p>Tavrida V.I.Vernadsky State University Psychology Department Komsomolskaya, 6 95017 Simferopol Ukraine Carina Korostelina Tel: 380 652 299482 Fax: 380 652 299482 Email: carina@bair.crimea.com</p> <p>Institute of Psychology of NASU Psychology Department Pan'kivs</p>
51-5007	<p>University of Bath Department of Mathematical Sciences Claverton Down BA2 7AY Bath United Kingdom Chris Budd Tel: 44 1225 826241 Fax: 44 1225 826492 Email: cjb@maths.bath.ac.uk</p>	<p>Institute of Applied Mathematics and Mechanics Departement of Partial Differential Equations Rosa Luxemburg, 74 83114 Donetsk Ukraine Andrey Shishkov Tel: 380 622 552394 Fax: 380 622 552265 Email: shishkov@iamm.ac.donetsk.ua</p>

51-5266	<p>University of Bath Physics Department Claverton Down BA2 7AY Bath United Kingdom Daniel Wolverson Tel: 44 1225 383321 Fax: 44 1225 386110 Email: d.wolverson@bath.ac.uk</p>	<p>Institute of Nuclear Research of NASU Theoretical Physics Prospect Nauki, 47 03680 Kyiv Ukraine Volodymyr Sugakov Tel: 380 44 2654810 Fax: 380 44 2654463 Email: sugakov@kinr.kiev.ua</p>
51-5345	<p>Loughborough University Institute of Polymer Technology and Materials Engineering Ashby Road LE11 3TU Loughborough United Kingdom Jon Binner Tel: 44 1509 223162 Fax: 44 1509 223949 Email: j.binner@lboro.ac.uk</p>	<p>Institute of Problems of Material Science of NASU Physics & Technology of Sintering and Rheology of Dispersed Systems Krzhynzhansky, 3 03680 Kyiv Ukraine Valentina Panichkina Tel: 380 44 424 34 15 Fax: 380 44 424 21 31 Email: vv@pani.kiev.ua</p>
51-5448	<p>Philips Research Inorganic materials and processing department Prof. Holstlaan, 4 5656 AA Eindhoven The Netherlands Ageeth Bol Tel: 31 40 2742271 Fax: 31 40 2744282 Email: ageeth.bol@philips.com</p>	<p>Institute of Physics of NASU Crystal Department Prospekt Nauki, 46 03028 Kyiv Ukraine Oleg Yaroshchuk Tel: 380 44 2652424 Fax: 380 44 2651589 Email: olegyar@iop.kiev.ua</p>

51-5563	<p>University of Brighton School of Pharmacy and Biomolecular Sciences Lewes Road BN2 4GJ Brighton United Kingdom Stephen Denyer Tel: 44 1273 642100 Fax: 44 1273 642674 Email: s.denyer@brighton.ac.uk</p>	<p>Odessa State University Department of Biology Dvoryanskaya, 2 65026 Odessa Ukraine Volodymyr Ivanytsya Tel: 380 48 235254 Fax: 380 48 236013 Email: v_ivanit@te.net.ua</p>
51-5583	<p>Swedish Institute of Space Physics (IRFU) Uppsala Division Lägerhyddsvägen, 1 S-75221 Uppsala Sweden Bo Thidé Tel: 46 018 4715914 Fax: 46 018 4715905 Email: bt@irfu.se</p>	<p>Kharkiv Institute of Radioastronomy Ionospheric Radio Propagation Department Chervonopraporna, 4 310002 Kharkiv Ukraine Yuri Yampolsky Tel: 380 572 448462 Fax: 380 572 476506 Email: yampol@rian.kharkov.ua</p>
51-5727	<p>Centre National de la Recherche Scientifique (CNRS) Observatoire de Paris place Jules Janssen F-92195 Meudon France Alain Lecacheux Tel: 33 1 45077774 Fax: 33 1 45077828 Email: alain.lecacheux@obspm.fr</p>	<p>Kharkiv Institute of Radioastronomy Department of Decametric Radio Astronomy Chervonopraporna, 4 61002 Kharkiv Ukraine Alexander Konovalenko Tel: 380 57 706 1412 Fax: 380 57 706 1415 Email: akonov@ira.kharkov.ua</p> <p>Institute of Geophysics of NASU Poltava Gr</p>

51-5908	<p>Institute of Experimental Botany (ASCR) Laboratory of Molecular Cytogenetics and Cytometry Sokolovska, 6 CZ-77200 Olomouc Czech Republic Jaroslav Dolezel Tel: 420 58 5228521 Fax: 420 58 5228523 Email: dolezel@ueb.cas.cz</p>	<p>Institute of Molecular Biology and Genetics of NASU Department of Molecular Genetics Zabolony, 150 252 143 Kyiv Ukraine Olena Alkhimova Tel: 380 44 2660769 Fax: 380 44 2660759 Email: alkhimova@ueb.cas.cz</p>
51-5998	<p>Instituto Cavanilles de biodiversitat i biologica evolutiva Marine Zoology Poligono de la Coma, 1 E-46071 Valencia Spain Juan Antonio Díaz-Pinés Tel: 34 963 543 685 Fax: 34 963 543 733 Email: j.a.balbuena@uv.es</p>	<p>Zaporozhye Faculty of Biology Department of Game Biology and Ichthyology Zhukovskiy, 66 69063 Zaporozhye Ukraine Volodimir Sarabeev Tel: 380 612 644213 Fax: 380 612 644546 Email: spsh@zsu.zp.ua</p> <p>Schmalhausen Institute of Zoology Department of Parasitology</p>
51-6046	<p>Universität Siegen Mechanical Engineering Department, FB 11 Herrengarten, 3 D-57068 Siegen Germany Hans-Juergen Christ Tel: 49 271 740-4658 Fax: 49 271 740-2545 Email: christ@ifwt.mb.uni-siegen.de</p>	<p>S. P. Timoshenko Institute of Mechanics Department of Creep Mechanics Nesterov str., 2 03057 Kyiv Ukraine Vladyslav Golub Tel: 380 44 4565315 Fax: 380 44 4565315 Email: govpp@imech.creep.kiev.ua</p>

51-6196	<p>University of Wales, Aberystwyth Institute of Biological Sciences Penglais SY23 3DA Aberystwyth United Kingdom Richard Kemp Tel: 44 1970 622333 Fax: 44 1970 622350 Email: rbk@aber.ac.uk</p>	<p>Institute of Biology of Southern Seas Department of Marine Ecosystems Functioning Nakhimov prospekt, 2 99011 Sevastopol Ukraine Sergey Kovardakov Tel: 380 692 558109 Fax: 380 557813 Email: arsak@bios.iuf.net</p> <p>Marine Hydrophysical Institute Department of</p>
51-6260	<p>Consiglio Nazionale delle Ricerche (CNR) Istituto di Tecnologie Avanzate per l'Energia "Nicola Giordano" (ITAE) via Salita S. Lucia sopra Contesse, 5 I-98126 Messina Italy Giovanni Restuccia Tel: 39 090 624229 Fax: 39 090 624247 Email: giovanni.restuccia@</p>	<p>Institute of Engineering Thermophysics Department of Unsteady-State Heat-Mass Transfer Bulakhovsky Str., 2 03164 Kyiv Ukraine Dzhamalutdin Chalaev Tel: 380 44 4449334 Fax: 380 44 4243177 Email: trosch@imag.kiev.ua</p>
51-6278	<p>SciTech Link HB Research and management Borgäslingan, 31 S-22472 Lund Sweden Elisabeth Csöregi Tel: 46 709 99749756 Fax: 46 46 2224544 Email: e.csoregi@biotek.lu.se</p>	<p>Institute of Cell Biology of NASU Cell Regulatory Systems Drahomanov st., 14 16 79005 Lviv Ukraine Mykhailo Gonchar Tel: 380 322 742144 Fax: 380 322 721648 Email: gonchar@biochem.lviv.ua</p> <p>Institute of Molecular Biology and Genetics of NASU Translational M</p>

51-6311	<p>Stockholm University Stockholm Observatory Roslagstullsbg., 21 S-10691 Stockholm Sweden Pawel Artymowicz Tel: 46 8 55378549 Fax: 46 8 55378510 Email: pawel@astro.su.se</p>	<p>Crimean Astrophysical Observatory Stellar Physics Department 98409 Nauchny Ukraine Alla Rostopchina-Shakhovskaya Tel: 380 6554 71110 Fax: 380 6554 40704 Email: arost@CRAO.CRIMEA.UA</p>
51-6388	<p>Institute of Advanced Studies (I H S) Sociology Department Stumpergasse, 58 A-1060 Wien Austria Claire Wallace Tel: 43 1 59991213 Fax: 43 1 59991555 Email: wallace@ihs.ac.at</p>	<p>East Ukrainian Foundation for Social Research Mironositskaya str., 1 61002 Kharkiv Ukraine Valeriy Nikolaevsky Tel: 380 572 142135 Fax: 380 572 141408 Email: sociology@univer.kharkov.ua</p>
51-6459	<p>Universiteit Antwerp Department of Biology Universiteitsplein, 1 B-2610 Antwerp Belgium Jean-Pierre Verbelen Tel: 32 3 820-22-77 Fax: 32 3 820-22-71 Email: verbelen@uia.ua.ac.be</p>	<p>Institute of Cell Biology and Genetic Engineering Plant Cell Biology and Biotechnology Acad. Zabolotnogo str., 148 03143 Kyiv Ukraine Yaroslav Blume Tel: 380 44 266 7104 Fax: 380 44 266 7104 Email: cellbio@cellbio.freenet.viaduk.net</p> <p>Institute of Botany o</p>

51-6541	University of Wales, Aberystwyth Institute of Biological Sciences Edward Llwyd Building 1 SY23 3DA Aberystwyth United Kingdom Richard Kemp Tel: 44 1970 622333 Fax: 44 1970 622350 Email: rbk@aber.ac.uk	Institute of Biology of Southern Seas Department of Marine Ecosystem Functioning Nakhimov prospekt, 2 99011 Sevastopol Ukraine Nickolai Shadrin Tel: 380 692 481171 Fax: 380 692 557813 Email: lib@ibss.iuf.net
51-6637	Katholieke Universiteit Leuven Department of Mathematics Celestijnenlaan, 200B B-3001 Leuven Belgium Walter Van Assche Tel: 32 16 327051 Fax: 32 16 327998 Email: walter@wis.kuleuven.ac.be	Institute of Low Temperature Physics and Engineering of NASU Mathematics Division Lenin Prospekt, 47 310164 Kharkiv Ukraine Leonid Golinskii Tel: 380 572 321223 Fax: 380 572 322370 Email: golinskii@ilt.kharkov.ua
51-6645	Gesellschaft für Schwerionenforschung (GSI) Nuclear Physics I Department Planckstrasse, 1 D-64291 Darmstadt Germany Peter Senger Tel: 49 6159 712652 Fax: 49 6159 712785 Email: p.senger@gsi.de	Taras Shevchenko National University of Kiev Nuclear Physics Volodymyrska St, 64 01033 Kyiv Ukraine Ihor Kadenko Tel: 380 44 259-75-33 Fax: 380 44 266-92-16 Email: ndef@uninet.kiev.ua

53-3358	<p>Centre National de la Recherche Scientifique (CNRS) Propulseurs plasmiqes pour systèmes spatiaux GDR 2232 Avenue de la Recherche Scientifique, 3E F-45071 Orléans France André Bouchoule Tel: 33 02 38417120 Fax: 33 02 38417154 Email: andre.bouchoule@univ-o</p>	<p>Kharkiv State Aerospace University Plasma Department Chkalova, 17 61070 Kharkiv Ukraine Anrdyi Loyan Tel: 380 572 442599 Fax: 380 572 441131 Email: Loyan@ai.kharkov.ua</p>
54-3543	<p>Gesellschaft für Schwerionenforschung (GSI) Accelerator Department Planckstrasse, 1 D-64291 Darmstadt Germany Winfried Barth Tel: 49 6159 712170 Fax: 49 6159 712987 Email: W.Barth@gsi.de</p>	<p>National Science Center "Kharkiv Institute of Physics & Technology" Division of Heavy Ion Linac Academicheskaya, 1 61108 Kharkiv Ukraine Vasiliy Bomko Tel: 380 572 404206 Fax: 380 572 353564 Email: bomko@kipt.kharkov.ua</p>
54-5584	<p>Gesellschaft für Schwerionenforschung (GSI) Accelerator Department Planckstrasse, 1 D-64291 Darmstadt Germany Oliver Boine-Frankenheim Tel: 49 712408 Fax: 49 712985 Email: o.boine-frankenheim@gsi.de</p>	<p>Taras Shevchenko National University of Kiev/Department of Nuclear Physics/Volodymyrska St, 64/01033 Kyiv/Ukraine/Igor Kadenko/Tel: 380 44 2597533/Fax: 380 44 2669216/Email: ndef@uninet.kiev.ua Taras Shevchenko National University of Kiev Department of Nuc</p>

77-6902	<p>Chalmers University of Technology Department of Mathematics Eklandagatan S-412 96 Göteborg Sweden Mohammad Asadzadeh Tel: 46 31 77233517 Fax: 46 31 161973 Email: mohammad@math.chalmers.se</p>	<p>Institute of Mechanics of NASU Dynamics of Complex Systems Department Nesterov, 3 03057 Kyiv Ukraine Vladimir Larin Tel: 380 44 4566809 Fax: 380 44 4560319 Email: larin@uaac.freenet.kiev.ua</p>
77-7112	<p>Marine Information Service Dillenburgsingel, 69 2263 HW Leidschendam The Netherlands Peter Davis Tel: 31 70 31 70 961 Fax: 31 70 390 3546 Email: peter.davis@maris.nl</p>	<p>Ukrainian Scientific Research Institute for Ecological Problems Laboratory of automated systems and information support for environmental management Bakulin, 6 61166 Kharkiv Ukraine Alexander Kuzin Tel: 380 57 702 15 78 Fax: 380 57 702 15 78 Email: akousi</p>
77-7173	<p>Alfred Renyi Institute of Mathematics Realtanoda ut., 13-15 H-1053 Budapest Hungary Gyula Katona Tel: 36 1 4838302 Fax: 36 1 4838333 Email: ohkatona@renyi.hu</p>	<p>Odessa State Academy of Food Technologies Applied Mathematics Department Kanatnaya str., 112 270039 Odessa Ukraine Vadym Vizing Tel: 380 4 82220127 Fax: 380 4 82253284 Email: vizing@paco.net</p>

77-7347	<p>Czech Technical University in Prague Department of Cybernetics Technicka, 2 CZ-16627 Prague Czech Republic Vaclav Hlavac Tel: 420 224 357465 Fax: 420 224 357385 Email: hlavac@cmp.felk.cvut.cz</p>	<p>International Research and Training Centre for Information Technologies and Systems from the NASU Recognition of Images Department Prospekt Akad. Glushkova, 40 03680 Kyiv Ukraine Michail Schlesinger Tel: 380 44 2666208 Fax: 380 44 2661570 Email: schles@i</p>
78-7083	<p>Institute of Physics (ASCR) Department of Optical Crystals Na Slovance, 2 CZ-18221 Prague Czech Republic Martin NIKL Tel: 420 2 20318445 Fax: 420 2 33343184 Email: nikel@fzu.cz</p>	<p>Ivan Franko Lviv State University Electronics Department Generala Tarnavskogo str., 107 79017 Lviv Ukraine Yuriy Zorenko Tel: 380 322 964205 Fax: 380 322 706442 Email: zorenko@rd.wups.lviv.ua</p> <p>Institute of Problems of Material Science of NASU Department o</p>
78-7124	<p>Università di Lecce Physics Department Via Arnesano I-73100 Lecce Italy Armando Luches Tel: 39 0832 297499 Fax: 39 0832 297505 Email: luches@le.infn.it</p>	<p>Institute of Metal Physics of NASU Acad. Vernadsky Blvd., 36 03680 Kyiv Ukraine Sergyi Mulencko Tel: 380 44 4241005 Fax: 380 44 4242561 Email: valja@univ.kiev.ua</p>

78-7183	<p>Otto-von-Guericke Universität Magdeburg Institute of Chemistry Universitätsplatz, 2 D-39106 Magdeburg Germany Yuri Suchorski Tel: 49 391 6718824 Fax: 49 391 6711396 Email: yuri.suchorski@vst.uni-magdeburg.de</p>	<p>Lviv Polytechnic National University Applied Mathematics Department Bandera str., 12 79013 Lviv Ukraine Petro Kostrobii Tel: 380 322 721633 Fax: 380 322 721633 Email: kostrobii@polynet.lviv.ua</p>
78-7185	<p>Deutschen Zentrum für Luft- und Raumfahrt (DLR) Institute for Traffic Research Rutherfordstrasse, 2 D-12489 Berlin Germany Sergey Zuev Tel: 49 30 67055 289 Fax: 49 30 67055 202 Email: sergey.zuev@dlr.de</p>	<p>Institute of Theoretical Physics National Science Center "Kharkiv Institute of Physics and Technolo Condensed Matter Department Akademicheskaya, 1 61108 Kharkiv Ukraine Aleksei Chechkin Tel: 380 572 356003 Fax: 380 572 352683 Email: achechkin@kipt.kharkov</p>
78-7227	<p>City University Department of Electrical, Electronic and Information Engineering Northampton Square EC1V 0HB London United Kingdom Kenneth Grattan Tel: 44 (0)20 7477 8120 Fax: 44 (0)20 7477 8121 Email: k.t.v.grattan@city.ac.uk</p>	<p>National Science Center "Kharkiv Institute of Physics & Technology" Department of Diffusion and Electronic Processes in Solids Akademicheskaya, 1 61108 Kharkiv Ukraine Vitaly Slezov Tel: 380 0572 356637 Fax: 380 0572 351719 Email: abyzov@kipt.kharkov.ua</p>

79-6799	Institut Cultur Prospectiv Eastern Europe Mühlebachstrasse, 35 CH-8008 Zürich Switzerland Hans-Peter Meier-Dallach Tel: 41 01 260 69 01 Fax: 41 01 260 69 29 Email: cpinstitut@smile.ch	SOCIS Gallup Environment and Public Opinion Reytarska, 34A 0100 Kyiv Ukraine Oleksandr Stegnyy Tel: 380 44 2288944 Fax: 380 44 2288483 Email: steg@socis.kiev.ua
79-6928	University of Plymouth Faculty of Social Science and Business Drake Circus PL4 8AA Plymouth United Kingdom John White Tel: 44 1752 232871 Fax: 44 1752 232249 Email: john.white@plymouth.ac.uk	Dnipropetrovsk University of Economics and Law School of Business and Management Naberezhnaya Lenina, 18 49070 Dnipropetrovsk Ukraine Alexander Skripnik Tel: 380 562 651408 Fax: 380 562 651408 Email: askripnik@inbox.ru
79-6991	Rheinisch-Westfälisches Institut für Wirtschaftsforschung (RWI) Divison "Entrepreneurship & Enterprise Performance" Hohenzollernstr., 1-3 D-45128 Essen Germany Friederike Welter Tel: 49 201 8149268 Fax: 49 201 8149200 Email: welter@rwi-essen.de	Centre for Science and Technology Potential Studies Department Complex problems of scientific and technological Shevchenko blvd, 60 01032 Kyiv Ukraine Nina Isakova Tel: 380 44 4078773 Fax: 380 44 4078773 Email: nisakova@hotmail.com

79-7018	<p>Freie Universität Berlin Eastern European Studies Institute Gary Strasse, 55 D-14195 Berlin Germany Nikolai Genov Tel: 49 30 83852039 Fax: 49 30 83855206 Email: genov@zedat.fu-berlin.de</p>	<p>Kharkiv National University Sociology Mironositskaya Str., 1 61002 Kharkiv Ukraine Olga Kutsenko Tel: 380 057 7075437 Fax: 380 0572 658042 Email: f_ok@iatp.org.ua</p>
79-7034	<p>Stockholm Institute of Transition Economics Sveavägen, 65 S-11383 Stockholm Sweden Erik Berglöf Tel: 46 8 736 9400 Fax: 46 8 36 22 12 Email: Gun.Malmquist@hhs.se</p>	<p>Scientific and Technical Complex of Statistical Research (STCSR) Department for Methodology of Conjoncture Surveys and Modeling Sh. Rustaveli str., 3 01023 Kyiv Ukraine Marina Pugachova Tel: 380 44 227-32-41 Fax: 380 44 235-60-43 Email: maryni@ukr.net</p>
79-7104	<p>CRiSMART, Swedish National Defence College Valhallavägen, 117 S-11593 Stockholm Sweden Eric Stern Tel: 46 8 6960500 Fax: 46 8 201049 Email: stern@ui.se</p>	<p>Centre for European and International Studies Institute of International Relations Igorivska Street, 7A 04070 Kyiv Ukraine Grygoriy Nemyria Tel: 380 44 4929874 Fax: 380 44 4929875 Email: nemyria@irf.kiev.ua</p>

79-7165	<p>Institute of Advanced Studies (I H S) Sociology Department Stumpergasse, 58 A-1060 Wien Austria Claire Wallace Tel: 43 1 599 91 213 Fax: 43 1 599 91 555 Email: wallace@ihs.ac.at</p>	<p>Institute East Ukrainian Foundation for Social Research Mironositskaya Str., 1 61002 Kharkiv Ukraine Valeriy Nikolaevsky Tel: 380 572 142135 Fax: 380 572 141408 Email: sociology@univer.kharkov.ua</p>
79-7284	<p>University of Kent Department of Politics and International Relations Rutherford College, The University CT2 7NX Canterbury United Kingdom Richard Sakwa Tel: 44 0122 7827409 Fax: 44 0122 7827033 Email: R.Sakwa@kent.ac.uk</p>	<p>National University "Kyiv-Mohyla Academy" Department of political science Skovoroda str., 2 04070 Kyiv Ukraine Sergiy Kisselyov Tel: 380 44 4166048 Fax: 380 44 4636783 Email: sk@ukma.kiev.ua</p>
79-7323	<p>Center for Public Opinion Research (CBOS) Zurawia, 4A 00503 Warsaw Poland Krzysztof Zagorski Tel: 48 22 6293569 Fax: 48 22 6294089 Email: zagorskikr@yahoo.com</p>	<p>SOCIS Gallup Center for Social and Political Studies Khreschatik, 44A 01001 Kyiv Ukraine Natalia Pohorila Tel: 380 044 2288944 Fax: 380 044 2288483 Email: pohorila@socis.kiev.ua</p>

80-6951	<p>Neue Materialien Bayreuth GmbH Process Simulation Department Gottlieb-Keim-Strasse, 60 D-95448 Bayreuth Germany Vasily Ploshikhin Tel: 49 921 50736121 Fax: 49 921 50736199 Email: vasily.ploshikhin@nmbgmbh.de</p>	<p>E.O.Paton Electric Welding Institute Department of Electron Beam Welding Bozhenko Str., 11 03680 Kyiv Ukraine Vladimir Nesterenkov Tel: 380 44 2654319 Fax: 380 44 2654319 Email: nesterenkov@technobeam.com.ua</p>
80-7078	<p>Airbus UK Ltd. Structures Centre of Competence New Filton Road BS99 7AR Bristol United Kingdom Christophe Paget Tel: 44 117 9364636 Fax: 44 117 9364344 Email: christophe.paget@airbus.com</p>	<p>G.V. Kurdyumov Institute for Metal Physics Laboratory of Physics of Deformation Processes Vernadsky prospekt, 36 03142 Kyiv Ukraine Yuri Gordienko Tel: 380 044 4231222 Fax: 380 044 4242561 Email: gord@imp.kiev.ua</p>
80-7219	<p>University of Manchester School of Materials Sackville Street M60 1QD Manchester United Kingdom Stuart Lyon Tel: 44 200 4846 Fax: 44 200 4865 Email: s.b.lyon@umist.ac.uk</p>	<p>Karpenko Physico-Mechanical Institute Department of Physical and Chemical Methods of Materials Strengthening and Protection Naukova ul., 5 79601 Lviv Ukraine Ivan Zin Tel: 380 322 654244 Fax: 380 322 649427 Email: zin@ipm.lviv.ua</p> <p>Kharkiv State Polytechni</p>

80-7297	<p>Institut Francais de Recherche pour l'Exploitation de la Mer (IFREMER) Laboratoire de Physique des Océans UMR 6523 Avenue le Gorgeu, 6 F-29200 Brest France Xavier Carton Tel: 33 02 98 01 62 20 Fax: 33 02 98 01 64 68 Email: xcarton@ifremer.fr</p>	<p>Taras Shevchenko National University of Kiev Department of Theoretical and Applied Mechanics Vladimirskaya Str., 64 01033 Kyiv Ukraine Viatcheslav Meleshko Tel: 380 44 259 0307 Fax: 380 44 456 9705 Email: meleshko@univ.kiev.ua</p>
82-7055	<p>Bauhaus Universität Weimar F.A.Finger-Institute of Building Materials Coudraystraße, 11 D-99412 Weimar Germany Hans-Bertram Fischer Tel: 49 (0)3643 584712 Fax: 49 (0)3643 584759 Email: hans-bertram.fischer@bauing.uni-weimar.de</p>	<p>Kyiv National University of Civil Engineering and Architecture State Scientific Research Institute for Binders and Materials Povitroflotskyi Pr., 31 03037 Kyiv Ukraine Pavlo Kryvenko Tel: 380 44 2483041 Fax: 380 44 2483054 Email: sribm@mail.kar.net</p>
82-7065	<p>University of Brighton Pharmacy and Biomolecular Sciences Lewes Road BN2 4GJ Brighton United Kingdom Sergey Mikhailovsky Tel: 44 1273 642034 Fax: 44 1273 642115 Email: s.mikhailovsky@brighton.ac.uk</p>	<p>Experimental Pathology, Oncology and Radiobiology Department of Physico-chemical Mechanisms of Adsorptive Detoxication Vasilkovskaya, 45 03022 Kyiv Ukraine Vladimir Nikolaev Tel: 380 44 2579055 Fax: 380 44 2579055 Email: aos@onconet.kiev.ua</p>

1000004-7750	<p>Institute of Biochemistry and Biophysics Department of Biophysics Pawinskiego, 5A 02-106 Warsaw Poland Michal Dadlez Tel: 48 22 5923471 Fax: 48 22 5922190 Email: michald@ibb.waw.pl</p>	<p>Institute of Molecular Biology and Genetics of NASU Department of Mechanisms of Translation of Genetic Information Zaboloyny, 150 03143 Kyiv Ukraine Boris Negrutskii Tel: 380 526 4397 Fax: 380 526 0749 Email: negrutskii@imbg.org.ua</p>
1000004-7755	<p>University College of London Department of Biochemistry and Molecular Biology Gower Street WC1E 6BT London United Kingdom Ivan Gout Tel: 44 207 679 4482 Fax: 44 207 679 7193 Email: i.gout@ucl.ac.uk</p>	<p>Institute of Molecular Biology and Genetics of NASU Department of Structure and Functions of Nucleic Acids Zaboloyny, 150 03143 Kyiv Ukraine Valeriy Filonenko Tel: 380 44 5262016 Fax: 380 44 5260759 Email: filonenko@imbg.org.ua</p> <p>Institute of Experimental</p>
1000004-7762	<p>Center for Genomic Regulation (CRG) Programme in Genes and Disease Passeig Maritim, 37-49 E-08003 Barcelona Spain Susana de la Luna Tel: 34 93 2240944 Fax: 34 93 2240899 Email: susana.luna@crg.es</p>	<p>Institute of Molecular Biology and Genetics of NASU Department of Molecular Oncogenetics Zaboloyny, 150 03143 Kyiv Ukraine Lyudmyla Tsyba Tel: 380 44 5263498 Fax: 380 44 5263498 Email: rynditch@imbg.org.ua</p> <p>Palladin Institute of Biochemistry Department of</p>

1000005-7665	<p>Institute of Energy Technology Department of Energy Systems Instituttveien, 18 N-2027 Kjeller Norway Volodymyr Yartys Tel: 47 6380 6453 Fax: 47 6381 2905 Email: volodymyr.yartys@ife.no</p>	<p>Institute of Problems of Material Science of NASU Laboratory #67: Investigations of the processes and systems of hydrogen & helio-hydrogen energy Krzhynzhovskiy, 3 03142 Kyiv Ukraine Michael Lototsky Tel: 380 44 4240081 Fax: 380 44 4240381 Email: mvlot@in</p>
1000005-7671	<p>Centre National de la Recherche Scientifique (CNRS) ISCSA - Institut des Sciences Chimiques de Seine Amont rue Henri Dunant, 2 F-94320 Thiais France Valerie Paul-Boncour Tel: 33 1 49781207 Fax: 33 1 49781203 Email: paulbon@glvt-cnrs.fr</p>	<p>Physico-Mechanical Institute Hydrogen Technology and Metal Hydride Sciences Naukova, 5 79601 Lviv Ukraine Ihor Zavaliiy Tel: 380 322 654833 Fax: 380 322 649427 Email: zavaliiy@ipm.lviv.ua</p> <p>I.N. Frantsevich Institute for Problems of Materials Science of the</p>
1000005-7726	<p>University of Twente Department of Science and Technology Drienerlolaan, 5 7500 AE Enschede The Netherlands Leon Lefferts Tel: 31 53 4892858 Fax: 31 53 4894683 Email: l.lefferts@utwente.nl</p>	<p>Institute of Surface Chemistry Department of Surface Chemistry and Nanomaterials General Naumov Str., 17 03164 Kyiv Ukraine Yuri Plyuto Tel: 380 44 4249027 Fax: 380 44 4243567 Email: plyuto@mail.kar.net</p>

1000005-7729	<p>Institut National des Sciences Appliquées (INSA) Department of Electrical Engineering Avenue Jean Capelle, Bât. Blaise Pascal F-69621 Villeurbanne France Daniel Barbier Tel: 33 472 438547 Fax: 33 472 436082 Email: daniel.barbier@insa-lyon.fr</p>	<p>Taras Shevchenko National University of Kiev Radiophysics Volodymirska, 64 01033 Kyiv Ukraine Valeriy Skryshevskyy Tel: 380 44 5260582 Fax: 380 44 52566744 Email: skrysh@univ.kiev.ua</p>
1000005-7730	<p>RWTH Aachen Department of Biology IV (Microbiology and Genetics) Templergraben, 55 D-52056 Aachen Germany Ulrich Klinner Tel: 49 241 8026616 Fax: 49 241 8022180 Email: ulrich.klinner@rwth-aachen.de</p>	<p>Institute of Microbiology and Virology of NASU Department of Microbial Biotechnology Zabolony, 154 03627 Kyiv Ukraine Valentyn Pidhorsky (Podgorsky) Tel: 380 44 2661179 Fax: 380 44 2379 Email: podgorsky@serv.imv.kiev.ua</p> <p>Institute of Cell Biology of NAS</p>
1000006-8300	<p>Universität Wien Department of Slavonic Studies Spitalgasse 2-4, Hof 3 A-1090 Wien Austria Juliane Besters-Dilger Tel: 43 1 427742840 Fax: 43 1 42779428 Email: juliane.besters-dilger@univie.ac.at</p>	<p>National University "Kyiv-Mohyla Academy" Department of Ukrainian Language Kontraktova Ploshcha 04070 Kyiv Ukraine Larysa Masenko Tel: 380 44 4256075 Fax: 380 44 4251420 Email: max@ukma.kiev.ua</p> <p>Institute of Political and Ethnic Studies of NASU Kutuzova,</p>

1000006-8393	<p>South-West University 'N. Rilsky' Centre for Intercultural Dialogue and Integration Ivan Mihailov Str., 66 2700 Blagoevgrad Bulgaria Svetlana Hristova Tel: 359 2 9803789 Fax: 359 2 9883448 Email: sv.hristova@aix.swu.bg</p>	<p>Ivan Franko Lviv State University Centre for the Humanities Saksahanskoho, 1 79005 Lviv Ukraine Oleh Rybchynsky Tel: 380 32 2403180 Fax: 380 32 2403182 Email: office@cfh.lviv.ua</p>
1000006-8440	<p>University of Leicester Department of Economics University Road LE1 7RH Leicester United Kingdom Wojciech Charemza Tel: 44 116 2522899 Fax: 44 116 252 2908 Email: wch@le.ac.uk</p>	<p>Ivan Franko Lviv State University Department of International Economic Analysis and Finance Sichovyh Striltsiv, 34 79000 Lviv Ukraine Yaroslav Prytula Tel: 380 32 2964602 Fax: 380 32 2403182 Email: ya_prytula@yahoo.com</p>
1000008-7834	<p>Warsaw University of Technology Faculty of Materials Science and Engineering Woloska, 141 02-507 Warsaw Poland Marcin Leonowicz Tel: 48 22 6608450 Fax: 48 22 6608725 Email: mkl@inmat.pw.edu.pl</p>	<p>Institute of Problems of Material Science of NASU No 67 Krzhyzhanovsky, 3 03150 Kyiv Ukraine Svetlana Zaginaichenko Tel: 380 044 4240381 Fax: 380 044 4240381 Email: shurzag@materials.kiev.ua</p>

1000008-7843	<p>University of Manchester Faculty of Life Sciences Oxford Road M13 9PT Manchester United Kingdom Alexei Verkhratsky Tel: 44 161 2755414 Fax: 44 161 2755414 Email: alex.verkhratsky@manchester.ac.uk</p>	<p>A.A. Bogomoletz Institute of Physiology Department of Cellular Membranology Bogomoletz Street, 4 01024 Kyiv Ukraine Oleg Krishtal Tel: 380 44 2532466 Fax: 380 44 2562590 Email: krishtal@biph.kiev.ua</p>
1000008-7865	<p>CERN Theory Division Route de Meyrin CH-1211 Geneva Switzerland Luis Alvarez-Gaume Tel: 41 22 7672450 Fax: 41 22 76 765 55 Email: Luis.Alvarez-Gaume@cern.ch</p>	<p>Bogolyubov Institute for Theoretical Physics of NASU Theory department Chelyabinska, 15-137 02002 Kyiv Ukraine Yura Shtanov Tel: 380 44 266-9115 Fax: 380 44 266-5998 Email: shtanov@bitp.kiev.ua</p>
1000008-7883	<p>Dublin Institute of Technology Faculty of Science Kevin Street Dublin Ireland Daphne Gilbert Tel: 353 1 4024678 Fax: 353 1 4024994 Email: daphne.gilbert@dit.ie</p>	<p>Tavrida V.I.Vernadsky State University Faculty of Mathematics and Informatics, Department of Mathematical Analysis Yaltinskaya St., 4 95007 Simferopol Ukraine Alexey Tikhonov Tel: 380 652 230280 Fax: 380 652 232310 Email: tikhonov@club.cris.net</p>

1000008-7902	<p>Università di Napoli "Federico II" Dipartimento di Scienze Fisiche Via Cinthia Monte S. Angelo I-80126 Napoli Italy Giancarlo Abbate Tel: 39 081 676118 Fax: 39 081 676346 Email: giancarlo.abbate@na.infn.it</p>	<p>Institute of Physics of NASU Physics of Crystals Prospekt Nauki, 46 03028 Kyiv Ukraine Sergei Slussarenko Tel: 380 044 5250830 Fax: 380 044 5250830 Email: slusl@voliacable.com</p>
1000008-7917	<p>Stockholm University Department of Linguistics Frescativägen, 10 S-10691 Stockholm Sweden Maria Koptjevskaja Tamm Tel: 46 8 16 26 20 Fax: 46 8 15 53 89 Email: tamm@ling.su.se</p>	<p>Institute NIISP Department of the European Integration Kutuzova, 18 7 01133 Kyiv Ukraine Galina Yavorska Tel: 380 44 486 57 28 Fax: 380 44 28 48 135 Email: yavorska@niisp.gov.ua</p>
1000008-7921	<p>Université François Rabelais de Tours & CNRS Faculté des Sciences Parc de Grandmont F-37200 Tours France Laurent Veron Tel: 33 02 47367260 Fax: 33 02 47367068 Email: veronl@univ-tours.fr</p>	<p>Institute of Applied Mathematics and Mechanics Department of Partial Differential Equations Rosa Luxemburg, 74 83114 Donetsk Ukraine Andrei Shishkov Tel: 380 062 311 08 30 Fax: 380 062 311 02 85 Email: shishkov@iamm.ac.donetsk.ua</p>

1000008-7928	<p>Istituto Nazionale di Fisica Nucleare (INFN) Divisione Ricerca Via Enrico Fermi, 40 I-00044 Frascati Italy Sergio Ferrara Tel: 39 06 94032888 Fax: 39 06 94032716 Email: ferraras@Inf.infn.it</p>	<p>Kharkiv Institute of Physics and Technology Institute for Theoretical Physics Akademicheskaya, 1 61108 Kharkiv Ukraine Igor Bandos Tel: 380 057 3356011 Fax: 380 057 3352683 Email: bandos@kipt.kharkov.ua</p>
1000008-7979	<p>Universität Siegen Department of Civil Engineering Paul-Bonatz-Strasse, 9-11 D-57076 Siegen Germany Chuanzeng Zhang Tel: 49 271 7402173 Fax: 49 271 7402552 Email: c.zhang@uni-siegen.de</p>	<p>Pidstryhach Institute for Applied Problems of Mechanics and Mathematics Department of Mathematical Methods of Fracture Mechanics and Contact Phenomena Naukova, 3b 79060 Lviv Ukraine Viktor Mykhas'kiv Tel: 380 322 651911 Fax: 380 322 636270 Email: tex@iapm</p>
1000008-8014	<p>University of Keele Department of Mathematics ST5 5BG Keele United Kingdom Victor Shrira Tel: 44 1782 584268 Fax: 44 1782 584268 Email: v.i.shrira@keele.ac.uk</p>	<p>Marine Hydrophysical Institute Remote Sensing Department Prospekt Oktyabrskoi Revolutsii, 42-61 99046 Sevastopol Ukraine Vladimir Dulov Tel: 380 692 545065 Fax: 380 692 554253 Email: dulov@satg.mhi.iuf.net</p>

1000008-8046	<p>Universiteit Ghent Department of Applied Physics Rozier, 44 B-9000 Ghent Belgium Guido Van Oost Tel: 32 09 264 38 34 Fax: 32 09 264 38 31 Email: g.vanoost@fz-juelich.de</p>	<p>National Science Center "Kharkiv Institute of Physics & Technology" Plasma Physics Academicheskaya, 1 310108 Kharkiv Ukraine Lydmilla Krupnik Tel: 380 57 3356363 Fax: 380 57 3356421 Email: krupnik@ipp.kharkov.ua</p> <p>Lviv Polytechnic National University Magne</p>
1000008-8050	<p>Charles University of Prague Faculty of Mathematics and Physics Ke Karlovu str., 3 CZ-12116 Prague Czech Republic Jana Shafrankova Tel: 420 2219 12301 Fax: 420 2846 85095 Email: Zdenek.Nemecek@mff.cuni.cz</p>	<p>Lviv Centre of Institute of Space Research Naukova ul., 5A 79000 Lviv Ukraine Valeryi Korepanov Tel: 380 322 639163 Fax: 380 322 639163 Email: vakor@isr.lviv.ua</p>
1000008-8061	<p>University College of London Department of Pharmacology Gower Street WC1E 6BT London United Kingdom Anthony Dickenson Tel: 44 20 7679 3742 Fax: 44 20 7679 0181 Email: anthony.dickenson@ucl.ac.uk</p>	<p>A.A. Bogomoletz Institute of Physiology Department of General Physiology of the Nervous System Bogomoletz Street, 4 01024 Kyiv Ukraine Nana Voitenko Tel: 380 44 256 2049 Fax: 380 44 256 2053 Email: nana@biph.kiev.ua</p>

1000008-8111	<p>Technion - Israel Institute of Technology Department of Chemical Engineering Technion City 32000 Haifa Israel Simon Brandon Tel: 972 4 8292822 Fax: 972 4 8292822 Email: cersbsb@tx.technion.ac.il</p>	<p>National Technical University of Ukraine "Kyiv Polytechnic Institute" Heat Engineering Department Peremogy, 37 03056 Kyiv Ukraine Valeriy Deshko Tel: 380 44 2417650 Fax: 380 44 2417038 Email: deshko@rst.kiev.ua</p>
1000008-8112	<p>Radboud University of Nijmegen Institute for Molecules and Materials Toernooiveld, 1 6525 ED Nijmegen The Netherlands Theo Rasing Tel: 31 24 3653102 Fax: 31 24 3652190 Email: th.rasing@science.ru.nl</p>	<p>Institute of Magnetism of NASU Non-linear Studies Department Vernadskii prospekt, 36 B 03142 Kyiv Ukraine Ivanov Boris Tel: 380 44 4243420 Fax: 380 44 4241020 Email: bivanov@i.com.ua</p>
1000008-8120	<p>Göteborg Organ Art Center (GOArt) Faculty of Fine and Applied Arts Ebbe Lieberathsgatan, 120 S-405 30 Göteborg Sweden Alf Åslund Tel: 46 31 7735201 Fax: 46 31 7735200 Email: Aaslund@hsm.gu.se</p>	<p>National Technical University of Ukraine "Kyiv Polytechnic Institute" Department of materials science Prospect Peremohy, 37 03056 Kyiv Ukraine Vladimir Kostyuchenko Tel: 380 44 248 6720 Fax: 380 44 248 6720 Email: Kostyuchenko@ntu-kpi.kiev.ua</p>

1000008-8144	<p>Universität Linz Research Institute for Symbolic Computation Altenbergerstrasse, 69 A-4040 Linz Austria Tudor Jebelean Tel: 43 70 2468 9946 Fax: 43 70 2468 9930 Email: jebelean@risc.uni-linz.ac.at</p>	<p>Taras Shevchenko National University of Kiev Faculty of Cybernetics Prospekt Akad. Glushkova, 2 6 03022 Kyiv Ukraine Anatoly Anisimov Tel: 380 44 2590129 Fax: 380 44 2590439 Email: ava@unicyb.kiev.ua</p> <p>V.M. Glushkov Institute of Cybernetics of the NASU Rec</p>
1000008-8147	<p>University of Ljubljana Biotechnical Faculty Jamnikarjeva, 101 SL-1000 Ljubljana Slovenia Peter Trontelj Tel: 386 1 4233388 Fax: 386 1 2573390 Email: peter.trontelj@bf.uni-lj.si</p>	<p>Karazin Kharkiv State University Faculty of Biology, Department of Zoology and Animal Ecology Svoboda Square, 4 61077 Kharkiv Ukraine Sergiy Utyevskiy Tel: 380 57 7075172 Fax: 380 057 7051248 Email: sutevsk@univer.kharkov.ua</p>
1000008-8223	<p>Université des Sciences et Technologies de Lille & INSERM Center of Cell Biology Cité Scientifique, SN3 F-59655 Villeneuve d'Ascq France Natalia Prevarskaya Tel: 33 3 20336018 Fax: 33 3 20434066 Email: Natacha.Prevarskaya@univ-lille1.fr</p>	<p>A.A. Bogomoletz Institute of Physiology Department of General Physiology of the Nervous System Bogomoletz Street, 4 01024 Kyiv Ukraine Yaroslav Shuba Tel: 380 44 2562435 Fax: 380 44 2562435 Email: yshuba@biph.kiev.ua</p> <p>International Center for Molecular Ph</p>

1000008-8224	<p>University of Turku Department of Biology Yliopistonmäki 20014 Turku Finland Seppo Koponen Tel: 358 2 3335776 Fax: 358 2 3336590 Email: sepkopo@utu.fi</p>	<p>Makarenko Sumy State Teacher's University Natural-Geographical Department Romenskaya Str., 87 40022 Sumy Ukraine Valery Gnelitsa Tel: 380 542 342996 Fax: 380 542 210943 Email: genelitsa@mail.ru</p>
1000008-8328	<p>Università di Parma Dipartimento di Fisica Viale delle Scienze, 7a I-43100 Parma Italy Luca Trentadue Tel: 39 0521 905224 Fax: 39 0521 905223 Email: luca.trentadue@cern.ch</p>	<p>National Science Center "Kharkiv Institute of Physics & Technology" Institute of Theoretical Physics Academicheskaya, 1 61108 Kharkiv Ukraine Nikolay Merenkov Tel: 380 57 3356224 Fax: 380 57 3352683 Email: merenkov@kipt.kharkov.ua</p>
104-7519	<p>CERN Physics Department (PH) Route de Meyrin CH-1211 Geneva Switzerland Paul Lecoq Tel: 41 22 7676558 Fax: 41 22 7678930 Email: paul.lecoq@cern.ch</p>	<p>Institute of Scintillation Materials of NASU (ISMA) Scientific and Technological Department for Radiation Instruments Lenin prospekt, 60 61001 Kharkiv Ukraine Boris Grinyov Tel: 380 57 3402379 Fax: 380 57 3405391 Email: grinyov@isc.kharkov.com</p> <p>Institute</p>

104-7540	<p>Katholieke Universiteit Leuven Metallurgy and Materials Engineering Kasteelpark Arenberg, 44 B-3001 Leuven Belgium Jean-Pierre Celis Tel: 32 16. 321260 Fax: 32 16 321991 Email: jean-pierre.celis@mtm.kuleuven.be</p>	<p>V.I. Vernadsky Institute of General & Inorganic Chemistry Aqueous solutions electrochemistry Palladin Avenue, 32-34 03680 Kyiv Ukraine Valerij Kublanovsky Tel: 380 44 4243311 Fax: 380 44 4243070 Email: kublanovsky@ionc.kar.net</p>
1000012-8707	<p>Gesellschaft für Schwerionenforschung (GSI) Plasmaphysics Department Planckstrasse, 1 D-64291 Darmstadt Germany Dmitry Varentsov Tel: 49 6159 711329 Fax: 49 6159 712992 Email: d.varensov@gsi.de</p>	<p>I.I. Mechnikov Odessa State University Theoretical Physics Department Dvoryanskaya, 25 65026 Odessa Ukraine Vadym Adamyan Tel: 380 048 7317556 Fax: 380 048 2233515 Email: vadamyam@paco.net</p>
1000013-8949	<p>Göteborg University Department of Physics Fysikgrand, 3 S-412 96 Göteborg Sweden Eleanor Campbell Tel: 46 31 772 3272 Fax: 46 31 772 3496 Email: f3aec@fy.chalmers.se</p>	<p>G.V. Kurdyumov Institute for Metal Physics Structure and Properties of Solid Solutions Department Vernadsky prospekt, 36 03142 Kyiv Ukraine Oleksandr Rud Tel: 380 44 424-3210 Fax: 380 44 424-2561 Email: rud@imp.kiev.ua</p>

1000017-8909	<p>Kocaeli University Applied Mathematical Sciences Research Center Kocaeli University, Ataturk Bulvari 41300 Izmit Turkey Alemdar Hasanov Tel: 90 262 3249921 Fax: 90 262 3249921 Email: alemdarhasanov@yahoo.com</p>	<p>V.M. Glushkov Institute of Cybernetics of the NASU Mathematical Methods of Applied Informatics Prospekt Akad. Glushkova, 40 03187 Kyiv Ukraine Leonid Hulianytskyi Tel: 380 044 5261589 Fax: 380 044 5267418 Email: lh_dar@hotmail.com</p>
1000017-9093	<p>University of Utrecht Department of Mathematics Budapestlaan, 6 3508TA Utrecht The Netherlands Dirk Siersma Tel: 31 30 2531475 Fax: 31 30 2518394 Email: siersma@math.uu.nl</p>	<p>Taras Shevchenko National University of Kiev Department of Mechanics and Mathematics Volodymyrska, 64 01033 Kyiv Ukraine Yuriy Drozd Tel: 380 44 573-4424 Fax: 380 44 573-4424 Email: y.a.drozd@gmail.com</p>
1000024-8748	<p>Forschungszentrum Jülich Projekt Brennstoffzelle, PBZ (Project Fuel Cells) Leo-Brandt-str. D-52425 Jülich Germany Robert Steinberger-Wilckens Tel: 49 2461 615124 Fax: 49 2461 614155 Email: r.steinberger@fz-juelich.de</p>	<p>Institute of Problems of Material Science of NASU Department of Physics and Technology of High-Temperature Materials Krzhyzhanovsky, 3 03142 Kyiv Ukraine Mykola Lugovyi Tel: 380 44 424 2524 Fax: 380 44 424 2131 Email: nil2903@gmail.co</p>

1000024-8916	<p>Ecole Nationale Supérieure de Mécanique et Aérotechnique & CNRS Laboratoire d'Etudes Thermiques UMR 6608 Avenue Clément Ader, 1 F-86961 Futuroscope France Yves Bertin Tel: 33 05 49 49 81 23 Fax: 33 05 49 49 81 01 Email: yves.bertin@let.ensma.fr</p>	<p>National Technical University of Ukraine "Kyiv Polytechnic Institute" Faculty of Heat Power Engineering Peremogy ave., 37 03056 Kyiv Ukraine Volodymyr Baturkin Tel: 380 44 2417597 Fax: 380 44 2417597 Email: baturkin@carrier.kiev.ua</p>
1000024-9075	<p>University of Latvia Department of Physics Rainis Boulevard, 19 LV-1586 Riga Latvia Marcis Auzinsh Tel: 371 7033750 Fax: 371 7033751 Email: Marcis.Auzins@lu.lv</p>	<p>Institute of Physics of NASU Department of Coherent and Quantum Optics Prospekt Nauki, 46 03028 Kyiv Ukraine Leonid Yatsenko Tel: 380 44 5250972 Fax: 380 44 5250972 Email: yatsenko@iop.kiev.ua</p> <p>National Science Center "Kharkiv Institute of Physics & Techn</p>
1000024-9100	<p>GISAT Ltd GIS Department Charkovska st., 7 CZ-10100 Prague Czech Republic Tomas Soukup Tel: 420 271 741935 Fax: 420 271 741936 Email: tomas.soukup@gisat.cz</p>	<p>Scientific Centre for Aerospace Research of the Earth, IGS NASU Geoinformation Technologies in Remote Sensing Department Oles Gonchar str., 55B 01601 Kyiv Ukraine Mikhail Popov Tel: 380 44 4820166 Fax: 380 44 4820166 Email: pop@casre.kiev.ua</p> <p>Dniprococosmos</p>

1000024-9154	<p>Institute of Informatics, Slovak Academy of Sciences Department of Parallel and Distributed Computing Dubravská cesta, 9 SK-84507 Bratislava Slovakia Ladislav Hluchý Tel: 421 2 5477-1004 Fax: 421 2 5477-1004 Email: hluchy.ui@savba.sk</p>	<p>Space Research Institute of NSAU Department of Space Information Technologies and Systems Prospekt Akad. Glushkova, 40 3187 Kyiv Ukraine Nataliya Kussul Tel: 380 44 5262553 Fax: 380 44 5264124 Email: inform@ikd.kiev.ua</p>
1000024-9339	<p>Université Libre de Bruxelles Microgravity Research Center Avenue F.D. Roosevelt, 50 B-1050 Brussels Belgium Jean-Claude Legros Tel: 32 2 6503141 Fax: 32 2 6503126 Email: jclegr@ulb.ac.be</p>	<p>I.N. Frantsevich Institute for Problems of Materials Science of the National Academy of Sciences of U Department of Powder Metallurgy Krzhyzhanovskiy, 3 03680 Kyiv Ukraine Anatoliy Kostornov Tel: 380 44 4240427 Fax: 380 44 4242131 Email: agkost@ipms.kiev.ua</p>
1000024-9396	<p>Helsinki University of Technology (TKK) Department of Materials Science and Engineering Vuorimiehentie str., 2A FIN-02150 Espoo Finland Simo-Pekka Hannula Tel: 358 9 451 2675 Fax: 358 9 451 2677 Email: simo-pekka.hannula@tkk.fi</p>	<p>Institute of Metal Physics of NASU Physical Grounds for Design of Steels and Alloys (No.44) Vernadskyi blvd., 36 03142 Kyiv Ukraine Nadiya Glavatska Tel: 380 44 4246427 Fax: 380 44 4246427 Email: glavat@imp.kiev.ua</p>

1000025-9091	University of Southampton National Oceanography Centre European Way SO14 3ZH Southampton United Kingdom Valborg Byfield Tel: 44 2380 596405 Fax: 44 2380 596400 Email: valborg@noc.soton.ac.uk	Marine Hydrophysical Institute Remote Sensing Department Kapitanskaya, 2 99011 Sevastopol Ukraine Sergey Stanichny Tel: 380 692 545065 Fax: 380 692 540450 Email: sstanichny@mail.ru
1000025-9264	Nansen Environmental and Remote Sensing Center (NERSC) Polar and Environmental Remote Sensing Department Thormøhlensgate, 47 N-5006 Bergen Norway Stein Sandven Tel: 47 55 20 58 00 Fax: 47 55 20 58 01 Email: Stein.Sandven@nersc.no	Marine Hydrophysical Institute Remote Sensing Department Kapitanskaya, 2 99011 Sevastopol Ukraine Vladimir Malinovsky Tel: 380 692 545065 Fax: 380 692 554253 Email: vladimir.malinovsky@gmail.com

NATO PROJECTS

Project acronym	Contact details of Coordinator	Contact details of the Ukrainian partner
-----------------	--------------------------------	--

<p>SFP-980878</p>	<p>Oleksandr Vasyliev Frantsevich Institute for Problems of Materials Science 3 Krzhizhanovskoho St., 03680 Kyiv, Ukraine Phone: +380 44 424 1524 Fax: +380 44 424 2131 E-mail:dir@ipms.kiev.ua, vasiliev@ipms.kiev.ua http://www.ipms.kiev.ua</p> <p>Nina Orlovskaya College of Engineering, Drexel University 3141 Chestnut Street Philadelphia, PA 19104 Phone: 215 895 2210 Fax: 215 895 4929 E-mail: engineering@drexel.edu http://www.drexel.com/</p>	<p>Oleksandr Vasyliev Frantsevich Institute for Problems of Materials Science 3 Krzhizhanovskoho St., 03680 Kyiv, Ukraine Phone: +38 044 424 1524 Fax: +38 044 424 2131 E-mail:dir@ipms.kiev.ua, vasiliev@ipms.kiev.ua http://www.ipms.kiev.ua</p> <p>Oleksandr Koval Zirconia Ukraine Ltd., 3, Krzhizhanovskoho Str. 03680 Kyiv-142, Ukraine</p>
<p>SFP-980881</p>	<p>Peter Mascher Department of Engineering Physics, McMaster University 1280 Main Street West Hamilton, Ontario, Canada Phone: 905 525 9140 Fax: 905 527 8409 E-mail: mascher@mcmaster.ca http://www.mcmaster.ca/</p> <p>Anatoliy Belous Vernadsky Institute of General and Inorganic Chemistry, National Academy of Science of Ukraine 32-34 Acad. Palladina Ave., 03680, Kyiv, Ukraine Phone: +38 044 424 3461 Fax: +38 044 424 3070 E-mail:office@ionc.kiev.ua http://www.ionc.kar.net</p>	<p>Anatoliy Belous Vernadsky Institute of General and Inorganic Chemistry, National Academy of Science of Ukraine 32-34 Acad. Palladina Ave., 03680, Kyiv, Ukraine Phone: +38 044 424 3461 Fax: +38 044 424 3070 e-mail:office@ionc.kiev.ua http://www.ionc.kar.net</p>

SFP-981044	<p>Prof. Dr. Clemens Simmer Meteorological Institute (MIUB), University Bonn Auf dem Hugel 20, 53121 Bonn, Germany Phone: +49 228 735 181 Fax: +49 228 735 188 Email: csimmer@uni-bonn.de http://www.meteo.uni-bonn.de/index.en.html</p> <p>Prof. Sergey Gulev Sea-Air Interaction and Climate Laboratory P.P.Shirshov Institute of Oceanology, RAS 36 Nakhimovsky ave, 117958, Moscow, Russia Phone: +7 095 124 7985 Fax: +7 095 124 5083 Email: gul@sail.msk.ru http://www.ocean.ru/eng/</p>	<p>Dr. Sergiy Ivanov Department of Atmospheric Physics Odessa State Ecological University (OSEU) 15 Lvovskaya str., Odessa, 65059, Ukraine Tel: +38 048 746 7339 Fax: +38 048 242 7767 E-mail: svvivo@te.net.ua http://www.odeku.edu.ua/en/index.php</p>
-------------------	--	---

SFP-981063	<p>Prof. Emin Özsoy Institute of Marine Sciences, Middle East Technical University P.O. Box 28, Erdemli, 33731 Mersin, Turkey Phone:+90 324 521 2150, +90 324 521 2406 Fax : +90 324 521 23 27 E-mail: ozsoy@ims.metu.edu.tr www.ims.metu.edu.tr</p> <p>Prof. Ramiz Mamedov Institute of Geography, Azerbaijan Academy of Sciences 31, H.Javid St., AZ1143, Baku, Azerbaijan Phone: +994 12 439 3541, 994 12 438 2900 Fax: +994 12 439 3541 Email: ramiz.mamedov@geo.ab.az http://www.science.az/en/geography/index.htm</p> <p>Rasim Sattarzade Ministry of Ecology and Natural Resources 50, Heydar Aliyev St., AZ1154 Baku, Azerbaijan Phone: +994 12 598 2346 E-mail: rsattarzade@azdata.net http://www.azhydromet.com/</p>	<p>Dr. Gennady Korotaev Marine Hydrophysical Institute 2, Kapitanskaya St., 99011Sevastopol, Ukraine Phone: +38 069 254 0452 Fax: +38 069 255 4253 E-mail: ocean@alpha.mhi.iuf.net http://www.mhi.iuf.net/</p>
-------------------	--	--

<p>SFP-981415</p>	<p>NATO country Project Director: Prof. Wolfgang Wild Netherlands Institute for Space Research LEA & Kapteyn Astron. Institute of Groningen P.O.Box 800, 9700 AV Groningen, Netherlands Phone: +31 050 363 4074 Fax: +31 050 363 4033 email: W.Wild@sron.nl http://www.sron.nl/</p> <p>Partner country Project Director: Prof. Valery P. Koshelets Institute of Radio Engineering and Electronics Russian Academy of Sciences Mokhovaya 11-7, 125009 Moscow, Russia Phone: +7 495 629 3574 Fax: +7 495 629 3678 E-mail: valery@hitech.cplire.ru http://www.cplire.ru/html/lab234</p>	<p>Oleksandr M. Pylypenko State Research Centre of Superconducting Electronics "Iceberg" 03028 Kyiv, Ukraine Phone: +38 044 403 3145 E-mail: o_pylypenko@online.com.ua</p>
<p>SFP-981770</p>	<p>Project Co-Director: Dr. Dusan Galusek Institute of Inorganic Chemistry, Technology and Materials, Slovak University of Technology Radlinského 9 812 37 Bratislava, Slovakia Phone.:+421 252 926 048 Fax:+421 259 325 415 E-mail: galusek@tnuni.sk http://www.chtf.stuba.sk/kksac/departmente.php</p>	<p>Dr. Leonid Lytvynov Institute for Single Crystals, National Academy of Science 60 Lenin Ave, Kharkov 61001, Ukraine Phone.: +38 057 341 0166 Fax: +38 057 340 9343 E-mail: info@isc.kharkov.com http://www.isc.kharkov.com/english/</p>

<p>SFP-981786</p>	<p>NATO country Project Director: Prof. Roberto Raiteri University of Genova 5, Via Balbi, 16126 Genova, Italy Phone: +39 010 209 91 Fx: + 39 010 209 9227 E-mail: rr@unige.it</p> <p>Partner country Project Director: Prof. Yael Nemirovsky Department of Electrical Engineering Technion, Israel Institute of Technology Technion City, Haifa 32000, Israel Phone: +972-4-8294688 Fax: +972-4-8295757 E-mail: nemirov@ee.technion.ac.il http://www1.technion.ac.il/_root/index.html www.ee.technion.ac.il/Sites/People/YaelNemirovsky/</p>	<p>Prof. Vladimir Zaitsev Department of Chemistry Taras Shevchenko University 62A, Volodymyrska Street, Kyiv, Ukraine Telephone: +38 044 239 3358 Fax: +38 044 289 8391 E-mail: dekanat_chem@univ.kiev.ua www.chem.univ.kiev.ua</p>
<p>SFP-982823</p>	<p>NATO country Project Director: Dr. Thierry Pochet DETEC-Europe 2 Allee de Kerpayen, 56000 Vannes, France Phone: 33 130 051 478 Fax: 33 130 051 416 E-mail: info@detec-rad.com http://www.detc-rad.com</p>	<p>Prof. Volodymyr Ryzhikov Research and Technology Center for Radiation Instrument Engineering Institute for Single Crystals 60 Lenin Ave., 310001 Kharkov, Ukraine Phone: +38 057 232 1379 Fax: +38 057 232 1391 E-mail: ryzhikov@stcri.kharkov.ua www.isc.kharkov.com</p>

	<p>Contact Points: Dr. Giovanni A. Zapponi Technology and Health Department Istituto Superiore di Santa Viale Regina Elena 299, 00161 Rome, Italy Phone: +39 064 990 2915 Fax: +39 064 938 7083, E-mail: alfredo.zapponi@iss.it www.iss.it/</p> <p>Dr. Curtis Travis Project Performance Corporation 1760 Old Meadow Road, McLean, Virginia 22102, USA Phone: 703 748 7000 Fax: 703 748 7001 E-mail: traviscc@icx.net http://www.ppc.com/Pages/default.aspx</p>	<p>Coordinator: Dr. Dimitry Bazyka Research Centre for Radiation Medicine Academy of Medical Sciences 53, Melnikova, Kijv, 254050 Ukraine Phone: +38 044 451 8294 E-mail: baz@rcrm.kiev.ua</p>
	<p>Contact Points: Vincent James Cogliano Carcinogen Identification and Evaluation, International Agency for Research on Cancer 150 Cours Albert Thomas 69372 Lyon, CEDEX 08, France Phone: +33 047 273 8485 Fax: +33 047 273 8575 E-mail: cogliano@iarc.fr http://www.iarc.fr/</p> <p>Prof. Dr. Giovanni Alfredo Zapponi Technology and Health Department, Istituto Superiore di Sanita Viale Regina Elena 299, 00161 Rome, Italy Phone: +39 064 990 2915 Fax: +39 064 938 7083, E-mail: alfredo.zapponi@iss.it www.iss.it/</p>	

	<p>Contact Points: Michael Dawson Department of National Defence National Defence Headquarters Major-General George R. Pearkes Building 101 Colonel By Drive, Ottawa Ontario, Canada, K1A 0K2 Phone: 613 995 2534 Fax: 613 992 4739 E-mail: Dawson.MT@forces.gc.ca http://www.forces.gc.ca/site/home-accueil-eng.asp</p> <p>Shorena Zakariadze Ministry of Defence of Georgia International Relations and Euro-Atlantic Integration Department 20, General Kvinitadze St., 0112 Tbilisi, Georgia Phone/Fax: +995 329 113 64 E-mail: emsadmin@mod.gov.ge http://www.mod.gov.ge/?l=E&m=1</p>	
	<p>Director: Prof. Douw G. Steyn The University of British Columbia 2329 West Mall, Vancouver, BC Canada V6T 1Z4 Phone: 604 827 5517 E-mail: dsteyn@eou.ubc.ca http://www.ubc.ca/</p>	<p>Participant: Dr. Liudmila Bugaieva National Technical University of Ukraine 37 Prospect Peremohy 03056 Kyiv, Ukraine Phone: +380 44 236 7989 Fax: +380 44 236 6913 E-mail: bugaeva_L@ukr.net http://kpi.ua/en/</p>

	<p>Contact Point: Dr. Tomasz Nalecz Polish Geological Institute Rakowiecka 4, 00975 Watszawa, Poland Phone: +48 22 849 5351 ext. 111 Fax: +48 22 849 5358 E-mail: Tomasz.Nalecz@pgi.gov.pl http://www.pgi.gov.pl/pgi_en/</p>	<p>Participants: Oleh Hvozdevych Institute of Geology and Geochemistry of Combustibil Minerals National Academy of Science 3-a Naukova St., 79060 Lviv, Ukraine Phone: +38 0322 632 541 Fax: +38 0322 632 209 E-mail: igggk@mail.lviv.ua http://www.iggcm.org.ua</p> <p>Orest Mukha Lviv Center for Scientific, Technical and Economic Information 57 V. Tchornovil St., 79058 Lviv, Ukraine Phone: +38 0322 523 200 Fax: +38 0322 523 342 E-mail: cstei@cstei.lviv.ua http://www.cstei.lviv.ua</p>
	<p>Contact Point: Maarten Gijsbers Ministry of Defence (Netherlands) P.O. Box 20701 2500 ES Den Haag Phone: 0031 70 318 8459 Fax: 0031 70 318 6658 E-mail: mg.gijsbers@mindef.nl http://www.defensie.nl/</p>	<p>Participants: Dr. Lidia Amjadeen National Academy of Science 54, Volodymyrska St., 01601 Kiev, Ukraine Phone: +38 044 255 7679 Fax: +38 044 253 2353 E-mail: lidiamjad@yahoo.com http://www.nas.gov.ua/en/Pages/default.aspx</p> <p>Volodymyr Kuznyetsof Ukrainian Scientific Research Institute of Ecological Problems, Ministry of Environmental Protection 6, Bakulina St, 61166 Kharkov, Ukraine Phone: +38 057 702 1592 Fax: +38 057 702 1592 E-mail: vladkuz@ukr.net http://www.niiep.kharkov.ua/eng/index.php</p>

	<p>Director: Dr. Subhas K. Sikdar National Risk Management Research Laboratory U.S. Environmental Protection Agency 26 W. Martin Luther King Drive Mail Stop: G75 Cincinnati, OH 45268 Phone: 513 569 7528 Fax: 513 569 7549 E-mail: sikdar.sudhas@epa.gov http://www.epa.gov/nrmrl/index.html</p>	<p>Participant: Prof. William Zadorsky Ukrainian State University of Chemical Engineering Pridneprove Clean Production Center P.O. Box 4159 Dnepropetrovsk, 30 Ukraine 320030 Phone: +38 056 778 0648 Fax: +38 056 244 7668 E-mail: zadorsky@hotmail.com ecofond@a-teleport.com http://www.zadorsky.com/index.html</p>
	<p>Contact Point: Walter Kovalick Technology Innovation Office (5102G) U.S. Environmental Protection Agency 401 M Street, SW Washington, DC 20460 Phone: 703 603-9910 Fax: 703 603-9135 E-mail: kovalick.walter@epamail.epa.gov</p>	<p>Participants: Petro Nakhaba All-Ukrainian Public Organisation “Chysta Khvylya” 55B, Oles Honchar St., 01054 Kyiv, Ukraine Phone: +380 50 381 6888 Fax: +380 44 206 3110 E-mail: Peter.nakhaba@sunburyheights.com</p> <p>Volodymyr Gandzyura National Taras Shevchenko University of Kyiv 64, Volodymyrska St., 01033 Kyiv, Ukraine Phone: +380 44 484 3778 Fax: +380 44 206 3110 E-mail: v-gandzyura@email.kiev.ua http://www.univ.kiev.ua/en/</p>

Contact Points::

William Kepner
National exposure Research Laboratory
Office of Research and Development
U.S. Environmental Protection Agency
Las Vegas, NV 89193-3478, USA
Phone: 702 798 2193
Fax: 702 798 2208
E-mail: kepner.william@epa.gov
<http://www.epa.gov/nerl/>

Prof. Felix Mueller
Ecology Center
Christian-Albrechts-University Kiel
Olshausenstrasse 75. D-24118 Kiel, Germany
Phone: 0431 880 4030
Fax: 0431 880 4083
E-mail: fmueller@ecology.uni-kiel.de
www.ecology.uni-kiel.de

Participants:

Prof. George Sukhorukov
Research Institute of Environmental Problems
Ministry of Environmental Protection
6 Bakulina St.
310166 Kharkiv, Ukraine
Phone: +380 572 194 692
Fax: +380 572 455 047
E-mail: griz@kharkov.com
mnts@uscpcw.kharkov.ua

Dr. Olga Marushevskaya,
International Cooperation Department
Ministry of Environmental Protection
35, Uritskoho St.,
01061 Kyiv, Ukraine
Phone: 380 44 206 3100, 380 44 206 3107
E-mail: marushevskaya@menr.gov.ua
menr@menr.gov.ua
<http://www.menr.gov.ua>

STCU PROJECTS

Project acronym	Contact details of the Ukrainian project manager (Participating Institute)	Contact details of EU partner (Collaborateur)
3074	Igor Voytovych V.M. Glushkov Institute of Cybernetics Kyiv, Ukraine 380(44)526-0128 d220@public.icyb.kiev.ua	Fritz Steinberg SQUID International AG Germany info@squid.de 'Christian Plank Technischen Universitat Munchen Germany plank@itz.tum.de
3079	Gennadiy Stepanov G.S. Pisarenko Institute for Problems of Strength Kyiv, Ukraine 380(044)286-63-57 stepanov@ipp.kiev.ua	Leopold Kruszka Military University of Technology Poland 48(22)6851577 lkruszka@glob.wic.wat.waw.pl 'Achim Schoberth EADS Germany ulrike.huber@eads. Net

<p>3098</p>	<p>Petro Gorley Yu. Fedkovich Chernivtsi State University Chernivtsy, Ukraine 380(03722)46877 semicon@chnu.cv.ua</p>	<p>Manuela Vieira Department of Electronics Engineering, Telecommunications and Computers, Instituto Superior de Engenharia de Lisboa, Portugal 351(21)8317290 mv@isel.ipl.pt</p> <p>'Wolfram Hergert Martin Luther Universitat Germany</p> <p>'Andriy Hikavy University Gent Belgium</p> <p>'Joel Cibert Centre Natinal De La Recherche Scientifique France</p> <p>'Daniel Wolverson University of Bath U. K. 44(01225)383321 d.wolverson@bath.ac.uk</p> <p>'Sveta Vackova Czeck technik university Czech Republic</p> <p>'Barnas Jozeph Adani Mickwicz University Poland</p> <p>Claudine Lacroix LLN France lacrix@grenoble.cnrs.fr</p>
--------------------	--	---

<p>3115 (R)</p>	<p>Anatoliy Itsenko I.M. Frantsevich Institute of Problems of Materials Science Kyiv, Ukraine 380(044)4116591 a_itsenko@ukr.net</p>	<p>Javier Tezanos Fabrica de Reinoso Spain 34(942)775000</p> <p>Peter Brewin European Powder Metallurgy Association Belgium</p> <p>A. Valls Rovalma S/A/ Spain 34(937)362380 avalls@rovalma.com</p> <p>Rudiger Winterhager BGN Germany</p> <p>John Dunkley Atomising Systems Limited U. K.</p>
<p>3126</p>	<p>Petro Litovchenko Institute for Nuclear Research Kyiv, Ukraine 380(44)525-37-44 plitov@kinr.kiev.ua</p>	<p>Dario Bisello INFN Italy 39(049)8277215 bisello@pd.infn.it</p>

3130	<p>Valery Kyryan E.O. Paton Electric Welding Institute Kyiv, Ukraine 380(044)287-60-05 kiryana@paton.kiev.ua</p>	<p>Jonathan Dee AIRBUS U. K. 44(117)969-3831 Jonathan.Dee@airbus.com</p> <p>'J.-C. Ehrstrom ALCAN France eliane.fagot-revurat@pechiney.com</p>
3172	<p>Victor Zozulya B.I. Verkin Institute for Low Temperature Physics and Engineering Kharkiv, Ukraine 380(57)3308534 zozulya@ilt.kharkov.ua</p>	<p>Genevieve Pratviel Laboratoire de Chimie de Coordination du CNRS France 33(561)333146 pratviel@lcc-toulouse.fr</p> <p>Chattopadyaya Jyoti Uppsala University, department of Neutron research Sweden Jyoti@bioorgchem.uu.se</p>
3206	<p>Georgii Levchenko O.O.Gakin Donetsk Institute of Physics and Engineering Donetsk, Ukraine 380(62)337-9699 levch@levch.fti.ac.donetsk.ua</p>	<p>Jose Real Universitat de Valencia, Departament de Quimica Inorganica, Facultat de Quimica Spain Jose.A.Real@uv.es</p> <p>Constantine Kosmidis University of Ioannina, Departament of Physics, Atomic & Molecular Physics Laboratory Greece kkosmid@cc.uoi.gr</p> <p>'Henryk Szymczak Institut of Physics, Polish Academy of Sciences Poland</p>

<p>3208</p>	<p>Larysa Parkhomenko Gromashevsky Institute of Epidemiology and Infectious Diseases Kyiv, Ukraine 380(44)2496497 lparkhom@hotmail.com</p>	<p>Portus Hedberg GE Health care, Life Science Sweden -</p>
<p>3227</p>	<p>Gennadiy Zaginaylov V.N. Karazin Kharkiv National University Kharkiv, Ukraine 380(57)335 27 94 genzag@yahoo.com</p>	<p>Olgierd Dumbrajs Laboratory of Advanced Energy Systems Department of Technical Physics and Mathematics Helsinki University of Technology Finland 358(9)4513207 olgierd.dumbrajs@hut.fi</p> <p>Manfred Thumm Forschungszentrum Karlsruhe, Association EURATOM - FZK, Institut für Hochleistungsimpuls- und Mikrowellentechnik Germany 49(7247)82 2441 Manfred.Thumm@ihm.fzk.de</p> <p>'Klaus Schuenemann Technical University Hamburg-Harburg Arbeitsbereich Hochfrequenztechnik Germany 49(40)428783019 schuenemann@tuhh.de</p>

3278	<p>Anatoly Petrenko Scientific Research Institute of Information Resources at the National Technical University of Ukraine "Kyiv Polytechnical Institute" Kyiv, Ukraine 380(44)236-96-37 petrenko@cad.kiev.ua, petrenbko@cad.ntu-kpi.kiev.ua</p>	<p>Hans Hartmann s3 solutions GmbH Germany 49(8153)984378 hartmann@s3solutions.com</p>
3279	<p>Boris Konorev I&C System Certification Center. State Center for Regulation of Supplies and Service of Quality. Kharkiv, Ukraine 380(0572)44-52-76 admin@scasu.com</p>	<p>Gunter Schnurer I&C Department Head of Group System Analysis and Safety Assessment Institute for Safety Technology (ISTec) GmbH Germany sgu@istec.grs.de</p>
3290 (R)	<p>Mykhailo Buzynnyy Marzeev Institute of Hygiene and Medical Ecology Kyiv, Ukraine 380(44)5137074 mbuz@ukr.net</p>	<p>J.W. Mieteski The Henryk Niewodniczanski Institute of Nuclear Physics Poland jerzy.mietelski@ifj.edu.pl</p> <p>'Christian Tamponnet IRSN France</p>

3306	<p>Maya Glinchuk I.M. Frantsevich Institute of Problems of Materials Science Kyiv, Ukraine 380(044)424-2001 garmash@ipms.kiev.ua</p>	<p>Mario Maglione Centre National de la Recherche Scientifique Institute of Condensed Matter Chemistry of Bordeaux France 33(0)540008811 maglione@icmcb.u-bordeaux1.fr</p> <p>Wolfgang Kleemann Universitat Duisburg- Essen Germany wolfgang@kleemann.uni-dusburg.de</p> <p>Miroslav Boudys Piezoceram SRO Poland</p>
3307	<p>Oleksandr Brovko Institute of Macromolecular Chemistry Kyiv, Ukraine 380(044)5510374 brovko@ukrpack.net</p>	
3322	<p>Anatoliy Tonkonozhenko State Public Enterprise "M.K.Yangel Design Office "Pivdenne" Dnipropetrovsk, Ukraine 380(056)770-45-87 info@yuzhnoe.com</p>	<p>Thorsten Kallnischkies Dr Schidt Partnership Germany</p> <p>Udo Becker Dresden University of Technology Germany 49(351)463-6566 Becker@divu.de</p> <p>Thorsten Kallnischkies DR. SCHMIDT-BERESAN-KALLNISCHKIES PARTNERSCHAFT Germany 49(030)2654-0343 kallnischkies@co2operation.de</p>

3327	<p>Yuliy Milman I.M. Frantsevich Institute of Problems of Materials Science Kyiv, Ukraine 380(044)424-31-84 milman@ipms.kiev.ua</p>	<p>George Thompson Corrosion and Protection Centre, UMIST(University of Manchester, Institute of Science and Technology) U. K. 44(0161)200-4859 G.E.Thompson@umist.ac.uk</p> <p>'R. Rauh European Aeronautic Defence and Space Company (EADS) Germany 49(89)607 22727 Johannes. Vlcek@eads.net</p>
3332	<p>Leonid Pospelov Kharkiv State Technical University of Radioelectronics Kharkiv, Ukraine 380(57)7021352 hyper@kture.kharkov.ua</p>	<p>Paolo Nanni University of Genova Italy 39(010)3536020 nanni@ge.ieni.cnr.it;</p> <p>Antony West The University of Sheffield U. K. a.r.west@sheffield.ac.uk</p> <p>G.C. Rhoon Eramus MC Netherlands</p>
3350	<p>Volodymyr Kovalenko Laser Technology Research Institute at the National Technical University of Ukraine "Kyiv Polytechnical Institute" Kyiv, Ukraine 380(44)236-02-77 kovinvst@ntu-kpi.kiev.ua, kovinvst@sovamua.com, anyakin@ukr.net</p>	<p>Johan Meijer University of Twent, Netherlands P.O. Box 217 Netherlands 01131(53)4892527 J.Meijer@ctw.UTWENTE.NL</p>

<p>3377</p>	<p>Kostyantyn Lukin O.Ya. Usikov Institute of Radiophysics and Electronics Kharkiv, Ukraine 380(57)7203349 lukin@ire.kharkov.ua</p>	<p>Peter Kohns Diospek GbR, Honnefer Str., 14, D-53179 Bonn Germany diospek@gmx.de</p> <p>Leonid Vitushin BIPM France lvitushkin@bipm.org</p> <p>Garry Lester L-lectronics Ltd U. K. garry@L-lectronics.co.uk</p>
<p>3382</p>	<p>Tamara Velikanova I.M. Frantsevich Institute of Problems of Materials Science Kyiv, Ukraine 380(44)424-3090 velikanova@ipms.kiev.ua</p>	<p>Gunter Effenberg Materials Science International Services GmbH Germany 49(0711)677-1240 effenberg@msiwp.com</p> <p>G Frommeyer Max-Planck-Institut fur Eisenforschung Germany frommeyer@mpie.de</p> <p>'Robert Guntin Access a.V. Germany</p> <p>Peter Rogl Institute of Physical Chemistry Austria</p>

3383	<p>Ihor Protsykevich State Enterprise Scientific Telecommunicational Centre "Ukrainian Academic and Research Network" of the Institute for Condensed Matter Physics Lviv, Ukraine 380(0322)768401 prots@icmp.lviv.ua</p>	<p>Ingo Hussla IZET Germany 'Jacek Gajewski CEENet Poland</p>
3385	<p>Vladyslav Sokolov Physical and Technological Institute of Metals and Alloys Kyiv, Ukraine 380(44)424-6057 vlads@visti.com</p>	<p>Friedrich Bernd IME Process Metallurgy and Metal REcycling Department and Chair of RWTH Aachen Germany 49((0)241)80 95850 BFriedrich@metallurgie.rwth-aachen.de</p>
3464	<p>Maria Lyshchyshyn Collective scientific and industrial enterprise " Karpaty - Chornobyl" Lviv, Ukraine 380(322)237-49-50 lyshch@lviv.farlep.net</p>	<p>Bogdan Spuling Neues Heimatland e.V. Germany neuesHeimatland@t-online.de 'E. Enchev "ENAIDA" Ltd. Bulgaria encheven@yahoo.com</p>
3479	<p>Natalia Zaimenko M.M. Gryshko National Botanical Garden Kyiv, Ukraine 380(44)2854105 zaimenko@nbg.kiev.ua</p>	<p>Bogdan Zemanek Hortus Botanicus Universitatis Jagiellonigae Poland 'Jaroslav Dolezel Institute of Experimental Botany Czech Republic 420(585)228521 dolezel@ucb.cas.cz</p>

3480	Kostyantyn Grytsenko V.E. Lashkaryov Institute of Semiconductor Physics Kyiv, Ukraine 380(44)5255937 d_gryts@isp.kiev.ua and d_gryts@hotmail.com	Oleg Salata Oxford School of Pathology U. K. oleg.salata@path.ox.uk
3481	Vitaliy Bilous National Science Center "Kharkiv Institute of Physics and Technology" Kharkiv, Ukraine 380(57)335-07-55 belous@kipt.kharkov.ua	
3498	Oleksandr Raychenko I.M. Frantsevich Institute of Problems of Materials Science Kyiv, Ukraine 380(44)4242255 raitch@ipms.kiev.ua	Igor Shabalin University of Salford U. K. -
3511	Manap Khazhmuradov National Science Center "Kharkiv Institute of Physics and Technology" Kharkiv, Ukraine 380(57)335-68-46 khazhm@kipt.kharkov.ua	Philippe Convert EDF France -
3518	Sergey Larkin Concern "Nauka" Kyiv, Ukraine 380(44)4820511 karmazin@skif.com.ua	Yurgen Niemeyer Physikalisch-Technische Bundesanstalt Germany Juergen.Niemeyer@ptb.de

<p>3535</p>	<p>Inessa Bolshakova "Lvivska Polytechnica" National University Lviv, Ukraine 380(032)2970393 inessa_stcu@mail.lviv.ua</p>	<p>Jan Stockel Association EURATOM Czech Republic 420(286)890 450 stockel@ipp.cas.cz</p> <p>'Jean Jacquinet Association Euratom-Cea France</p> <p>Michel Chatelier CEA France dirdfc@drfccad.cea.fr</p> <p>'James Gunn Euratom CEA France</p>
<p>3542</p>	<p>Oleksiy Dudnik V.N. Karazin Kharkiv National University Kharkiv, Ukraine 380(057)335-28-34 dudnik@kharkov.com</p>	<p>Daniel Meziat Universidad de Alcala Spain 34(91)885-6594 meziat@aut.uah.es</p> <p>Eino Valtonen Turun Ylipisto University of Turku Finland eino.valtonen@utu.fi</p>
<p>3558</p>	<p>Vladyslav Romanenko Institute for Bioorganic Chemistry and Petrochemistry Kyiv, Ukraine 380(44)5732531 vadim66@i.kiev.ua</p>	<p>Jean-Marc Sotiropoulos Universite de Pau & Pays de L'Adour Laboratoire de Chimie Theorique et Physico-Chimie Moleculaire LCTPCM-CURS-UMR 5624 France 33((0)55)9407578 jean-marc.sotiro@univ-pau.fr</p>

3564	Halyna Biletska Lviv Research Institute of Epidemiology and Hygiene Lviv, Ukraine 380(322)76 31 43 Lymecentr@ukr.net	Marek Koziowski Rzeszow University Poland mkozioro@univ.rzeszow.pl
3567	Victor Tykhyy State Public Enterprise "M.K.Yangel Design Office "Pivdenne" Dnipropetrovsk, Ukraine 380(56)925027 info@yuzhnoye.com	Alex Roenneke EADS Space Transportation, NGL Co Italy 39 'Juergen Ackermann European Space Agency France 33(153)697285 JuergenACKERMANN@esa.int 'Dario Boggianto Alenia Spazio Sp.A, NGL Office Italy 39(011)71801 dario.boggianto@nglauncher.net -
3599	Vitaliy Dubok I.M. Frantsevich Institute of Problems of Materials Science Kyiv, Ukraine 380(044)4247256 dubok@ipms.kiev.ua	Lothar Heinrich Degussa Germany 49(2365)496373 lothar.heinrich@degussa.com 'Matthias Epple Universitat Duisburg Essen Germany

3605	<p>Yuly Milman I.M. Frantsevich Institute of Problems of Materials Science Kyiv, Ukraine 380(44)424 31 84 milman@ipms.kiev.ua</p>	<p>Robert Cahn Department of Materials Science and Metallurgy, University of Cambridge U. K. 44(1223)334381 rwc12@cam.ac.uk</p>
3643	<p>Sergii Riabov Institute of Macromolecular Chemistry Kyiv, Ukraine 380(44)559 36 95 jotas@mail.vtv.kiev.ua</p>	<p>N.S. Allen The Manchester Metropolitan University U. K.</p> <p>'Wink Thijs Paradocs Group Netherlands th.wink@hccnet.nl</p> <p>'Anthony Coleman IBCP France aw.coleman@ibcp.fr</p>
3663	<p>Victor Voyevodin National Science Center "Kharkiv Institute of Physics and Technology" Kharkiv, Ukraine 380(57)3356609 voyev@kipt.kharkov.ua</p>	<p>Claus Petersen Forschungszentrum Karlsruhe Germany -</p>

<p>3665</p>	<p>Tetyana Prikhna V. N. Bakul Institute for Superhard Materials Kyiv, Ukraine 380(44)4301126 prikhna@iptelecom.net.ua</p>	<p>Harald Weber Atominstytut der Osterreichischen Universitäten Austria weber@ati.ac.at</p> <p>'Claudio Serracane Edison Italy</p> <p>Wolfgang Gawalek Institut für Physikalische Hochtechnologie e. V. Germany 49(3641)206-103 wolfgang.gawalek@ipht-jena.de</p> <p>Vladimir Solozhenko Université Paris France</p> <p>Athanasios Mamalis National Technical University of Athens Greece mamalis@central.ntua.gr</p> <p>Eric Beaugnon Crete France</p>
<p>3674</p>	<p>Mykola Lazarev Ukrainian Institute of Agricultural Radiology Chabany, Ukraine 380(44)526 7445 lazarev@uiar.kiev.ua</p>	<p>Claude Colle Institute of Radioprotection and Nuclear Safety (IRSN) DEI/SECRE/LRE France 33((0)4 42)25 31 53 claudio.colle@irsn.fr</p>

3685	<p>Igor Girka V.N. Karazin Kharkiv National University Kharkiv, Ukraine 380(57)3353559 gir kai@univer.kharkov.ua</p>	<p>Jef Ongena EFDA U. K. Boris Weyssow Universite Libre de Bruxelles Belgium 32(2)650 55 11 bweyssow@ulb.ac.be</p>
3718	<p>Eduard Rudavskii B.I. Verkin Institute for Low Temperature Physics and Engineering Kharkiv, Ukraine 380(57)340-31-63 rudavskii@ilt.kharkov.ua</p>	<p>Vladimir Mikheev Oxford Instruments U. K. 44(1865)393200 vladimir.mikheev@oxinst.co.uk</p> <p>'Reyer Jockemsen Leiden University Netherlands 31(71)527 54 42 Jockemsen@physics.LeidenUniv.nl</p> <p>Adrian Wyatt University of Exeter U. K. 44(1392)264181 A.F.G.Wyatt@exeter.ac.uk</p> <p>Yury Mukharsky CEA France muh@drecam.saclay.cea.fr</p> <p>Jost Engert Physikalisch-Technische Bundesanstalt Germany +49(30)348 12 26 Jost.Engert@ptb.de</p>

3727	Sergey Tarapov O.Ya. Usikov Institute of Radiophysics and Electronics Kharkiv, Ukraine 380(057)7203463 tarapov@ire.kharkov.ua	Jean-Pierre Clerc Ecole Polytechnique Universitaire France jean-pierre.clerc@polytech.univ-mrs.fr
3729	Yuriy Karpachev National Technical University of Ukraine "Kyiv Polytechnical Institute" Kyiv, Ukraine 380(44)2417129 chernaa@voliacable.com	A. Ryjikov Graco Germany 'Roger Stromvall AKZO NOBEL Sweden Roger.stromvall@uk.akzonobel.com
3746	Oleksandr Pud Institute for Bioorganic Chemistry and Petrochemistry Kyiv, Ukraine 380(44)559 70 63 echoc@mail.kar.net	Sigurd Schrader Technische Fachhochschule Wildau Germany schrader@igw.tfh-wildau.de
3795	Leonid Patsenker State Scientific Institution "Institute for Single Crystals" Kharkiv, Ukraine 380(57)341-02-72 patsenker@isc.kharkov.com	ingo Kimant TUG Austria kliment@turgas.at 'Sabato D'Auria Institute of Biodiagnostics National research Council of Canada Italy 39(0816)132250 s.dauria@ibp.cnr.it 'Bernard Freuer Piometrics France

3826	Yosyf Tsaryk Institute of Ecology of the Carpathians Lviv, Ukraine 380(0322)2707443 armeria@museum.lviv.net	Peter Schmidt Dresden University of Technology Germany 49(203)3831288 schmidt@forst.tu-dresden.de
3840	Olexiy Klishyn Small Private Enterprise "Clean - Service" Paulograd, Ukraine 380(5632)34164 cleanservice@mail.lisgroup.net	Wolfgang Nowick "DITON", Germany 49(0371)211827 wnowick@t-online.de
3841	Valeriy Bortyshevskyy Institute for Bioorganic Chemistry and Petrochemistry Kyiv, Ukraine 380(44)5590495 bort2001@ukr.net	Peter van Zwol Solarix Netherlands pvanzwol@solarix.nl 'sdg sdfvg sadgasdgasdgdgdg Czech Republic sdgasdg
3856	Sergei Matvienko State Public Enterprise "M.K.Yangel Design Office "Pivdenne" Dnipropetrovsk, Ukraine 380(056)7925153 info@yuzhnoye.com	Ludwig Abele GEOSYSTEMS GmbH Germany

<p>3863</p>	<p>Vitaliy Kalchenko Institute of Organic Chemistry Kyiv, Ukraine 380(44)559 06 67 vik@bpci.kiev.ua</p>	<p>Alexandre Varnek Universite Louis Pasteur France varnek@chimie.u-strab.fr</p> <p>'Bohumir GRUNER Institute of Inorganic Chemistry Czech Republic gruner@iic.cas.cz</p> <p>'Francoise Arnaud Laboratoire de Chimie-Physique, departement of Analytical Sciences, IPHC, UMR7178, CNRS-ULP France 33(3)9024 27 49 farnaud@chimie.u-strasbg.fr</p> <p>'Casnati Alessandro D.to di Chimica Organica e Ind.le, Universit&#224; degli Studi di Parma Italy casnati@unipr.it</p>
<p>3864</p>	<p>Iryna Uvarova I.M. Frantsevich Institute of Problems of Materials Science Kyiv, Ukraine 380(044)424 15 33 uvarova@materials.kiev.ua</p>	<p>Urszula Narkiewicz Technical University of Szczecin Institute of Chemical and Environment Engineering +48504938601 e-mail: urszula.narkiewicz@ps.pl Poland 48(91)4494687 un@ps.pl</p> <p>Chris Binns University of Leicester U. K. 44(116)2523585 cb12@leicester.ac.uk</p> <p>'Marie-Isabelle Baraton University of Limoges France 33(555)457348 baraton@unilim.fr</p>

3870	Zoya Eremenko O.Ya. Usikov Institute of Radiophysics and Electronics Kharkiv, Ukraine 380(057)7203-553 zoya@ic.kharkov.ua	Giuseppe Annino Istituto di Chimica Fisica Applicata dei Materiali, Italy (503)152249 geannino@ipcf.cnr.it Richard Buchner Institut fuer Physikalische und Theoretische Chemie Germany 49(941)943 4031 richard.buchner@chemie.uni-regensburg.de
3871	Nina Kushchevska Ovcharenko Institute of Biocolloidal Chemistry Kyiv, Ukraine 380(44)424-31-75 honch@iccwc.kiev.ua	Philippe Hartermann Depaertment Enviroppment et Sante Publique France -
3872	Natalia Kussul Space Research Institute under NAS and National Space Agency Kyiv, Ukraine 380(44)5262553 inform@ikd.kiev.ua	
3894	Mikhail Sosonkin National Academy of Sciences of Ukraine Kyiv, Ukraine 380(044)2664761 sosonkin@mao.kiev.ua	
3897	Boris Bondarenko Gas Institute Kyiv, Ukraine 380(44)456-4471 bbi@i.com.ua	von Scheele Joachim Linde AG Sweden jachim.von.scheele@linde-gas.com

3898	<p>Maya Glinchuk I.M. Frantsevich Institute of Problems of Materials Science Kyiv, Ukraine 380(44)4241540 glin@materials.kiev.ua</p>	
3905	<p>Roman Kushnir Ya.S. Pidstryhach Institute for Applied Problems of Mechanics and Mathematics Lviv, Ukraine 380(322)63-83-77 kushnir@iapmm.lviv.ua</p>	
3919	<p>Evgen Legach Institute for Problems of Cryobiology and Cryomedicine Kharkiv, Ukraine 380(38-057)373-30-07 evlegach@yahoo.com</p>	<p>Colin Green Northwick Park Institute for Medical Research U. K. 44(20)88693265 s.jenks@ic.ac.uk</p> <p>Barry Fuller Royal Free University College School of Medicine U. K.</p>
3922	<p>Vyacheslav Kochelap V.E. Lashkaryov Institute of Semiconductor Physics Kyiv, Ukraine 380(44)5256033 kochelap@nika1.pp.kiev.ua</p>	<p>Hans Luth Forschungszentrum Julich Germany h.lueth@fz-juelich.de</p>
3959	<p>Vladyslav Glazkov State Public Enterprise "M.K.Yangel Design Office "Pivdenne" Dnipropetrovsk, Ukraine 380(56)7708516 info@yuzhnoye.com</p>	<p>Inge Gerhardt Danish Ministry of the Environment Denmark 45(32)660100 mst@mst.dk</p>

3966	<p>Vyacheslav Borschov Scientific Research Technological Institute for Instrument Engineering Kharkiv, Ukraine 380(57)733-06-62 borshchov@kharkov.ukrtel.net</p>	
3988	<p>Inessa Bolshakova "Lvivska Polytechnica" National University Lviv, Ukraine 380(032)2970393 inessa_stcu@mail.lviv.ua</p>	<p>Jan Stockel Euroatom Czech Republic stockel@ipp.cas.cz</p> <p>'Jerome Pamela Joint European Torus (JET) U. K. 44(1235)464400 Jerome.Pamela@jet.efda.org</p> <p>"Vincenzo Coccoresse Association Euratom-Enea (Create) Italy 39(081)7683243 create@unina.it</p>
4004	<p>Olga Panasyuk I.M. Frantsevich Institute of Problems of Materials Science Kyiv, Ukraine 380(44)424-82-87 dep36@ipms.kiev.ua</p>	<p>Herbert Danning Vienna University of Technology Austria 43(158)801-48-00 hdanning@lbch.tuwien.ac.at</p> <p>'Paride Costa Pometon S.p.A. Research&Development Italy 39(041)2903611 research@pometon.com</p>

<p>4012</p>	<p>Olena Azarenko Sevastopol Institute of Nuclear Energy and Industry Sevastopol, Ukraine 380(0692)710089 divizinyuk@ukr.net</p>	<p>Muraviev Dmitri Department of Chemistry, Autonomous University of Barcelona Spain dimitri.muraviev@uab.es</p> <p>'Leonowicz Marcin Warsaw University of Technology Faculty of Materials Science and Engineering Department of Structural and Functional Materials Poland mkl@inmat.pw.edu.pl</p> <p>'Koprinarov N. Central Laboratory for Solar Energy and New Energy Sources Bulgaria koprin@phys.bas.bg</p> <p>'Shabalin Igor The University of Salford, Institute for Materials Research U. K. i.shabalin@salford.ac.uk</p>
<p>4020</p>	<p>Anatoliy Belous V.I. Vernadsky Institute of General and Inorganic Chemistry Kyiv, Ukraine 380(44)4242211 belous@ionc.kar.net</p>	<p>Antony West University of Sheffield U. K. 44(0)1142225995 a.r.west@sheffield.ac.uk</p> <p>'Jean-Louis Fourquet Universite du Maine France 33(0243)833356 jean-louis.fourquet@univ-lemans.fr</p> <p>'Paolo Nanni University of Genoa Italy p.nanni@ge.ieni.cnr.it</p>

4049	<p>Sergiy Bondarenko Scientific Research Power Engineering Institute of the Dnipropetrovsk National University Dnipropetrovsk, Ukraine 380(56)7608429 serg_bondarenko@mail.ru</p>	<p>Werner Inden IndenCons Beratung and Consulting Germany 49(421)8062590 inden.cons@gmx.de</p> <p>'Alwin Eisenmann MT Aerospace Germany 49((0)821)50501 alwin.eisenmann@mt-aerospace.de</p> <p>'Martin Sweeting Surrey Satellite Technologies Ltd. (SSTL) U. K. 44(1483)689278 info@sstl.co.uk</p>
4080	<p>Alla Klimovska V.E. Lashkaryov Institute of Semiconductor Physics Kyiv, Ukraine 380(044)5257091 kaignn@rambler.ru</p>	<p>Djamila hourlier IEMN, UMR 8520 du CNRS France djamila.hourlier@isen.iemn.univ-lille1.fr</p>
4106	<p>Mykhaylo Surdu Institute of electrodinamics (IED NASU), Ukrainian National Academy on Science (NASU) Kyiv, Ukraine 380(44)206-55-01 msurdu@nbi.com.ua</p>	<p>Petra Spitzer Physikalisch-Technische Bundesanstalt Germany 49(531)592-33-22 petra.spitzer@ptb.de</p>

4114	<p>El' Ganapolskii O.Ya. Usikov Institute of Radiophysics and Electronics Kharkiv, Ukraine 380(057)7203-553 ganap@ire.kharkov.ua</p>	
4115	<p>Volodymyr Ryzhikov Institute for Single Crystals R&D Corporation Kharkiv, Ukraine 380(57)340-2379 ryzhikov@isc.kharkov.com ; naydenov@kharkov.com</p>	<p>Gintautas Tamulaitis Vilnius University Lithuania 370(5)236-6059 Gintautas.Tamulaitis@ff.vu.lt</p> <p>'Alexander Krylov Company FOLGAT AG Germany 49(69)9529-7164 Alexander.Krylov@folgat.com</p>
4120	<p>Vasyl Pekhnyo V.I. Vernadsky Institute of General and Inorganic Chemistry Kyiv, Ukraine 380(44)4242511 chelates@ionc.kar.net; pekhnyo@ionc.kar.net</p>	<p>Rzaczynska Zofia Marie Curie Sklodowska University Poland -</p>
4128	<p>Petro Gorbyk Institute of Surface Chemistry Kyiv, Ukraine 380(44)4241135 isc-sec@i12.com</p>	<p>Gleb Sukhorukov Department of Materials, Queen Mary University of London U. K. g.sukhorukov@qmul.ac.uk</p> <p>'Sergey Mikhalovsky University of Brighton U. K. s.mikhalovsky@brighton.ac.uk</p>

4129	Anatolii Omelchuk V.I. Vernadsky Institute of General and Inorganic Chemistry Kyiv, Ukraine 380(44)424-21-88 omelchuk@ionc.kar.net	
4133	Mikhail Nishchenko G.V. Kurdyumov Institute for Metal Physics Kyiv, Ukraine 380(44)422 95 50 Nish@imp.kiev.ua	Michael Lalor Co-Director General Engineering Research Institute U. K. 44((0)151)2312018 m.j.lalor@livjm.ac.uk
4134	Yaroslav Romanyuk Main Astronomic Observatory Kyiv, Ukraine 380(44)5264769 romanyuk@mao.kiev.ua	
4137	Anatolii Pogoriliy Institute of Magnetism under NAS and MES of Ukraine Kyiv, Ukraine 380(44)4249095 apogor@imag.kiev.ua	Des Mapps University of Plymouth U. K. 44(1752)232570 D.Mapps@plymouth.ac.uk
4138	Volodymyr Shylo V.M. Glushkov Institute of Cybernetics Kyiv, Ukraine 380(044)5267418 v.shylo@gmail.com	Yaroslav Sergeev Calabria University Italy 39(0984)494855 yaro@si.deis.unical.it

<p>4144</p>	<p>Vitaliy Komar Institute for Single Crystals R&D Corporation Kharkiv, Ukraine 380(057)3410169 komar@isc.kharkov.ua</p>	<p>Irina Sorokina Photonics Institute, Vienna University of Technology Austria sorokina@tuwien.ac.at</p> <p>'Irina Sorokina Photonics Institute, Vienna University of Technology Austria sorokina@tuwien.ac.at</p> <p>Ian Bennion Aston University U. K. i..bennion@aston.ac.uk</p>
<p>4155</p>	<p>Igor Garkusha National Science Center "Kharkiv Institute of Physics and Technology" Kharkiv, Ukraine 380(57)3356122 garkusha@ipp.kharkov.ua</p>	<p>Igor Landman Forschungszentrum Karlsruhe in der Helmholtz-Gemeinschaft Germany igor.landman@ihm.fzk.de</p> <p>'Marek Sadowski Institut for Problems of Nuclear Studies Poland</p> <p>'Jochen Linke Institut fur Werkstoffe und Verfahren der Energietechnik Germany 49(2461)61-3230 j.linke@fz-juelich.de</p>

4180	<p>Mykola Rozhitskii Kharkiv State Technical University of Radioelectronics Kharkiv, Ukraine 380(057)7020369 rzh@kture.kharkov.ua</p>	<p>Jiri Ludvik J. Heyrovski Institute of Physical Chemistry and Electrochemistry Czech Republic 420266053217 jiri.ludvik@jh-inst.cas.cz</p> <p>'Andrzej Kapturkiewicz Institute of Physical Chemistry Poland 48(22)3433212 akaptur@ichf.edu.pl</p>
4202	<p>Valentin Gavriljuk G.V. Kurdyumov Institute for Metal Physics Kyiv, Ukraine 380(44)4243310 gavr@imp.kiev.ua</p>	<p>Jacques Foct LMPGM France jacques.foct@univ-lille1.fr</p> <p>'Hans Berns Ruhr-Universitat Bochum Germany berns@wtech.ruhr-uni-bochum.de</p>
4216	<p>Evgenij Volkov National Science Center "Kharkiv Institute of Physics and Technology" Kharkiv, Ukraine 380(57)3356531 volkov@ipp.kharkov.ua</p>	<p>F. Wagner The Max-Planck-Institute of Plasma Physics Germany fritz.wagner@ipp.mpg.de</p> <p>'J. Sanchez The Spanish National Fusion Laboratory CIEMAT Spain</p> <p>'Raymond Koch Laboratory for Plasma Physics Association EURATOM-BELGIAN STATE Avenue de la Renaissance 30 1000 Brussels – Belgium Belgium r.koch@fz-juelich.de</p>

4231	<p>Vitaliy Bilous National Science Center "Kharkiv Institute of Physics and Technology" Kharkiv, Ukraine 380(57)3350755 belous@kipt.kharkov.ua</p>	<p>Walter Bini Neurochirurgische Gemeinschaftspraxis Germany</p> <p>'Haberland Nils International Neuroscience Institute (INI) Germany</p>
4259	<p>Andrey Ragulya I.M. Frantsevich Institute of Problems of Materials Science Kyiv, Ukraine 380(Kiev)+38 044 424 7435 ragulya@ipms.kiev.ua</p>	<p>Mathias Herrmann Fraunhofer Institut Keramische Technologien und Systeme Germany Mathias.Herrmann@ikts.fraunhofer.de</p>
4266	<p>Vadim Manzhelii B.I. Verkin Institute for Low Temperature Physics and Engineering Kharkiv, Ukraine 380(057)3401027 manzhelii@ilt.kharkov.ua</p>	<p>Bertil Sundqvist Department of Experimental Physics, Umea University Sweden 46(907)867488 bertil.sundqvist@physics.umu.se</p>
4272	<p>Victor Covalenko Research and Design Center "Proton" Lviv, Ukraine 380(322)2728132 ivdyno@yahoo.com</p>	<p>Roman Petrus Rzeszow University of Technology Chemical and Process Engineering Department Poland ichrp@prz.edu.pl</p> <p>'Ronald Wennersten Royal Institute of Technology, Department of Industrial Ecology Sweden rw@ket.kth.se</p>

4299	<p>Tatiana Serebrovskaya Bogomoletz Institute of Physiology of the Ukrainian National Academy of Sciences Kyiv, Ukraine 380(044)2562557 sereb@biph.kiev.ua</p>	<p>Maciej Kurpisz Institute of Human Genetics Pol.Acad.Sci. Dept. for Reprod. Biol. and Stem Cells Poland wojtael@man.poznan.pl</p> <p>'Janusz Kowalski National Tuberculosis and Lung Diseases Research Institute Poland instytut@igich.edu.pl</p>
4301	<p>Gennadiy Khrypunov National Technical University "Kharkiv Polytechnical Institute" Kharkiv, Ukraine 380(572)971928 khrip@ukr.net</p>	<p>Romeo Alessandro University of Verona, Scientific and Technology Department Italy Alessandro.Romeo@univr.it</p>
4302	<p>Dmytro Hovorun Institute of Molecular Biology and Genetics Kyiv, Ukraine 380(044)526-20-14 dhovorun@imbg.org.ua</p>	<p>Rada Argirova Lab.for Retroviruses, Natl.Center of Infectious a.Parasitic Diseases Bulgaria radkaargirova@abv.bg</p> <p>'Anne-Mieke Vandamme Katholieke Universiteit Leuven Laboratory for Clinical and Epidemiological Virology AIDS Reference Laboratory Rega Institute for Medical Research Belgium annemie.vandamme@uz.kuleuven.ac.be</p>

4358	<p>Petro Zhmurin Institute for Scintillation Materials of the Institute for Single Crystals R&D Corporation Kharkiv, Ukraine 380(57)341 01 49 malyukin@isc.kharkov.com</p>	<p>Jean-Pierre Lemaistre Institut des NanoSciences de Paris. Universit&#233;s P. et M. Curie et D. Diderot France 33(1)75015 jpl@ccr.jussieu.fr</p> <p>'Pedrini Christian Universit? Lyon 1, CNRS UMR 5620 Laboratoire de Physico-Chimie des Mat?riaux Luminescents France pedrini@pcml.univ-lyon1.fr</p>
4359	<p>Vadim Manzhelii B.I. Verkin Institute for Low Temperature Physics and Engineering Kharkiv, Ukraine 380(057)3401027 manzhelii@ilt.kharkov.ua</p>	<p>Sundqvist Bertil Umea University, Department of Physics Sweden bertil.sundqvist@physics.umu.se</p>
4365	<p>Boris Soroka Gas Institute Kyiv, Ukraine 380(44)4555998 boris.coroka@gmail.com.ua</p>	<p>Monika Sevcsik TUKI Hungary</p>
4368	<p>Volodimir Dubinko National Science Center "Kharkiv Institute of Physics and Technology" Kharkiv, Ukraine 380(57)335 1781 vdubinko@kipt.kharkov.ua</p>	<p>Steve Donnelly University of Salford U. K. 44(161)2955392 s.e.donnelly@salford.ac.uk</p>
4376	<p>Mykhaylo Tukalo Institute of Molecular Biology and Genetics Kyiv, Ukraine 380(044)200 03 35 mtukalo@imbg.org.ua</p>	<p>Cusack Stephen European Molecular Biology Laboratory, Grenoble Outstation France cusack@embl.fr</p> <p>'Paulo F.C. Santos University De Tras-os-Montes e Alto Douro Portugal psantos@utad.pt</p>

4378	<p>Mykhaylo Gonchar Institute of biology of cell Lviv, Ukraine 380(322)612163 gonchar@cellbiol.lviv.ua</p>	<p>Wolfgang Schuhmann Anal. Chem. - Elektroanalytik & Sensorik Ruhr-Universität Bochum D-44780 Germany 49(234)3226200 Wolfgang.Schuhmann@ruhr-uni-bochum.de</p>
4381	<p>Maria Obolenskaya Institute of Molecular Biology and Genetics Kyiv, Ukraine 380(44)526 11 39 obolenskaya@imbg.org.ua</p>	<p>Serhiy Souchelnytskyi Karolinska University Hospital, Karolinska Institute Sweden serhiy.souchelnytskyi@ki.se</p> <p>'Barbara Jarz&#261;b Department of Nuclear Medicine and Endocrine Oncology, Maria Sk&#322;odowska-Curie Memorial Cancer Center and Institute of Oncology in Gliwice Poland bjarzab@io.gliwice.pl</p> <p>'Markku Pasanen University of Kuopio, Department of Pharmacology and Toxicology Finland markku.pasanen@uku.fi</p> <p>'Thomas Kietzmann University of Kaiserslautern Germany kietzmann@chemie.uni-kl.de</p> <p>'Giuliano Ramadori University of G&#246;ttingen Internal medicine Robert-Koch-Str. 40 37099 G&#246;ttingen Germany gramad@med.uni-goettingen.de</p>

4418	<p>Gennadiy Kolbasov V.I. Vernadsky Institute of General and Inorganic Chemistry Kyiv, Ukraine 380(44)424-22-80 kolbasov@ionc.kiev.ua</p>	<p>Altenburg Horst Fachhochschule Munster University of Applied Sciences Germany</p> <p>'Hoyer Walter Technical University of Chemnitz Germany hoyer@physik.tu-chemnitz.de</p>
4419	<p>Tetyana Pivneva O.O. Bohomolets Institute of Physiology Kyiv, Ukraine 380(44)2562444 pta@biph.kiev.ua</p>	<p>Pierzynowski Stefan Lund University Department of Cell and Organism Biology Sweden</p>
4424	<p>Galina Skibo O.O. Bohomolets Institute of Physiology Kyiv, Ukraine 380(44)2532158 skibo@biph.kiev.ua</p>	<p>Stewart Michael G. The Open University, Department of Biological Sciences U. K. m.g.stewart@open.ac.uk</p> <p>'Burda Jozef Institute of Neurobiology Center of Excellence Slovak Academy of Sciences Slovakia burda@saske.sk</p>

4436	<p>Dmytro Grekov National Science Center "Kharkiv Institute of Physics and Technology" Kharkiv, Ukraine 380(57)3356819 grekov@ipp.kharkov.ua</p>	<p>Noterdaeme Jean-Marie Max Planck Institute for Plasmaphysics D-85748 Garching Germany Germany noterdaeme@ipp.mpg.de</p> <p>'Jef Ongena EFDA European fusion Development Agreement JET Facilities Task Force U. K.</p> <p>'Lysoivan Anatoli The Laboratory for Plasma Physics (LLP), Ecole Royale Militaire, Brussels ICRF of LPP-ERM/KMS Belgium A.Lysoivan@fz-juelich.de</p>
4460	<p>Olexandr Zhyvotov State Public Enterprise "M.K.Yangel Design Office "Pivdenne" Dnipropetrovsk, Ukraine 380(056)7925011 space@yuzhnoye.com</p>	<p>Pontetti Giorgia C&A Engineering Italy info@gaengineering.com</p>
4475	<p>Lyudmila Khirunenko Institute of Physics Kyiv, Ukraine 380(44)525 98 21 lukh@iop.kiev.ua</p>	<p>Porta Miquel Institut Municipal d'Investigacio Medica, Universitat Autonoma de Barcelona Spain mporta@imims.es</p>
4481	<p>Volodymyr Gun'ko Institute of Surface Chemistry Kyiv, Ukraine 380(044)4229627 gun@voliacable.com</p>	<p>Steve Tennison MAST Carbon Technology Limited U. K. sales@mastcarbon.co.uk</p> <p>'Leboda R. The Maria Curie-Skłodowska University Faculty of Chemistry Poland leboda@hermes.umcs.lublin.pl</p>

<p>4495</p>	<p>Mykola Rozhitskii Kharkiv State Technical University of Radioelectronics Kharkiv, Ukraine 380(057)7020369 rzh@kture.kharkov.ua</p>	<p>Jiri Ludvik J. Heyrovsky Institute of Physical Chemistry Academy of Sciences of Czech Republic Czech Republic ludvik@jh-inst.cas.cz</p> <p>'Kapturkiewicz Andrzej Institute of Physical Chemistry Polish Academy of Sciences Dept. of Photochemistry and Spectroscopy Poland akaptur@ichf.edu.pl</p> <p>'Mascini Marco Universita degli Studi di Firenze Italy marco.mascini@unifi.it</p>
<p>4509</p>	<p>Vladimir Gilenko State Public Enterprise "M.K.Yangel Design Office "Pivdenne" Dnipropetrovsk, Ukraine 380(0562)384729 info@yuzhnoye.com</p>	<p>Richard Alban University Pierre Mendes-France Institute for European Studies France alban.richard3@aliceadsl.fr</p> <p>'Gajewski Jacek CEENET Central and Eastern European Networking Association Poland gajewski@ceenet.org</p>

<p>4534</p>	<p>Galyna Gorbenko V.N. Karazin Kharkiv National University Kharkiv, Ukraine 380(57)3438244 galyna.p.gorbenko@univer.kharkov.ua</p>	<p>Deligeorgiev Todor University of Sofia "St. Kliment Ohridsky" Faculty of Chemistry Bulgaria toddel@chem.uni-sofia.bg</p> <p>'Mouritsen Ole G. Syddansk University University of Southern Denmark MEMPHYS - Center for Biomembrane Physics Department of Physics and Chemistry Denmark ogm@memphys.sdu.dk</p> <p>'Kinnunen Paavo K.J. Institute of Biomedicine, Helsinki Biophysics&Biomembrane Group University of Helsinki Finland paavo.kinnunen@helsinki.fi</p>
<p>4568</p>	<p>Vladyslav Shumlyanskyy Institute of Geological Sciences Kyiv, Ukraine 380(44)2381936 minerals@geomail.kiev.ua</p>	<p>Pantelis Pantelis Technological Educational Institute of Crete, Laboratory of Geophysics & Seismology, Department of Natural Resources & Environment Greece soupios@chania.teicrete.gr</p> <p>'Karastanev Doncho Geological Institute "Strashimir Dimitrov" Bulgaria</p>

4584	Anatoliy Andrushchak "Lvivska Polytechnica" National University Lviv, Ukraine 380(322)58 21 03 anat@polynet.lviv.ua	Wilfried Schranz Nonlinear Physics Group University of Vienna Austria wilfried.schranz@univie.ac.at 'Christian Wagner Universitat des Saarlandes Germany 49(068)13023416 c.wagner@mx.uni-saarland.de 'Mikhail Brik University of Tartu Estonia brik@fi.tartu.ee 'Pietro Mandracci POLITECNICO DI TORINO - Department of Physics Italy pietro.mandracci@polito.it 'Bellucci Stefano INFN-Laboratori Nazionali di Frascati Italy bellucci@lnf.infn.it 'SAHRAOUI Bouchta CNRS University of Angers France bochta.sahraoui@univ-angers.fr
------	---	---

4587	<p>Raisa Chebotareva A.V. Dumansky Institute of Colloid Chemistry and Water Chemistry Kyiv, Ukraine 380(044)4242329 rchebot@ukr.net</p>	<p>Yaroshchuk Andriy E.T.S.E.I.B UPC The Department of Chemical Engineering of Polytechnic University of Catalonia Spain andriy.yaroshchuk@upc.edu</p>
4588	<p>Yaroslav Kolesnichenko Institute for Nuclear Research Kyiv, Ukraine 380(44)5254283 yk@kinr.kiev.ua</p>	<p>Fulvio Fulvio ENEA Research Center Theoretical Plasma Physics Section Italy 'R. Wolf Max-Planck-Institut for Plasmaphysic Germany robert.wolf@ipp.mpg.de</p>
4591	<p>Alexei Soldatkin Institute of Molecular Biology and Genetics Kyiv, Ukraine 380(44)2000328 a_soldatkin@yahoo.com</p>	<p>Jean-Marc Chovelon Institut de Recherches sur la Catalyse et l'Environnement de Lyon (IRCELYON) UMR-CNRS 5256 France jean-marc.chovelon@ircelyon.univ-lyon1.fr 'Abdelhamid Errachid Nanobioengineering Laboratory, Research Center for Bioelectronics and Nanobioscience, Universitat de Barcelona Spain aerrachid@el.ub.es</p>

4599	<p>Olexander Fainleib Institute of Macromolecular Chemistry Kyiv, Ukraine 380(44)5595372 fainleib@i.kiev.ua</p>	<p>Jean Grenet Laboratory: Polym&#232;res, Biopolym&#232;res, Membranes, UMR CNRS 6522, Univesit&#233; de Rouen France jean.grenet@univ-rouen.fr</p> <p>'Zarzadu Prezes Chemical Plant "Silikony Polskie" LTD. Poland silikony@silikony.pl</p> <p>'Joachim Stumpe IAP Department of Polymer Photochemistry Germany joachim.stumpe@iap.fraunhofer.de</p>
4682	<p>Volodymyr Ivashchenko I.M. Frantsevich Institute of Problems of Materials Science Kyiv, Ukraine 380(44)4242540 ivash@ipms.kiev.ua</p>	<p>ABADIAS Gregory Universite de oitiers, PHYMAT - UMR6630 - CNRS France gregory.abadias@univ-poitiers.fr</p>
4703	<p>Lyudmyla Krupnik National Science Center "Kharkiv Institute of Physics and Technology" Kharkiv, Ukraine 380(057)335-63-63 krupnik@ipp.kharkov.ua</p>	<p>Sanchez Joaquin Ciemat National Laboratory for Fusion Spain Joaquin.sanchez@ciemat.es</p> <p>'Wagner Friedrich Max-Planck-Institute for Plasmaphysic Germany fritz.wagner@ipp.mpg.de</p> <p>'Van Oost Guido University of Gent Faculty of Engineering, Department of Applied Physics Belgium Guido.VanOost@UGent.be</p>

<p>4719</p>	<p>Volodymyr Sosnytskyy V.M. Glushkov Institute of Cybernetics Kyiv, Ukraine 380(44)5013072 sosna1@voliacable.com</p>	<p>Manfred Blank University of Duisburg - Essen Germany manfred.lh.blank@cityweb.de</p> <p>'Albert Hagege Hopital Europeen G.Pomidou, Cardiology Department France hagege@club-internet.fr</p> <p>'B Hailer Medical Clinic II Germany</p> <p>'Bernd Schwabe Margarethe von Wrangell- Stiftung e.V. Germany schwabe.bernd@web.de</p>
<p>4726</p>	<p>Borys Konorev I&C System Certification Center. State Center for Regulation of Supplies and Service of Quality. Kharkiv, Ukraine 380(57)7603598 admin@scasu.com</p>	<p>Troubitsyna Elena Abo Akademi University, Faculty of Technology Finland</p> <p>'Lindner Arndt ISTec Germany</p>
<p>4818</p>	<p>Andriy Marusenkov Lviv Center of Institute of Space Research Lviv, Ukraine 380(032)2540266 marand@isr.lviv.ua</p>	<p>Jean-Jacques SCHOTT Ecole et Observatoire des Sciences de la Terre France JeanJacques.Schott@eost.u-strasbg.fr</p> <p>'Miora Mandea Helmholtz-Zentrum Potsdam Deutsches GeoForschungsZentrum Germany miora@gfz-potsdam.de</p>

<p>4819</p>	<p>Tatyana Psarova Institute for Sorption and Problems of Endoecology Kyiv, Ukraine 380(044)4091402 psareva@ispe.kiev.ua</p>	<p>M.I. Karayannis University of Ioannina, Department of Chemistry Greece mkarayannis@chem.uoa.gr</p> <p>'Hermann Ehrlich Technische Universitat Dresden Germany hermann.ehrlich@tu-dresden.de</p> <p>'Rene Van Grieken University of Antwerp, Department of Chemistry. Micro and Trace Analysis Center Belgium</p>
<p>4912</p>	<p>Anatolii Pogorily Institute of Magnetism under NAS and MES of Ukraine Kyiv, Ukraine 380(44)4249095 apogor@imag.kiev.ua</p>	<p>Larissa (Professor) Panina University of Plymouth U. K. lpanin@plymouth.ac.uk</p> <p>'BOHNKE Odile CNRS - Universite du Maine Laboratoire des Oxydes et Fluorures France odile.bpohnke@univ-lemans.fr</p> <p>'Hillebrands Burkard Fachbereich Physik, Technische Universit&#228;t Kaiserslautern (Department of Physics, Kaiserslautern University of Technology) Germany hilleb@physik.uni-kl.de</p>

4914	<p>Tatiana Mirnaya V.I. Vernadsky Institute of General and Inorganic Chemistry Kyiv, Ukraine 380(44)424-32-11 mirnaya@ionc.kiev.ua</p>	<p>Kohns Peter DIOSPEK Optik und Lasertechnik Germany mail@diospek.de 'Robert Corkery YKI, Ytkemiska Institutet AB (Institute for Surface Chemistry) Sweden robert.corkery@surfchem.kth.se</p>
4918	<p>Maryna Kosevich B.I. Verkin Institute for Low Temperature Physics and Engineering Kharkiv, Ukraine 380(57)341-09-38 mvkosevich@ilt.kharkov.ua</p>	<p>Hillenkamp Franz Institute of Medical Physics and Biophysics University of M&#252;nster Germany hillenk@uni-muenster.de</p>
4921	<p>Olexander Khovavko Gas Institute Kyiv, Ukraine 380(44)453-33-92 ahova2005@ukr.net</p>	<p>Senk Dieter RWTH Aachen University, Department of Ferrous Metallurgy Germany dieter.senk@iehk.rwth-aachen.de</p>
4927	<p>Vladimir Belyakov V.I. Vernadsky Institute of General and Inorganic Chemistry Kyiv, Ukraine 380(44)4240462 belyakov@ionc.kiev.ua</p>	<p>Wolfgang Holl The Institute of Technical Chemistry, section WGT of Forschungszentrum Karlsruhe Germany wolfgang.holl@itc-wgt.fzk.de</p>
4929	<p>Oleksij Nyporko Institute of Food Biotechnology and Genomics Kyiv, Ukraine 380(044)5261467 dfnalex@gmail.com</p>	<p>Breviario Diego Institute of Biology and Biotechnology Italy terenzio@ibba.cnr.ir 'Konrad J. B&#246;hm Leibniz Institute for Age Research – Fritz Lipmann Institute, Germany kboehm@fli-leibniz.de</p>

4930	<p>Victor Antonov G.V. Kurdyumov Institute for Metal Physics Kyiv, Ukraine 380(44)424-55-15 antonov@imp.kiev.ua</p>	<p>Ole K. Andersen Max-Planck-Institute FKF Germany oka@fkf.mpg.de</p> <p>'Clemens Laubschat TU Dresden (University of Technology Dresden) Germany laubschat@physik.tu-dresden.de</p>
4932	<p>Yaroslav Blume Institute of Food Biotechnology and Genomics Kyiv, Ukraine 380(44)526 1467 cellbio@cellbio.freenet.viaduk.net</p>	<p>Peter Raspor University of Ljubljana, Biotechnology Slovenia peter.raspor@bf.uni-lj.si</p>
4951	<p>Natalya Kuskova Institute of Pulse Research and Engineering Mykolaiv, Ukraine 380(512)587110 nataljakuskova@mail.ru</p>	<p>Sergeij Nepijko Gutenberg University, Institute for Physics Germany liebsche@uni-mainz.de</p> <p>'Marc Sanson INSERM/UPMC France berzal@ccr.jussieu.fr</p> <p>'Eleanor E.B. Campbell School of Chemistry, The University of Edinburgh U. K. Eleanor.CAmpbell@ed.ac.uk</p>

4953	<p>Rostyslav Stoika Institute of Cell Biology Lviv, Ukraine 380(32)2612287 stoika@cellbiol.lviv.ua</p>	<p>Daniel Horak Institute of Macromolecular Chemistry Czech Republic horak@imc.cas.cz</p> <p>Igor Medina Mediterranean Institute of the Neurobiology France 33(491)828107 medina@inmed.univ-mrs.fr</p> <p>Teresa Cuberes Montserrat University of Castilla-La Mancha, Laboratory of Nanotechnology Spain Teresa.cuberes@uclm.es</p>
4954	<p>Kostyantyn Yushchenko E.O. Paton Electric Welding Institute Kyiv, Ukraine 380(044)2874353 yu.kon@paton.kiev.ua</p>	<p>Alain R. Yavari CNRS UMR France euronano@minatec.inpg.fr</p>
4955	<p>Kostyantyn Belikov Institute for Single Crystals R&D Corporation Kharkiv, Ukraine 380(57)341-03-57 belikov@isc.kharkov.com</p>	<p>Alexandre Varnek University of Strasbourg France varnek@chimie.u-strasbg.fr</p> <p>Isabelle BILLARD CNRS France isabelle.billard@ires.in2p3.fr</p>

4962	<p>Volodimir Dubinko National Science Center "Kharkiv Institute of Physics and Technology" Kharkiv, Ukraine 380(57)335 1781 vdubinko@kipt.kharkov.ua</p>	<p>Steve Donnelly University of Salford U. K. 44(161)2955392 s.e.donnelly@salford.ac.uk</p>
4973	<p>Olexiy Kovalenko Zabolotny Institute of Microbiology and Virology of National Academy of Science of Ukraine Kyiv, Ukraine 380(44)526-93-14 udajko@ukr.net</p>	<p>A.S. Galabov Bulgarian Academy of Sciences, The Stephan Angeloff Institute of Microbiology Bulgaria micb@microbio.bas.bg</p> <p>'Siegfried Kluge Education Center Germany</p> <p>'Wolfgang Nowick modern concepts in agriculture Radostim Germany</p>
4974	<p>Anatoliy Goltsev Institute for Problems of Cryobiology and Cryomedicine Kharkiv, Ukraine 380(57)3733039 cryopato@rambler.ru</p>	<p>Nikolai B. Marushchenko Max-Planck-Institute for Physics Germany nikolai.marushchenko@ipp.mpg.de</p> <p>'Nikolay Donkov Institute of Electronics, Bulgarian Academy of Sciences Bulgaria nikolaidd@abv.bg</p>

COST ACTIONS

Network acronym	Contact details of Coordinator (chair of action)	Contact details of the Ukrainian partner (participant from Ukraine)
B30 NEREPLAS	Professor Jose M. DELGADO GARCIA Division de Neurociencias Universidad Pablo de Olavide Ctra. de utrera, Km. 1 41013 Sevilla Spain Tel. +34-954-349374 Fax. +34-954-349375 jmdelgar@upo.es	Professor Galina SKIBO Bogomoletz Institute of Physiology Bogomoletz str. 4, 01024 Kiev Ukraine Tel. +38 (044) 253 21 58 Fax. +38 (044) 256 24 42 gskibo@biph.kiev.ua
B35 LPO	Professor Neven ZARKOVIC Rudjer Boskovic Institute Laboratory for Oxidative Stress Division of Molecular Medicine Bijenicka 54 10000 Zagreb Croatia zarkovic@irb.hr	Professor Andriy CHERKAS Department of Hospital Therapy (Internal Diseases), National Medical University of Lviv (Lvov) Pekarska St., 69 79010 Lviv Ukraine Tel. +38 0667 26 05 64 Fax. +38 0322 99 26 26 cherkasandriy@yahoo.com
D43	Professor Peter KRALCHEVSKY Faculty of Chemistry University of Sofia 1 J.Bourchier Blvd 1164 Sofia Bulgaria Tel. +35 92 962 5310 Fax. +35 92 962 5643 pk@lcpe.uni-sofia.bg	Professor Zoya R. ULBERG Institute of Biocolloid Chemistry (IBCC), National Academy of Sciences of Ukraine 42 Vernadsky Avenue 03142 Kiev Ukraine Tel. +38-044-424-80-78 zulberg@bioco.kiev.ua

859	<p>Dr. Jean-Paul SCHWITZGUEBEL Laboratoire de Biotechnologie Environnementale Ecole Polytechnique Federale de Lausanne Station 6 1015 Lausanne Switzerland Tel. +41 21 6934737 Fax. +41 21 6934722 jean-paul.schwitzguebel@epfl.ch</p>	<p>Ms. Lidiya MOKLYACHUK Institute of Agroecology and Biotechnology of Ukrainian Academy of Agricultural Science Metrologichna str. 12 03143 Kiev Ukraine Tel. +380 44 266 06 38 Fax. +380 44 266 23 28 moklyachuk@ukr.net</p> <p>Dr. Nataliya GRYSYUK National Agricultural University Ukrainian Institute of Agricultural Radiology 08162 Chabany, Kiev Ukraine Tel. +38 044 566 71 35 Fax. +38 044 566 45 02 nataliya@uiar.kiev.ua</p>
872	<p>Dr. John JONES PPI Programme Scottish Crop Research Institute Invergowrie DD2 5DA Dundee United Kingdom Tel. +44 1382562731 Fax. +44 1382568578 jjones@scri.ac.uk</p>	<p>Dr. Lilya PYLYPENKO Institute of Plant Protection UAAS 3 Vasilkovskava Str. 03022 Kiev-22 Ukraine Tel. +38 044 2571124 Fax. +38 044 2572185 pylypenkol@mail.ru</p>

<p>FA0604 TritiGen</p>	<p>Professor Alan H. SCHULMAN MTT Agrifood Research Finland Biotechnology and Food Research (BEL) Myllytie 10 31600 Jokioinen Finland Tel. +358 407682242 Fax. +358 919158952 alan.schulman@helsinki.fi</p>	<p>Yuri SIVOLAP South Plan Biotechnology Center Ovidiopolskaya doroga,3 65036 Odessa Ukraine Tel. 380 0482 395 557 genome2006@mail.ru</p>
<p>E52</p>	<p>Dr. Georg VON WUHLISCH BFH Institute for Forest Genetics and Forest Tree Breeding Sieker Landstr. 2 22927 Grosshansdorf Germany Tel. +49 4102 696 106 Fax. +49 4102 696 200 georg.vonwuehlisch@vti.bund.de</p>	<p>G. T. KRYNYTSKIY Ukrainian State University of Forestry and Wood Technology, Faculty of Forestry 103 Gen. Chuprynka str. 79057 L'viv Ukraine Tel. +380 - 32 - 237 80 94 Fax. +380 - 32 - 237 89 05 krynytskyi@forest.lviv.ua</p>
<p>A30</p>	<p>Dr. Miklos SUKOSD (HU) Center for Media and Communication Studies Central European University Nador u. 9. 1051 Budapest Hungary Tel. +36 1 327 3070 Fax. +36 1 327 3087 sukosdm@ceu.hu</p>	<p>Professor Rostyslav PAVLENKO National University of "Kyiv-Mohyla Academy", NaUKMA Department of Political Science 2 Skovorody vul. 04070 Kiev Ukraine Tel. + 38 044 425-6048 rostyslav.pavlenko@stpu.gov.ua</p>

IS0801	<p>Professor Peter SMITH Goldsmiths College New Cross SE14 6NW London United Kingdom Tel. 442079197898 Fax. 442079197873 p.smith@gold.ac.uk</p>	<p>Natalia Dmitrenko Vinnytsia State Pedagogical University Ostrozkogo str., 32, Vinnytsia, 21001 (0432)263302 (0432)640387 a1812000@svitonline.com</p>
539 ELENA	<p>Professor Biljana STOJANOVIC Centre for Multidisciplinary Studies University of Belgrade Kneza Visaslava 1a 11000 Belgrade Serbia biljana@ibiss.bg.ac.yu</p>	<p>Professor Maya GLINCHUK Institute for Problems of Materials Science of National Academy of Sciences of Ukraine Department of Functional Oxide Materials Kiev Ukraine info@isc.kharkov.com</p>
P18	<p>Professor Rajeev THOTTAPILLIL Royal Institute of Technology (KTH) Electromagnetic Engineering, Teknikringen 33 S-10044 Stockholm Sweden Tel. +46 8 790 8057 rajeev.thottapillil@ee.kth.se</p>	<p>Dr. Volodymyr SHOSTAK Usikov Institute for Radio-Physics and Electronics (UA) National Technical University of Ukraine "Kyiv Polytechnic Institute" 37 Prospekt Peremohy 03056 Kyiv Ukraine volod@shostak.kiev.ua</p>
MP0602	<p>Dr. Ales KROUPA Academy of Sciences of the Czech Republic Institute of Physics of Materials AÅŽizkova 22 61662 Brno Czech Republic Tel. +420 532290467 Fax. +420 541218657 kroupa@ipm.cz</p>	<p>Dr. Yuriy PLEVACHUK Ivan Franko National University 8 Kyrylo and Mephodiy str. 79005 Lviv Ukraine Tel. +38-032-2394270 Fax. +38-032-2956908 plevachuk@mail.lviv.ua</p>

<p>MP0702</p>	<p>Professor Marian MARCINIAK National Institute of Telecommunications 1 Szachowa str. 04894 Warsaw Poland Tel. +48 22 5128715 Fax. +48 22 5128347 M.Marciniak@itl.waw.pl</p>	<p>A.Nerukh Kharkiv National University of RadioElectronics 14 Lenin Ave, Kharkiv, 61166 +38 0577 021372 nerukh@gmail.com</p> <p>Oksana Shramkova A. Usikov Institute of Radio Physics and Electronics 12, Proskura st., Kharkov, 61085, Ukraine Tel. +38 067 2552715 O.Shramkova@gmail.com</p>
<p>MP0801</p>	<p>Dr. Peter RICHMOND School of Business Trinity College Dublin Ireland Tel. +441603250730 peter_richmond@btinternet.com</p>	<p>Dr Yurij Holovatch Institute for Condensed Matter Physics National Academy of Sciences 1 Svientsitskii Str Lviv Ukraine 79011 +380 322 761158 hol@icmp.lviv.ua</p>

4 ON-LINE SURVEY ON MUTUAL BENEFITS AND HINDERING FACTORS IN EU-UKRAINE S&T COOPERATION

All the project coordinators and partners listed above and in the D 2.2 were invited to participate in a on-line survey on mutual benefits and hindering factors in Ukraine-EU (member state) science and technology (S&T) cooperation.

Such an on-line survey was launched on 15th September 2009 and was accessible until 15th November 2009 for any EU (member state)-Ukraine project funded under FP6, FP7, STCU, COST, INTAS or NATO programmes. Projects funded under other programmes are not targeted.

The survey is to be based on the questionnaires hereinafter presented focussing on the respondents' experience gained under the execution of a joint multilateral project which involved at least an Ukrainian participant and at least one participant from the European Union.

4.1 The questionnaire structure and content

INTRODUCTORY INFORMATION AND TYPE OF PROJECTS

- Which of the following S&T programmes has been funding your joint EU (member state)-Ukraine project under scrutiny?

(Please tick only the programme that funded the project you are answering for! If you have more than one joint Ukraine-EU(member state) project, please decide for the most important one or please fill in another feedback form(s) for the other project(s)!

- FP6
- FP7
- STCU
- NATO
- INTAS
- COST

- Where is your organisation based?

- Ukraine
- EU

- If EU – in which country of EU is your organisation based?

- Austria
- Belgium
- Bulgaria
- Cyprus
- Czech Republic
- Denmark
- Estonia
- Finland
- France
- Germany

- Greece
- Hungary
- Ireland
- Italy
- Latvia
- Lithuania
- Luxembourg
- Malta
- Netherlands
- Poland
- Portugal
- Romania
- Slovakia
- Slovenia
- Spain
- Sweden
- United Kingdom

● **What is the type of your organisation?**

- higher education/university
- non-profit research organisation
- governmental body
- SME (small or medium sized enterprise)
- large enterprise
- other – please specify:

● **Please indicate the number of employees in your organisation:**

- 0 - 10 persons
- 11 - 50 persons
- 51 - 250 persons
- 251 – 500 persons
- More than 500 persons

● **How many years of professional experience in international cooperation projects do you have?**

- 0 – 5 years
- 6 - 10 years
- 11 – 15 years
- 16 – 20 years
- More than 20 years

● **What was your organisation's role in the project under scrutiny?**

- Coordinator
- Lead partner (e.g. work package leader)
- Regular partner
- Subcontractor or just marginally involved partner

● **What was the type of such a joint Ukraine-EU(member state) project?**

- Research project
- Coordination and support project
- Mobility oriented project
- Specific project for SMEs
- Workshop/conference grant
- Pilot study
- Exploitation (innovation) oriented project
- Specific action to promote research infrastructures
- Other, please specify:

● **What was the research field of the project?**

- Thematic area
 - Health (including biotechnology for health)
 - Food, Agriculture and Fisheries, Biotechnology
 - Information and Communication Technologies
 - Nanosciences, Nanotechnologies, Materials and new Production Technologies
 - Non-nuclear Energy
 - Nuclear Energy
 - Environment (including Climate Change and sustainability research)
 - Transport (including Aeronautics)
 - Socio-economic Sciences and Humanities
 - Space
 - Security
 - Coordination of Research Activities
 - Joint Technology Initiatives
 - Other, please specify:.....
- Cross-cutting area
 - Research for policy support
 - Co-ordination of research activities
 - Development of research/innovation policies

Other (please specify):

● **Please indicate the volume of the entire project under scrutiny:**

- 0 – 200.000 €
 200.001 – 500.000 €
 500.001 – 1000.000 €
 1000.001 – 3.000.000 €
 More than 3.000.000 €

● **What was the proportion of the project's budget carried out by you?**

- 0% – 5%
 6% – 10%
 11% – 20%
 21% - 40%
 41% - 60%
 61% - 80%
 81% - 100%

● **How would you assess the overall success of the joint Ukraine-EU(member state) project under scrutiny?**

- very successful
 quite successful
 minor successful
 not successful

● **If this project under scrutiny would have been carried out on a national basis only, would it have had the potential to be as successful as under its international design?**

- no, a national project design would never have produced the same good results
 yes, a national project design would have potentially produced the same good results
 the project would have not been possible without international collaboration

HINDERING FACTORS

● **In the implementation of your project/action, how important barriers are/have been the PERSONAL factors listed below?**

	<i>Very important</i>	<i>Quite important</i>	<i>Neither important nor unimportant</i>	<i>Not very important</i>	<i>Not important at all</i>	<i>I do not know / I can not decide</i>
Age distribution of the project consortium was a barrier	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gender distribution of the project consortium was a barrier	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Personal interest of project partners towards international collaboration was	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

a barrier						
Economic situation of project partners towards international collaboration was a barrier	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Language skills of project partners were a barrier	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

● In the implementation of your project/action, how important are/have been the ADMINISTRATIVE FACTORS listed below?

	<i>Very important</i>	<i>Quite important</i>	<i>Neither important nor unimportant</i>	<i>Not very important</i>	<i>Not important at all</i>	<i>I do not know / I can not decide</i>
Shortage of proposal preparation time	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Difficult to understand programmatic objectives of the call for proposals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Difficult to understand the participation rules and procedures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Complicated project submission procedure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Difficult co-financial obligation for my institution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Unfavourable accounting and financial rules	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Problematic tax regimes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Complex and time consuming reporting procedures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Payment delays by funding organisation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Changes in project-objectives, deliverables, budget or partners difficult to resolve	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Communication problems with the donor	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

● Did you experience any of the following problems within the project cooperation under scrutiny?

	<i>Yes, and were big problems</i>	<i>Yes, but were minor problems</i>	<i>None</i>	<i>I do not know / I can not decide</i>
Legal problems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Financial problems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lack of information	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
IPR and related exploitation problems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Language barriers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Communication problems with the coordinator	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Communication problems with partners	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Obtaining visa permissions to go abroad	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Problems with customs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please indicate the level of your agreement with the following statements about the capacity of YOUR INSTITUTION as barrier in your project cooperation:

	<i>I fully agree</i>	<i>I agree mostly</i>	<i>I rather do not agree</i>	<i>I do not agree at all</i>	<i>I do not know / I can not decide</i>
International cooperation is not of strategic interest to my institution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My institution does not provide adequate professional and advisory support to international cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My institution lacks skilled accounting professionals for meeting the requirements of multilateral/international projects	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My institution does not provide adequate professional assistance in project management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
There is a lack of competent scientific collaborators at my institution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Occupation with other priorities within my institution (e.g. teaching activities) is taking scientists away from international cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Financial gain from international cooperation for my institution is too negligible	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Financial gain for me and my research team is too negligible	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
We are lacking appropriate non-scientific facilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
We are lacking adequate research infrastructure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Our information and communication technology (ICT) capacities are not sufficient	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
International cooperation is not recognised as a formal criteria for scientific promotion of individual scientist	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please indicate the level of your agreement with the statements listed below about the NATIONAL SITUATION as a barrier for international research cooperation:

	<i>I fully agree</i>	<i>I agree mostly</i>	<i>I rather do not agree</i>	<i>I do not agree at all</i>	<i>I do not know / I can not decide</i>
We are lacking industrial partners and companies for research cooperation and are thus not attractive as cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

partner					
National economy and technology do not benefit from international cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
There are difficulties with researcher's mobility exchange (legal rules and procedures)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lobbying skills of my country at the level of EU administration (with other national governments) are rather low	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My country has low overall international reputation and scientific "image"	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
We are suffering from parochialism - low national openness to international collaboration	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lack of financial support from government for international cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Underinvestment in science and technology in general	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please indicate the level of your agreement with the following statements on scientific excellence as barriers for international research cooperation:

	<i>I fully agree</i>	<i>I agree mostly</i>	<i>I rather do not agree</i>	<i>I do not agree at all</i>	<i>I do not know / I can not decide</i>
My personal scientific status is not high enough for my participation in international research projects	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My institution's competitive status at the international "research map" is not high enough for international cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
In my country we are lacking internationally recognised scientists who can compete in the international research arena	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
At my institution we face a lack of critical mass of researchers for conducting internationally accepted research	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lack of appropriate research equipment in my country	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lack of networking – personal contacts in the international scientific networks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Which of the following issues have caused/ are creating difficulties in your cooperation with the EU or Ukrainian counterpart?

	<i>caused a lot of difficulty</i>	<i>caused some difficulty,</i>	<i>did not cause difficulty</i>	<i>I do not know / I can not decide</i>
Cooperation with the EU/Ukraine research team	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Differences in management approaches/cultures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Size of the consortium	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Complexities of decision making	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Dependency on deliverables of project partners	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Communication, exchange of information	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Reporting requirements, deadlines	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Substantial travel and other costs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Intellectual property issues	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lack of support from your parent organization	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overspending of other partners	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quality of outputs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- **Would you like to comment on any other problems you have experienced while preparing and/or implementing the project?**

.....

MUTUAL BENEFITS

- **Who has the major benefits from the involvement in the project?**

- The Ukrainian partner(s)
 The EU partner(s)
 The proportion was balanced
 Others:.....

- **Please assess your personal learning gain in terms of project management (1=very high, 5=very low)**

- 1
 2
 3
 4
 5

- **Please assess the institutional learning gain in terms of project management (1=very high, 5=very low)**

- 1
 2
 3
 4
 5

- **Please assess your personal learning gain in terms of scientific progress (1=very high, 5=very low)**

- 1
 2
 3

45

● **Please assess the institutional learning gain in terms of scientific progress (1=very high, 5=very low)**

12345

● **What was/is the impact of the project on your team / laboratory / institute?**

	<i>Very important</i>	<i>Quite important</i>	<i>Neither important nor unimportant</i>	<i>Not very important</i>	<i>Not important at all</i>	<i>I do not know / I can not decide</i>
Opening the way for long-term and more ambitious projects	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Helping to further submit projects under the EU framework programme and/or other national and international programmes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contributing to the scientific career of young scientists	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contributing to the scientific career of senior scientists	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contributing to the establishment of equipment and techniques matching international standards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Helping to reach or consolidate the state of the art in research in our specific thematic field	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Bringing new ideas and ways of thinking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Access to complementary knowledge	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Access to specific material etc	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Access to special research infrastructure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Higher impact factor of publication	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Access to wider scientific community	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Production of new knowledge which cannot be achieved within the national framework only	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Development or improvements of standards and regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Development of new/improved products, processes, services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Exchange of personnel	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Insight into other scientific culture(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Access to other markets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Insight into other ways to organize research	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Improved skills for working in international project consortia	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Establishing new partnerships for future international research cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Publications in peer-reviewed journals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Co-authored publications	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Jointly supervised PhD theses	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Presentation(s) at international conferences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Application of international patent(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gain in prestige and reputation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

● To conclude, how would you assess your cooperation experience?

	<i>I fully agree</i>	<i>I agree mostly</i>	<i>I rather do not agree</i>	<i>I do not agree at all</i>	<i>I do not know / I can not decide</i>
The EU-Ukraine cooperation was very successful in scientific terms	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The EU-Ukraine cooperation was essential for achieving the project results	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The cooperation with Ukraine-partners improved quality and relevance of project outcomes which would not have been possible at such an extent in a national setting only	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contacts and cooperation developed during the project will continue after the project has finished	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My organisation has used the project to build other networks between the EU and Ukraine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

● Would you like to add anything regarding the benefits from bilateral S&T cooperation EU - Ukraine?

.....

CONCLUDING REMARKS

● Would you assess your joint Ukraine-EU (member state) project/collabotation as a good practice case?

- yes
- no

● What are the main lessons you have learnt from this experience?

.....

● In case of need for clarification, would you please indicate your e-Mail account:

.....

● Moreover, since we would like to explore the findings of this questionnaire in more depth in the framework of a few selected case studies, would you allow us to contact you in the future also in this respect?

- yes
- no

5 GOOD COOPERATION PRACTICES IN EU-UKRAINE S&T COOPERATION

The following template was developed by the project consortium and is to be used for describing each of the 10 best practices in EU-Ukraine Science and technology cooperation identified during the analysis of the results of the on-line survey available from 15/09 to 15/11/2009. The cases shall depict the mutual benefits, barriers and the lessons learnt in the specific EU-Ukrainian S&T cooperation experiences.

5.1 Template for describing each of the ten identified case studies



Template for summarising each of the Ten particular good cooperation practices in EU-Ukraine S&T cooperation

Linked Deliverable Title	D 1.2 – Identification and analysis of “particular good cooperation practice in S&T”
Deliverable Lead:	ZSI – Centre for Social Innovation
Related Work package:	WP1 – Information, dissemination and awareness raising
Related Task:	Task 1.1 – Information gathering on S&T and innovation activities in and with Ukraine
Author(s):	Désirée Pecarz, Katharina Handler (ZSI)
Dissemination level:	Public
Due submission date:	28/02/2010
Actual submission:	
Project Number	222712
Start date of Project:	01/09/2008

Preamble

An on-line survey was carried out in autumn 2009 and more than 800 European and Ukrainian organisations were invited to participate as being either coordinators or partners in a joint multilateral or bilateral project funded under the following programs: FP6, FP7, STCU, COST, INTAS or NATO. The survey has explored the mutual benefits as well as the hindering factors in EU-Ukraine science and technology (S&T) cooperation, and made available a comprehensive information base to be further analysed for identifying particular best practices. The survey was based on the questionnaire presented in the first version of the D 1.2. Out of all the received responses, a total of 10 case studies were eventually identified, and are hereinafter described as case studies depicting mutual benefits, barriers and the lessons learnt in the specific EU-Ukrainian S&T cooperation experience.

Case study 1 – “Name of project”

Project identification data	
Funding programme	
Project brief description and objective	
Project type	
Research field	
Project Coordinator (full contact details, brief description of the type of organisation and number of employee)	
Ukrainian Partner (full contact details, brief description of the type of organisation and number of employee)	
Project total value	

Main strengths of this cooperation experience	
Mutual Benefits	
Main beneficiary	
Rating of personal learning gain	
Rating of institutional learning gain	
Other benefits	
Barriers	
Personal factors	
Administrative factors	
Capacity of involved institutions (coordinator and/or Ukrainian partner)	
National situation	

Scientific excellence	

Issues causing/ creating difficulties in cooperation

Concrete impact of the project on teams, laboratory and institutes

Main lessons learnt